

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwood, Bruce A.	2. Court or Organization United States Bankruptcy Court - District of New Hampshire	3. Date of Report 08/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court 55 Pleasant Street Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director and Officer	American Bankruptcy Institute
2.	Trustee	Trust #1 (family member sole beneficiary)
3.	Trustee	Trust #2 (family member sole beneficiary)
4.	Trustee	Trust #3 (family member sole beneficiary)
5.	Trustee	Trust #4 (family member sole beneficiary)
6.	Trustee	Trust #5 (family member sole beneficiary)
7.	Trustee	Trust #6 (family member sole beneficiary)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	April 18-22, 2018	Washington, DC	Educational seminar and board of directors meeting	Transportation, Lodging, Meals
2. National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	Educational seminar and annual meeting	Lodging
3. American Bankruptcy Institute	December 6-9, 2018	Scottsdale, AZ	Educational seminar and board of directors meeting	Transportation, Lodging, Meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common AMLP - ALERIAN MLP ETF	D	Dividend	L	T	Buy (add'l)	01/02/18	J		
2.					Buy (add'l)	11/07/18	J		
3.					Buy (add'l)	12/11/18	J		
4.					Sold (part)	12/11/18	K		
5.					Buy (add'l)	12/31/18	J		
6. AHLYX- AMER BEACON AHL MNGD FUT FUND		None	K	T	Buy	12/28/18	K		
7. AQMIX - AQR MANAGED FUTURES STRATEGY I FUND		None	L	T	Buy (add'l)	01/03/18	J		
8.					Buy (add'l)	06/29/18	J		
9.					Buy (add'l)	11/07/18	J		
10.					Buy (add'l)	12/11/18	J		
11.					Sold (part)	12/11/18	K		
12.					Sold (part)	12/28/18	J		
13. BGCIX - BLACKROCK GLOBAL L/S CREDIT I FUND	B	Dividend	L	T	Buy (add'l)	01/02/18	J		
14.					Buy (add'l)	10/05/18	J		
15.					Buy (add'l)	11/07/18	J		
16.					Buy (add'l)	12/31/18	J		
17. CMNIX - CALAMOS MARKET NEUTRAL INC I FUND	C	Dividend	L	T	Buy (add'l)	01/02/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	10/05/18	J		
19.					Buy (add'l)	11/07/18	J		
20. Common CVX - CHEVRON CORP	A	Dividend	J	T					
21. FT NORTH AMER ENERGY ETF		None	K	T	Buy	12/11/18	K		
22.					Buy (add'l)	12/28/18	J		
23. GJRTX GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND	A	Distribution	J	T	Buy	04/30/18	J		
24.					Buy (add'l)	11/07/18	J		
25. PDBC INVESCO OPTIMUM YIELD DIVERSIFIED FUND	A	Dividend	K	T	Buy	12/11/18	K		
26.					Buy (add'l)	12/28/18	K		
27. IQ HEDGE MUTLI-STRAT TRACKER ETF		None	L	T	Buy	06/11/18	L		
28. IQHX - IQ ALPHA HEDGE STRAT INST FUND		None			Sold (part)	04/30/18	J		
29.					Sold (part)	06/11/18	L	A	
30.					Sold	06/14/18	J	A	
31. ICF ISHARES COHEN & STEERS REIT ETF		None	J	T	Buy	12/28/18	J		
32. AOC ISHARES CORE CONSERVATIVE ETF		None	J	T	Buy	12/11/18	J		
33. AGG ISHARES CORE US AGGREGATE ETF	A	Dividend			Buy	12/11/18	K		
34.					Sold	12/28/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Common TIP - ISHARES BARCLAYS TIPS BD ETF	C	Dividend	M	T	Buy (add'l)	01/02/18	J		
36.					Buy (add'l)	11/07/18	J		
37.					Buy (add'l)	12/11/18	J		
38. EEM - ISHARES MSCI EMERGING MARKETS ETF	B	Dividend	L	T					
39. Common SCZ - ISHARES TR MSCI SMALL CAP	C	Dividend	L	T					
40. Common MERFX - MERGER FUND	B	Dividend	M	T	Buy (add'l)	01/02/18	J		
41.	C	Distribution							
42. Common - STPZ - PIMCO 1-5 YEAR US TIPS INDEX FUND	C	Dividend	M	T	Buy (add'l)	01/02/18	J		
43.					Buy (add'l)	11/07/18	J		
44. PALPX - PIMCO ALL ASSET P FUND	C	Dividend	L	T	Buy (add'l)	11/07/18	J		
45.					Sold (part)	12/11/18	J		
46. PCRPX - PIMCO COMMOD REAL RET STRATP FUND	C	Dividend	L	T	Buy (add'l)	01/02/18	J		
47.					Buy (add'l)	03/01/18	J		
48.					Buy (add'l)	10/05/18	J		
49.					Buy (add'l)	11/07/18	J		
50.					Buy (add'l)	12/11/18	J		
51.					Sold (part)	12/11/18	K		

