

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hoffman, Melvin S.	2. Court or Organization Bankruptcy Court - Massachusetts	3. Date of Report 06/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Bankruptcy Court JW McCormack Post Office and Courthouse 5 Post Office Square Boston, MA 02109		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Associate Editor	American Bankruptcy Law Journal
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Hoffman, Melvin S.

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06/04/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	American Bankruptcy Law Journal Compensation as Associate Editor	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Tufts University salary
2. 2018	Johns Hopkins University honorarium
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/29-31/2018	San Antonio, TX	Judges' conference. Education.	Transportation, meals, lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

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06/04/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest	L	T					
2. Brookline Bancorp stock	B	Dividend	J	T					
3. UBS Retirement Account- self directed IRA									
4. --money market account at UBS	A	Interest	K	T					
5. --Fidelity Advisor New Insights C1 A	A	Int./Div.	J	T					
6. --Pimco All Asset Fund	A	Int./Div.	K	T					
7. --Neuberger Berman Equity Inc C1 A	A	Int./Div.	K	T					
8. --JP Morgan Mid Cap Value Fund Class A	A	Int./Div.	K	T					
9. --Kealy Small Cap Value Fund Class A	A	Int./Div.	J	T					
10. --Gateway Fund Class A	A	Int./Div.	K	T					
11. --First Eagle Global Fund Class A	A	Int./Div.	K	T					
12. --Apple	A	Dividend	K	T					
13. --Johnson & Johnson	A	Dividend	J	T					
14. --Merck	A	Dividend	J	T					
15. --Charter Communication (FormerlyTime Warner Cable)	A	Dividend	J	T					
16. --iShares Msci Emerging Markets Index Fund	A	Int./Div.	J	T					
17. --iShares DJ Select Div Index Fund	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

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18. --Henderson Global Investors Int'l	A	Int./Div.	J	T					
19. --FT Templeton Global Bond A	A	Int./Div.	K	T					
20. --Loomis Sayles Strategic Inc A	A	Int./Div.	K	T					
21. --Lord Abbet Floating Rate A	A	Int./Div.	K	T					
22. --Pimco All Asset All Auth - A	A	Int./Div.	K	T					
23. American Century IRA									
24. --Amercian Century Balanced Fund	A	Int./Div.	J	T					
25. --American Century Strategic Alloc Fund	A	Int./Div.	K	T					
26. --American Century Utilities	A	Int./Div.	J	T					
27. Merrill Lynch IRA									
28. --American Inv. Co. of America Class A	A	Int./Div.			Sold	03/09/18	K	A	
29. --American New Perspectives	A	Int./Div.	K	T					
30. --American Bond Fund of America Class A	A	Dividend	J	T					
31. --Vanguard S&P 500 Growth	A	Int./Div.	K	T	Buy	03/09/18	K		
32. Fidelity Select Consumer Staples A	A	Int./Div.			Sold	03/09/18	K	B	
33. Fidelity Spartan 500 Index Fd (See Part VIII)	B	Int./Div.	L	T	Buy (add'l)	03/09/18	K		
34. Fidelity Spartan US Bond Index Fd	B	Int./Div.	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Page 6 of 16

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35. Fidelity Money Market Fund	A	Interest	K	T					
36. State of Israel Bond	A	Int./Div.	J	T					
37. Wells Fargo Mutual Funds (Account # 1)	D	Int./Div.	M	T					
38. --Wells Fargo Money Market Fund	A	Interest	J	T					
39. --Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
40. --Goldman Sachs Fin'l SquareTreasury Fund	A	Int./Div.	J	T					
41. --John Hancock Global Absolute Return Fund	A	Int./Div.	J	T					
42. --Oppenheimer Dev. Mkts Fund	A	Int./Div.	J	T					
43. --Pimco Pac Invst Commodity Real Return Strat Fund	A	Int./Div.	J	T					
44. --RS Invst Trust Value Fund	A	Int./Div.	J	T					
45. --T Rowe Price Mid-Cap Growth	A	Int./Div.	K	T					
46. --Fundadvantage TR Gotham Ab. Ret	A	Int./Div.	J	T					
47. --Harbor Funds Small Cap Value	A	Int./Div.	J	T					
48. --Harbor Funds Cap Appreciation	A	Int./Div.	K	T					
49. --JP Morgan US Large Cap Core Plus	A	Int./Div.	K	T					
50. --Amer Europacific Growth	A	Int./Div.	J	T					
51. --Virtus Emerging Mkts Opportunities	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 16

