

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/18-12/18	Partner, Law Firm - Pashman Stein Walder Hayden PC, Hackensack, NJ
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FJC Harvard LS Workshop on Science Informed Decision Making	5-1-18 - 5-4-18	Cambridge, MA	Workshop	Hotel, Transportation, Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1 (H)									
2. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	08/23/18	J		
3.					Buy (add'l)	08/27/18	J		
4. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
5. - Duke Energy Corp New Common Stock	A	Dividend	J	T					
6. - Enterprise Prods Partners LP Common Stock		None	K	T					
7. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
8. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
9. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
10. - Pfizer Inc. Common Stock	A	Dividend	K	T					
11. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	J	T	Sold (part)	03/26/18	J	B	
12.					Sold (part)	04/25/18	J	A	
13. - Walt Disney Co. Common Stock	A	Dividend	K	T					
14. - Aqua America Inc. Common Stock	A	Dividend	K	T					
15. - AT&T Inc. Common Stock	B	Dividend	K	T					
16. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T					
17. - Microsoft Corp Common Stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock		None			Sold	03/26/18	J		
19. -Procter & Gamble Co. Common Stock	A	Dividend	K	T	Buy (add'l)	06/07/18	J		
20. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
21. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
22. - McDonalds Corp Common Stock	A	Dividend	K	T					
23. - Wells Fargo & Co Common Stock	A	Dividend	J	T	Sold (part)	12/18/18	J		
24.					Buy (add'l)	12/28/18	J		
25. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T					
26. - Conagra Foods Inc. Common Stock	A	Dividend	J	T	Sold (part)	02/12/18	J	C	
27. - Deere & Co. Common Stock	A	Dividend	K	T	Sold (part)	03/26/18	J	B	
28. - General Electric Co. Common Stock	A	Dividend	J	T					
29. - Kellogg Co. Common Stock	A	Dividend	J	T					
30. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T					
31. - Nustar Energy LP Common Stock		None	J	T	Sold (part)	12/18/18	J		
32. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T					
33. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
34. - Quanta Services Inc. Common Stock		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Raytheon Company Common Stock	A	Dividend	K	T					
36. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	C	Interest	L	T					
37. - New Jersey ST Edl Facs Auth Rev Refdg - Kean Univ Bond	B	Interest	K	T					
38. - California St Var Purp Genl Oblig Municipal Bond	B	Interest	K	T					
39. - Boeing Co. Common Stock	B	Dividend	L	T					
40. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
41. - NJ Higher Ed Assistant Auth Bond	A	Interest	K	T	Redeemed (part)	07/16/18	J		
42. - DowDupont Inc. Common Stock	A	Dividend	K	T					
43. - Emerson Electric Co Common Stock	A	Dividend	K	T	Buy (add'l)	12/28/18	J		
44. - Nucor Corp Common Stock	A	Dividend	K	T					
45. - Morgan Stanley Bank NA Bank Deposit Program	A	Interest	M	T					
46. - Buckeye Partners LP		None	J	T					
47. - Eaton Corp. PLC SHS Common Stock	A	Distribution	J	T					
48. - MSA Safety Inc. Common Stock		None			Sold	02/12/18	J	D	
49. - Pentair Plc Common Stock	A	Dividend			Sold (part)	04/25/18	J	A	
50.					Sold (part)	07/30/18	J	B	
51.					Sold	11/14/18	J	C	

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52. - Weyerhaeuser Co. Common Stock	A	Distribution	J	T					
53. - Xylem Inc. Common Stock	A	Dividend	K	T					
54. - Apple Inc. Common Stock	A	Dividend	J	T					
55. - BP PLC ADS Common Stock	A	Dividend	J	T					
56. - Hartford Fin Sers Grp Inc Common Stock	A	Dividend			Sold	02/12/18	J	C	
57. - Timken Co Common Stock	A	Dividend	K	T					
58. - Puerto Rico Elec Pwr Auth Rev Ref Ser- MM Bond	B	Interest	K	T					
59. - Conoco Phillips Common Stock	A	Dividend	J	T					
60. - Exelon Corp. Common Stock	A	Dividend	J	T					
61. - John Hancock Bk & Thrift Fund	A	Dividend	J	T					
62.	A	Distribution							
63. - Merck & Co Inc Common Stock	A	Dividend	J	T					
64. - Steel Dynamics Inc Common Stock	A	Dividend	J	T	Sold (part)	04/25/18	J	B	
65. - Kraft Heinz Co Common Stock	A	Dividend			Sold	07/30/18	J	C	
66. - Aerie Pharmaceuticals Inc. Common Stock		None	K	T	Buy (add'l)	02/12/18	J		
67.					Buy (add'l)	05/17/18	J		
68.					Buy (add'l)	10/05/18	J		

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69.					Buy (add'l)	10/26/18	J		
70. - Bank of America Corp. Common Stock	A	Dividend	J	T					
71. - Quotient Ltd Shs Common Stock		None	J	T	Buy (add'l)	06/15/18	J		
72.					Buy (add'l)	10/26/18	J		
73. - Lamb Weston Hldgs Inc Common Stock	A	Dividend			Sold (part)	03/26/18	J	C	
74.					Sold	07/30/18	J	D	
75. - Cypress Semiconductor Common Stock	A	Dividend	J	T					
76. - Cancer Genetics Inc. Common Stock		None			Sold	04/10/18	J		
77. - Global Blood Therapeutics Common Stock		None	K	T	Buy (add'l)	06/05/18	J		
78.					Buy (add'l)	07/12/18	J		
79.					Buy (add'l)	09/27/18	J		
80.					Buy (add'l)	10/26/18	J		
81. - Maxwell Tech Inc. Common Stock		None	J	T	Sold (part)	12/20/18	J		
82. - Sabre Corporation Common Stock	A	Dividend	J	T					
83. - BASF SE ADR Common Stock		None	J	T	Buy	12/28/18	J		
84. - Enbridge Inc Common Stock	A	Dividend	K	T	Buy	09/27/18	K		
85. - Golar Lng Ltd Common Stock	A	Dividend	J	T	Buy	08/23/18	J		

