

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 08/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	D	Dividend	N	T					
2. Walt Disney Co.	A	Dividend	K	T					
3. Home Depot Inc.	A	Dividend	K	T					
4. Exxon Mobil Corp.		None			Sold	12/20/18	K		
5. Berkshire Hathaway		None	M	T					
6. Quest Diagnostics	A	Dividend	K	T					
7. Conn. St. Gen. Rev. Bonds	A	Interest	M	T					
8. Capital One Savings Bank	A	Interest	M	T					
9. US Trust Co of NY Bank of America Checking Account		None	K	T					
10. Guilford Savings Bank		None	J	T					
11. Columbia Select Large Cap. Fund		None	J	T	Redeemed (part)	08/17/18	J	A	
12. MasterCard Inc.	A	Dividend	L	T					
13. Southington, CT GO Bonds (Non-Callable - due 9/1/17 & 8/1/18)	C	Interest			Matured	08/01/18	M		
14. Easton, CT GO Bonds	C	Interest			Matured	12/24/18	M		
15. West Hartford, CT GO Bonds	C	Interest	M	T					
16. Regional School District 18 CT Bonds	D	Interest	M	T					
17. Wethersfield, CT GO Bonds	D	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TJX Cos., Inc.	A	Dividend	K	T					
19. Church & Dwight, Inc.	A	Dividend	K	T	Sold (part)	04/20/18	J	B	
20.					Sold (part)	04/23/18	J	B	
21. Hershey Co.		None			Sold (part)	04/20/18	J	B	
22.					Sold	04/23/18	J	B	
23. Cerner Corp.		None			Sold	02/28/18	J	D	
24. Hunt JB Trans Svcs. Inc.	A	Dividend	J	T					
25. Apple, Inc.	B	Dividend	L	T					
26. Southington, CT GO Bonds (Non-Callable - due 8/1/18)	B	Interest			Matured	08/01/18	M		
27. Johnson & Johnson	A	Dividend	K	T					
28. LyondellBasell Industries	A	Dividend	K	T					
29. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	07/16/18	J	A	
30. Booking Holdings, Inc. (previously "Priceline Group"; see Part VIII)		None	K	T					
31. Amphenol Corp.	A	Dividend	K	T					
32. Salesforce.com		None	K	T					
33. Metro-West T/R BD	E	Interest			Redeemed	12/21/18	O		
34. Starbucks	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Zoetis, Inc.	A	Dividend	K	T					
36. Danaher Corp.	A	Dividend	K	T					
37. Facebook, Inc.		None	K	T					
38. Microsoft Corp.	B	Dividend	L	T					
39. Verizon	A	Dividend	J	T					
40. Columbia CT Intermediate Muni Bond Fund	D	Interest	N	T					
41. Amazon.com		None	L	T					
42. Alphabet Inc.		None	L	T					
43. Lauder Estee Cos. Inc.	A	Dividend	J	T	Sold (part)	07/16/18	J	B	
44. BB & T Corp.	A	Dividend	J	T					
45. Charles Schwab Corp.	A	Dividend	K	T					
46. Celgene Corp.		None			Sold	03/12/18	J		
47. EOG Resources Inc.	A	Dividend	J	T					
48. Intercontinental Exchange Inc.	A	Dividend	K	T					
49. Jacobs Engineering Group Inc.	A	Dividend	K	T					
50. Raytheon Co.	A	Dividend	J	T	Sold (part)	07/30/18	J	C	
51. Columbia AMT-Free Conn. Inter. Muni Bond Fund	D	Interest	N	T					

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52. Vanguard Small-Cap. ETF	B	Dividend	M	T					
53. Fortive Corp.	A	Dividend	J	T					
54. Teladoc, Inc.		None	K	T	Buy (add'l)	04/20/18	J		
55.					Buy (add'l)	04/23/18	J		
56. United Parcel Service	A	Dividend	K	T					
57. Accenture PLC	A	Dividend	K	T					
58. Alibaba Group Holding, Ltd.		None	J	T					
59. Leidos Holdings, Inc.	A	Dividend	J	T					
60. Abiomed, Inc.		None	J	T	Buy	07/26/18	J		
61. Coca-Cola Co.	A	Dividend	J	T	Buy	04/18/18	J		
62.					Sold (part)	07/16/18	J		
63. Constellation Brands, Inc.	A	Dividend	J	T	Buy	06/29/18	J		
64.					Sold (part)	07/16/18	J		
65. Ingersoll-Rand Co., Ltd.	A	Dividend	J	T	Buy	07/26/18	J		
66. Vertex Pharmaceuticals		None	J	T	Buy	07/30/18	J		
67. Doubleline Total Return Bond Fund		None	O	T	Buy	12/21/18	O		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 13: Southington CT GO Bonds (Non-Callable- due 9/1/17 & 8/1/18) - Please note that this investment was comprised of two components -- with one part maturing on 9/1/17 and one on 8/1/18. Therefore, it was marked as "Matured" on the 2017 Report (Part VII, page 4, line 15) to reflect that the 9/1/17 portion matured. Then, this year the 8/1/18 portion "Matured," as reflected at line 13 on the present Report (Part VII, page 4).

Part VII, page 5, line 30: "Priceline Group" was listed as an asset in Part VII, page 6, line 38, on the 2017 Report. During 2018, Priceline changed its name to "Booking Holdings, Inc.," which thus appears in place of "Priceline Group" in this year's Report (Part VII, page 5, line 30).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles S. Haight, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544