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52. --Meridian Sm Cap Grth	A	Int./Div.	K	T					
53. --Principal FDS High Yield	A	Int./Div.	J	T					
54. --Nuveen Real Estate	A	Int./Div.	J	T					
55. --Principal Global Real Estate	A	Int./Div.	J	T					
56. --T Rowe Price Equity Income	A	Int./Div.	K	T					
57. --Touchstone FDS VID Cap	A	Int./Div.	K	T					
58. Wells Fargo Brokerage Account #2	A	Int./Div.	M	T					
59. --Agrium Inc.	A	Int./Div.			Sold	04/02/18	J	A	
60. --Amgen Inc	A	Int./Div.			Sold	04/02/18	J	A	
61. --Apple Inc	A	Int./Div.	J	T					
62. --Capital One Financial Corp	A	Int./Div.			Sold	04/02/18	J	A	
63. --CBS Corp. C1 B	A	Int./Div.			Sold	04/02/18	J	A	
64. --Cisco Systems Inc.	A	Int./Div.	J	T					
65. --Citigroup Inc. New	A	Int./Div.			Sold	04/02/18	J	A	
66. --Coca Cola Enterprises	A	Int./Div.			Sold	04/02/18	J	A	
67. --Costco Whsl Corp New	A	Int./Div.			Sold	04/02/18	J	A	
68. --Delta Airlines Inc. New	A	Int./Div.			Sold	04/02/18	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

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69. --Exxon Mobil Corp	A	Int./Div.			Sold	04/02/18	J	A	
70. --Harley Davidson Inc.	A	Int./Div.			Sold	04/02/18	J	A	
71. --Hewlett Packard Enterprise Co.	A	Int./Div.			Sold	04/02/18	J	A	
72. --Home Depot Inc.	A	Int./Div.			Sold	04/02/18	J	A	
73. --HP Inc.	A	Int./Div.			Sold	04/02/18	J	A	
74. --International Paper Co.	A	Int./Div.			Sold	04/02/18	J	A	
75. --JP Morgan Chase & Co.	A	Int./Div.			Sold	04/02/18	J	A	
76. --Maxim Integrated Prods Inc.	A	Int./Div.			Sold	04/02/18	J	A	
77. --Medtronic PLC	A	Int./Div.			Sold	04/02/18	J	A	
78. --Metlife Inc.	A	Int./Div.			Sold	04/02/18	J	A	
79. --Microsoft Corp.	A	Int./Div.	J	T					
80. --Pentair PLC	A	Int./Div.			Sold	04/02/18	J	A	
81. --Pfizer Inc.	A	Int./Div.			Sold	04/02/18	J	A	
82. --Qualcomm Inc.	A	Int./Div.			Sold	04/02/18	J	A	
83. --Quest Diagnostics Inc.	A	Int./Div.			Sold	04/02/18	J	A	
84. --Robert Half Int'l Inc.	A	Int./Div.			Sold	04/02/18	J	A	
85. --Ross Stores Inc (Calif)	A	Int./Div.			Sold	04/02/18	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 16

