

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) James, Teresa J.	2. Court or Organization U.S District Court - Kansas	3. Date of Report 07/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Robert J. Dole United States Courthouse 500 State Ave. Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President/Past President	Earl E. O'Connor Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Asbury United Methodist Church (salary)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Commerce Bank (cash)	A	Interest	L	T					
2. Account #1 (H)									
3. Vanguard Intermediate Term Investment Grade Inv (VFICX)	A	Dividend			Sold	01/22/18	J		
4. Vanguard Prime Money Market Fund (VMMXX)	A	Dividend			Sold (part)	01/02/18	J		
5.					Sold	12/27/18	J		
6. Vanguard Total International Bond Index Admiral (VTABX)	C	Dividend	L	T					
7. Vanguard Total Intl Stock Index Adm (formerly Inv) (VTIAX)	C	Dividend	M	T	Buy	01/02/18	M		
8. Vanguard Total Bond Market Index Fund (VBTLX)	C	Dividend	L	T	Buy (add'l)	01/22/18	J		
9. Vanguard 500 Index Fund Admiral (VFIAX)	D	Dividend	N	T	Buy (add'l)	01/02/18	L		
10.					Buy (add'l)	02/02/18	L		
11.					Buy (add'l)	02/05/18	L		
12.					Buy (add'l)	02/08/18	K		
13.					Buy (add'l)	12/27/18	J		
14. Account #2 (H)									
15. Vanguard Federal Money Market Fund (cash)	B	Dividend	J	T					
16. Alphabet Inc Cl C (GOOG)		None	K	T	Buy (add'l)	02/08/18	J		
17.					Sold (part)	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	10/16/18	K		
19. Alphabet Inc Cl A (GOOGL)		None	K	T	Buy (add'l)	02/08/18	J		
20.					Sold (part)	04/10/18	J		
21.					Buy (add'l)	10/16/18	K		
22. Amazon.Com Inc (AMZN)		None	M	T	Sold (part)	01/24/18	J		
23.					Buy (add'l)	02/05/18	K		
24.					Buy (add'l)	02/08/18	J		
25.					Sold (part)	04/10/18	K		
26.					Buy (add'l)	08/01/18	K		
27.					Sold (part)	08/29/18	K		
28.					Buy (add'l)	09/17/18	K		
29. Apple Inc (AAPL)	A	Dividend	K	T	Sold (part)	08/28/18	J		
30.					Sold (part)	08/29/18	J		
31. AT&T Inc (T)	A	Dividend	J	T					
32. Duke Energy Corp New (DUK)	B	Dividend	K	T	Buy	01/26/18	K		
33. Exxon Mobil Corp (XOM)	B	Dividend	K	T					
34. Facebook Inc Cl A (FB)		None	K	T	Buy	01/17/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Oneok Inc New (OKE)	B	Dividend	K	T	Buy	02/05/18	K		
36. Account #3 (H)									
37. Alphabet Inc Com Cl C (GOOG)		None	K	T					
38. Apple Inc Com (AAPL)	A	Dividend	K	T					
39. AT&T Inc Com (T)	B	Dividend	K	T					
40. Duke Energy Holdings Corp Com (DUK)	A	Dividend	J	T	Buy	01/24/18	J		
41. Account #4 (H)									
42. Vanguard 500 Index Admiral Cl (VFIAX)	B	Dividend	L	T					
43. Account #5 (H)									
44. Vanguard 500 Index Fund Adm (VFIAX)	B	Dividend	L	T					
45. Account #6 (H)									
46. American Funds American Balanced Fund A (ABALX)	A	Dividend	J	T					
47. Account #7 (H)									
48. Vanguard Target Retirement 2025 Investor Cl (VTTVX)	B	Dividend	L	T					
49. Account #8 (H)									
50. Wespath CRSP Stable Value Fund (SVF)		None	K	T					
51. Wespath CRSPFixed Income Fund (FIF)		None	M	T					

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52. Wespath CRSP Extended Term Fixed Income Fund (ETFIF)		None	L	T					
53. Wespath CRSP Multiple Asset Fund (MAF)		None	L	T					
54. Wespath CRSP U.S. Equity Fund (USEF)		None	M	T					
55. Wespath CRSP International Equity Fund (IEF)		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 1, 15, and 41-55: These assets became reportable in 2018 without corresponding reportable transactions.

Part VII, line 37: Name change; see 2017, line 50.

Part VII, line 54 (2017): This asset became unreportable in 2018 without a corresponding reportable transaction.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Teresa J. James**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544