

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Latham, Christopher B.	2. Court or Organization Bankruptcy Court-Calif. Southern	3. Date of Report 03/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Jacob Weinberger United States Courthouse 325 West F Street San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Pillsbury Winthrop Shaw Pittman LLP -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, San Diego, CA (1994 \$214,000)	E	Rent	M	R					
2. Wells Fargo Bank (deposit accounts)	A	Interest	M	T					
3. ScholarShare 529 account (age-based portfolio)- r #1	A	Int./Div.	L	T					
4. ScholarShare 529 account (age-based portfolio)- #2	A	Int./Div.	L	T					
5. Schwab Money Market	A	Interest	J	T					
6. Schwab IRA #1-Money Market	D	Interest	L	T	Sold (part)	07/24/18	J		
7.					Sold (part)	08/09/18	J		
8. Schwab IRA #1-Invesco S&P 500 Equal Weight ETF (RSP)	B	Int./Div.	L	T					
9. Schwab IRA #1-Vanguard FTSE Dev'd Markets ETF (VEA)	D	Int./Div.	K	T					
10. Schwab IRA #1-Vanguard Growth Fund (VUG)	A	Int./Div.	K	T	Buy (add'l)	07/24/18	J		
11.					Buy (add'l)	08/09/18	J		
12. Schwab IRA #1-Vanguard Mid Cap Growth Fund (VOT)	A	Int./Div.	L	T					
13. Schwab IRA #1-Invesco QQQ Trust (QQQ)	A	Int./Div.	M	T	Buy (add'l)	07/24/18	J		
14.					Buy (add'l)	08/09/18	J		
15. Schwab IRA #1-Vanguard Small Cap Growth Fund (VBK)	A	Int./Div.	K	T					
16. Schwab IRA #1-Vanguard Whitehall High Div. Yield ETF (VYM)	A	Int./Div.	K	T					
17. Schwab IRA #2-Money Market	A	Interest	J	T	Sold (part)	02/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	03/01/18	J		
19.					Sold (part)	03/28/18	J		
20.					Sold (part)	04/30/18	J		
21.					Sold (part)	05/29/18	J		
22.					Sold (part)	07/12/18	J		
23. Schwab IRA #2-Vanguard Mid Cap Value Fund (VOE)	A	Int./Div.	K	T	Buy (add'l)	02/11/18	J		
24.					Buy (add'l)	03/01/18	J		
25.					Buy (add'l)	07/12/18	J		
26. Schwab IRA #2-Vanguard Small Cap Fund (VB)	A	Int./Div.	J	T					
27. Schwab IRA #2-ProShares Short 20+ Year Treas. ETF (TBF)	A	Int./Div.	K	T					
28. Schwab IRA #2-Invesco S&P 500 Equal Weight Tech ETF (RYT)	A	Int./Div.	K	T	Buy (add'l)	02/11/18	J		
29.					Buy (add'l)	03/01/18	J		
30.					Buy (add'l)	03/28/18	J		
31.					Buy (add'l)	04/30/18	J		
32.					Buy (add'l)	05/29/18	J		
33.					Buy (add'l)	07/12/18	J		
34. Fidelity 401K-Cash BrokerageLink (FDRXX)	A	Interest	L	T	Sold (part)	01/29/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	05/01/18	J		
36. Fidelity 401K-Vanguard Index Extended Market (VXF)	C	Int./Div.	M	T					
37. Fidelity 401K-Vanguard Index Total Stock Market (VTI)	D	Int./Div.	N	T	Buy (add'l)	03/29/18	J		
38.					Buy (add'l)	05/01/18	J		
39.					Buy (add'l)	05/30/18	J		
40.					Buy (add'l)	08/09/18	J		
41. Fidelity 401K-Vanguard Star Fund (VXUS)	C	Int./Div.	L	T					
42. Fidelity 401K-ProShares Short Treas. (TBF)	A	Int./Div.	J	T					
43. Vanguard GNMA Fund Admiral Shares (VFIJX)	A	Int./Div.	J	T	Buy	01/29/18	L		
44.					Sold (part)	02/02/18	K		
45.					Sold (part)	03/02/18	J		
46.					Sold (part)	03/29/18	J		
47.					Sold (part)	05/01/18	J		
48. Vanguard Windsor Fund Admiral Shares (VWNEX)	A	Int./Div.	L	T	Buy	01/29/18	J		
49.					Buy (add'l)	03/02/18	J		
50.					Buy (add'l)	03/29/18	J		
51.					Buy (add'l)	05/01/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	05/30/18	J		
53.					Buy (add'l)	07/16/18	J		
54.					Buy (add'l)	08/09/18	J		
55. Vanguard Inflation Protected Securities Fund (VIPIX)	A	Int./Div.	K	T	Buy	02/02/18	K		
56.					Sold (part)	05/30/18	J		
57.					Sold (part)	07/16/18	J		
58.					Sold (part)	08/09/18	J		
59. Fidelity IRA-Cash Deposit (QPIRQ)	A	Interest	L	T					
60. MemComputing, Inc.-Convertible Note	A	Interest	K	U	Buy	10/23/18	K		MemComputing, Inc.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

"Schwab IRA #1" here includes those positions held in the reporting judge's IRA with Schwab.

"Schwab IRA #2" here includes those positions held in the reporting [REDACTED] IRA with Schwab.

"Fidelity 401K" here includes those positions held in the reporting [REDACTED] non-IRA retirement account with Fidelity.

"Fidelity IRA" here includes those positions held in the reporting [REDACTED] IRA with Fidelity.

Note on Part VII, Line 8: On April 9, 2018, the name of this fund changed from Guggenheim S&P 500 Equal Weight ETF to PowerShares S&P 500 Equal Weight Portfolio; then on June 4, 2018, the name changed again to Invesco S&P 500 Equal Weight ETF; the symbol remains RSP.

Note on Part VII, Line 13: On June 4, 2018, the name of this fund changed from PowerShares QQQ Trust Ser 1 to Invesco QQQ Trust; the symbol remains QQQ.

Note on Part VII, Line 28: On April 9, 2018, the name of this fund changed from Guggenheim S&P 500 Equal Weight Tech ETF to Invesco S&P 500 Equal Weight Tech ETF; the symbol remains RYT.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher B. Latham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544