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86. --United Technologies Corp	A	Int./Div.			Sold	04/02/18	J	A	
87. --Verizon Communications	A	Int./Div.	J	T					
88. --Whirlpool Corp.	A	Int./Div.			Sold	04/02/18	J	A	
89. --3M Co.	A	Int./Div.			Sold	04/02/18	J	A	
90. --Amer. Int'l Grp	A	Int./Div.			Sold	04/02/18	J	A	
91. --Aptiv PLC	A	Int./Div.			Sold	04/02/18	J	A	
92. --Express Scripts Hldg	A	Int./Div.			Sold	04/02/18	J	A	
93. --First Trust Dow Jones Internet Indx	A	Int./Div.			Sold	04/02/18	J	A	
94. --Johnson & Johnson	A	Int./Div.			Sold	04/02/18	J	A	
95. --Nextern Energy	A	Int./Div.	J	T					
96. --Regency Ctrs Corp REIT	A	Int./Div.			Sold	04/02/18	J	A	
97. --Vanguard REIT	A	Int./Div.			Sold	04/02/18	J	A	
98. --Walgreens Boots	A	Int./Div.			Sold	04/02/18	J	A	
99. --Gilead Sciences Inc	A	Int./Div.	J	T	Buy	04/02/18	J		
100. --Abbot Labs	A	Int./Div.	J	T	Buy	04/02/18	J		
101. --Accenture Ireland Class A	A	Int./Div.	J	T	Buy	04/02/18	J		
102. --Advance Auto Parts	A	Int./Div.	J	T	Buy	04/02/18	J		