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86. - Kinder Morgan Incorp Common Stock	A	Distribution	J	T	Buy	09/26/18	J		
87. - Newell Brands Inc common Stock	A	Dividend	J	T	Buy	10/09/18	J		
88.					Buy (add'l)	11/06/18	J		
89.					Buy (add'l)	12/28/18	J		
90. - Federated Prime Csh Oblgtn WS Mutual Fund	B	Dividend	M	T	Buy	02/01/18	L		See Note in Part VIII
91. - nVent Electric PLC Common Stock	A	Dividend			Spinoff (from line 49)	05/03/18	J		See Note in Part VIII
92.					Sold	11/14/18	J	C	
93. BROKERAGE ACCOUNT #3 (H)									
94. - Morgan Stanley Bank NA	B	Dividend	M	T					
95.	A	Interest							
96. IRA/401K ACCOUNTS (H)									
97. - Morgan Stanley Bank NA Cash Account	A	Interest	L	T					
98. - Altria Group Inc. Common Stock	A	Dividend	J	T					
99. - Bank of America Corp Common Stock	A	Dividend	J	T					
100. - Bank of New York Mellon Corp Common Stock	A	Dividend	J	T					
101. - BB&T Corp Common Stock	A	Dividend	J	T					
102. - Celgene Corp Common Stock		None	J	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. - Cisco Sys Inc Common Stock	A	Dividend	J	T					
104. - Cubesmart Common Stock	A	Dividend	J	T					
105. - Diebold NXFD Inc Common Stock	A	Dividend			Sold	08/08/18	J		
106. - DowDupont Inc. Common Stock	A	Dividend	J	T					
107. - Emerson Electric Co Common Stock	A	Dividend	J	T					
108. - General Electric Co Common Stock	A	Dividend	J	T					
109. - Hollyfrontier Corp Common Stock	A	Dividend			Sold	07/30/18	J	C	
110. - Kinder Morgan Incorp Common Stock	A	Dividend	J	T	Buy (add'l)	09/26/18	J		
111. - Marathon Petroleum Corp Common Stock	A	Dividend	J	T	Buy (add'l)	11/06/18	J		
112. - Merck & Co Inc Common Stock	A	Dividend	J	T					
113. - Metlife Incorporated Common Stock	A	Dividend	J	T					
114. - Novo Nordisk A.S ADR Common Stock	A	Dividend	J	T					
115. - Old Republic Intl CP Common Stock	A	Dividend	J	T					
116. - Paypal Hldgs Inc Common Stock		None	J	T					
117. - Pfizer Inc Common Stock	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
118. - Qualcomm Inc Common Stock	A	Dividend	J	T	Buy (add'l)	08/23/18	J		
119. - Toronto Dom Bk New Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - US Bancorp New Common Stock	A	Dividend	J	T					
121. - Unilever PLC Common Stock	A	Dividend	J	T					
122. - Wells Fargo & Co New Common Stock	A	Dividend	J	T					
123. - Weyerhaeuser Co Common Stock	A	Dividend	J	T					
124. - T Rowe Price Sm Cap Val Adv Fund	A	Dividend	L	T					
125.	D	Distribution							
126. - AT&T Common Stock	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
127. - Bristol Myers Squibb Co Common Stock	A	Dividend	J	T					
128. - Cypress Semiconductor Common Stock	A	Dividend	J	T					
129. - Gladstone Land Corp Common Stock	A	Dividend	J	T					
130. - John Hancock Financial Opps Fd	A	Dividend	J	T					
131. - Harmon Armstrong Sys Info Common Stock	A	Dividend	J	T					
132. - Maxwell Tech Inc. Common Stock (Y)									
133. - New SR Invt. Group Common Stock	A	Dividend			Sold	07/30/18	J		
134. - Nucor Corp Common Stock	A	Dividend	J	T					
135. - Permian Basin Rlty Uni BI Common Stock	A	Distribution	J	T					
136. - Sabre Corporation Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - San Juan Basin Rty Tr UBI Common Stock	A	Distribution	J	T					
138. - Tallgrass Energy Gp, LP Common Stock	A	Dividend	K	T	Buy (add'l)	08/08/18	J		
139. - Templeton Eng Mkt Incm Fd	A	Dividend	J	T					
140. - Proctor & Gamble Common Stock	A	Dividend	J	T	Buy	06/07/18	J		
141. - BP LLC ADS Common Stock	A	Dividend	J	T	Buy	07/16/18	J		
142.					Buy (add'l)	12/28/18	J		
143. - Enbridge Inc Common Stock	A	Dividend	K	T	Buy	03/20/18	J		
144.					Buy (add'l)	08/08/18	J		
145.					Buy (add'l)	09/27/18	J		
146. - Newell Brands Inc Common Stock	A	Dividend	J	T	Buy	08/12/18	J		
147.					Buy (add'l)	10/09/18	J		
148.					Buy (add'l)	11/06/18	J		
149. - BASF SE SP ADR Common Stock		None	J	T	Buy	12/28/18	J		
150. - Natl Fuel Gas Co Common Stock	A	Dividend	J	T	Buy	03/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 90 - Federated Prime Cash Obligations WTS money market fund utilized as cash account

Part VII, Line 91 - Due to a spinoff, holders of Pentair Plc common stock received 1 share of nVent Electric Plc common stock for every Pentair Plc common stock on 5/3/2018

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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