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52.					Buy (add'l)	12/31/18	J		
53. Common RWX - SPDR DJ WILSHIRE INTL REAL EST	C	Dividend	K	T	Buy (add'l)	10/05/18	J		
54.					Buy (add'l)	12/11/18	J		
55.					Sold (part)	12/11/18	J		
56.					Buy (add'l)	12/31/18	J		
57. Common TFI - SPDR NUVEEN BBG BARCLAYS MUN B ETF -	B	Dividend	L	T	Buy (add'l)	03/01/18	J		
58.					Buy (add'l)	10/05/18	J		
59. Common SHM - SPDR NUVEEN BARCLAYS SHRT TERM	A	Dividend	L	T	Buy (add'l)	01/08/18	J		
60.					Buy (add'l)	10/05/18	J		
61. Common VEA - VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	N	T	Buy (add'l)	11/07/18	J		
62.					Buy (add'l)	12/12/18	J		
63.					Buy (add'l)	12/31/18	J		
64. Common VWO - VANGUARD FTSE EMERGING MARKETS E	C	Dividend	M	T					
65. Common VUG - VANGUARD GROWTH ETF	C	Dividend	N	T	Sold (part)	11/06/18	J	A	
66. Common VNQ - VANGUARD REIT ETF	C	Dividend	L	T	Buy (add'l)	03/01/18	J		
67.					Buy (add'l)	12/11/18	J		
68. Common BSV - VANGUARD SHORT TERM BND	C	Dividend	M	T	Buy (add'l)	01/10/18	J		

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69.					Buy (add'l)	11/07/18	J		
70. Common VBK - VANGUARD SM CAP GROWTH ETF	A	Dividend	M	T					
71. Common VBR - VANGUARD SM VALUE ETF	B	Dividend	M	T					
72. Common BND - VANGUARD TOTAL BOND MARKET	C	Dividend	M	T	Buy (add'l)	01/02/18	J		
73.					Buy (add'l)	11/07/18	J		
74.					Buy (add'l)	12/11/18	J		
75. Common VTV - VANGUARD VALUE ETF INDEX	D	Dividend	N	T	Sold (part)	11/06/18	J	A	
76. Morgan Stanley Bank N.A. Money Market Account (Bank Deposit Program)	A	Interest	L	T					
77. CITIZENS BANK - deposit accounts	A	Interest	K	T					
78. Common BENGUET CORP		None	J	T					
79. Common ENERGIZER HOLDINGS INC (Custodian)		None	J	T					
80. Common BOEING COMPANY (Custodian)		None	J	T					
81. Trust #1 (H)									
82. - CITIZENS BANK - bank account	A	Interest	J	T			J		
83. Trust #3 (H)									
84. - CITIZENS BANK - bank account	A	Interest	K	T					
85. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T					

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86. Trust #4 (H)									
87. - NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T			J		
88. Trust #5 (H)									
89. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	J	T			J		
90. Trust # 6 (H)									
91. -NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
92. Common PRINCIPAL FINANCIAL GROUP INC	A	Dividend	J	T					
93.									
94.									
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 12: The ticker symbol for EEM - ISHARES MSCI EMERGING MARKETS ETF was listed incorrectly on Part VII, Line 20 of my 2017 report as "EEN" rather than "ETF." It is the same fund, and the correct ticker symbol is used in Part VII of this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bruce A. Harwood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544