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103. --Aercap Hldgs NV	A	Int./Div.	J	T	Buy	04/02/18	J		
104. --Allstate Corp	A	Int./Div.	J	T	Buy	04/02/18	J		
105. --Alphabet Inc. Non Voting	A	Dividend	J	T	Buy	04/02/18	J		
106. --AON PLC Class A	A	Int./Div.	J	T	Buy	04/02/18	J		
107. --Bank of America	A	Int./Div.	J	T	Buy	04/02/18	J		
108. --BB&T Corp	A	Int./Div.	J	T	Buy	04/02/18	J		
109. --Chevron Corp.	A	Int./Div.	J	T	Buy	04/02/18	J		
110. --Cogna Systems	A	Int./Div.	J	T	Buy	04/02/18	J		
111. --CIT Group	A	Int./Div.	J	T	Buy	04/02/18	J		
112. --Comcast Corp.	A	Int./Div.	J	T	Buy	04/02/18	J		
113. --Conagra Brands	A	Int./Div.	J	T	Buy	04/02/18	J		
114. --Concho Resources	A	Int./Div.	J	T	Buy	04/02/18	J		
115. --Crown Castle Int'l	A	Int./Div.	J	T	Buy	04/02/18	J		
116. --Disney	A	Int./Div.	J	T	Buy	04/02/18	J		
117. --Dollar Tree Stores	A	Int./Div.	J	T	Buy	04/02/18	J		
118. --Eli Lilly	A	Int./Div.	J	T	Buy	04/02/18	J		
119. --EOG Resources	A	Int./Div.	J	T	Buy	04/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Gen'l Dynamics	A	Int./Div.	J	T	Buy	04/02/18	J		
121. --Honeywell Int'l	A	Int./Div.	J	T	Buy	04/02/18	J		
122. --Intercontinental Exch	A	Int./Div.	J	T	Buy	04/02/18	J		
123. --Merck	A	Int./Div.	J	T	Buy	04/02/18	J		
124. --Mondelez Int'l Inc.	A	Int./Div.	J	T	Buy	04/02/18	J		
125. --Motorola Solutions Inc.	A	Int./Div.	J	T	Buy	04/02/18	J		
126. --Nestle SA Reg ADR	A	Int./Div.	J	T	Buy	04/02/18	J		
127. --NXP Semiconductors NV	A	Int./Div.	J	T	Buy	04/02/18	J		
128. --Sensata Tech. Holdings PLC EUR	A	Int./Div.	J	T	Buy	04/02/18	J		
129. --SPDR S&P 500 Trust	A	Int./Div.	J	T	Buy	11/30/18	J		
130. --Technipme PLC	A	Int./Div.	J	T	Buy	11/30/18	J		
131. --UPS	A	Int./Div.	J	T	Buy	04/02/18	J		
132. --US Bancorp	A	Int./Div.	J	T	Buy	04/02/18	J		
133. --Vulcan Materials	A	Int./Div.	J	T	Buy	04/02/18	J		
134. Inherited IRA Wells Fargo									
135. --Amer. Centy Mutual Funds Heritage Fd Cl A	A	Int./Div.	J	T					
136. --Ivy Value Fd Cl A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MONY whole life insurance	B	Int./Div.	J	T					
138. Morgan Stanley IRA Rollover									
139. --Morgan Stanley Money Market Acct.	A	Interest	J	T					
140. --Gugenheim S&P 500 Equ Weight	A	Int./Div.	K	T					
141. --SPDR S&P Dividend	A	Int./Div.	K	T					
142. --Vanguard Mid-Cap ETF Index	A	Int./Div.	L	T					
143. --Vanguard S&P 500 Growth ETF	A	Int./Div.	L	T					
144. --Doubleline Core Fixed Income I	A	Int./Div.	K	T					
145. --American Europacific Growth F	A	Int./Div.	K	T					
146. --American Growth Fund of American Fi	A	Int./Div.	K	T					
147. --American Washington Mutual F	A	Int./Div.	L	T					
148. --First Eagle Global I	A	Int./Div.	L	T	Buy (add'l)	04/17/18	K		
149. --Iva Worldwide I	A	Int./Div.			Sold	04/07/18	K		
150. --Ivy Asset Strategy I	A	Int./Div.			Sold	02/15/18	K		
151. --Neuberger Berman Genesis TR	A	Int./Div.	J	T					
152. --Pimco Income P	A	Int./Div.	K	T					
153. --Pioneer Dynamic Credit	A	Int./Div.	J	T	Sold (part)	10/25/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Pioneer Flexible Oppty (See Part VIII)	A	Int./Div.	K	T					
155. --Pioneer Strategic Income Y	A	Int./Div.	K	T					
156. --Wells Fargo Adv Absolut Ret Adm	A	Int./Div.			Sold	01/20/18	K		
157. --Gugenheim Limited Duration 1	A	Int./Div.	K	T					
158. --AQR Long-Shorty Eq Inst	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	
159. --AQR Style Premia Alt 1	A	Int./Div.	K	T	Sold (part)	06/14/18	J	A	
160. --SPDR Doubleline Tr Tact ETF	A	Int./Div.	K	T	Sold (part)	05/16/18	J	A	
161. --Loomis Sayles Strategic Inc Y	A	Int./Div.	K	T					
162. --Loomis Sayles Core Plus Bd Y	A	Int./Div.	K	T					
163. --American Balanced	A	Int./Div.	K	T					
164. --Gateway Fund	A	Int./Div.	K	T					
165. --Vanguard Short Term Corp.	A	Int./Div.	K	T					
166. --SPDR DL Shrt Duration TR	A	Int./Div.	K	T	Buy	05/16/18	K		
167. --Calamos Phineus Long/Short	A	Int./Div.	K	T	Buy	06/14/18	K		
168. --Templeton Global BD FD ADV	A	Int./Div.	K	T	Buy	12/06/18	K		
169. Merrill Lynch IRA	A	Interest	J	T					
170. TIAA-CREF Retirement Plan	A	Int./Div.	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --TIAA-CREF Trad'l Fund	A	Int./Div.	L	T					
172. --CREF Stock Fund	A	Int./Div.	M	T					
173. --Real Estate Fund	A	Dividend	K	T					
174. Fidelity Retirement Account									
175. --Vanguard Inst. Tr.	A	Int./Div.	L	T					
176. --Fidelity Low Priced Stk	A	Dividend	M	T					
177. --Vanguard VMMR	A	Interest	J	T					
178. Rental Property New York City (\$970,000 cost prchsd 5/13/16	D	Rent	O	R	Buy	05/13/18	O		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Hoffman, Melvin S.

Date of Report

06/04/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Melvin S. Hoffman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544