

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenberg, Jonathan D.	2. Court or Organization Northern District of Ohio	3. Date of Report 4/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Carl B. Stokes U.S. Courthouse 801 W. Superior Avenue, 10B Cleveland, OH 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-trustee	Family Irrevocable Trust
2.	Adjunct Professor	Case Western Reserve University
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1996	Ohio Public Employee Retirement System (OPERS) - Pension
2. 2002	School Employees Retirement System of Ohio (SERS) - Pension
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2018	Adjunct Professor, Case Western Reserve University, teaching	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Salary as Teacher's Aide, ██████████ ██████████ Board of Education
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Leonard DeFino	Tickets to three (3) Cleveland Browns Games	\$1,200.00
2.	Leonard DeFino	Plane ride to Cincinnati	\$1,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	None
2.	PNC Bank	Credit Card	None
3.	Naviant	School Loan	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. General Electric		None			Sold	11/06/18	J	A	
2. Synchrony Financial		None			Sold	11/06/18	J	A	
3. BOA - Fixed		None			Sold	04/05/18	K		
4. American Funds Capital World Gr & Inc R6		None	K	T	Buy (add'l)	11/08/18	J		
5.					Buy (add'l)	11/19/18	J		
6. Fidelity Advisor New Insights Fund		None	M	T	Buy (add'l)	11/08/18	J		
7.					Buy (add'l)	11/19/18	J		
8. Invesco Growth & Income A		None	K	T	Buy (add'l)	11/08/18	J		
9.					Buy (add'l)	11/19/18	J		
10. Vanguard Strategic Equity Fund Investor		None	K	T	Buy (add'l)	11/08/18	J		
11.					Buy (add'l)	11/19/18	J		
12. Janus Capital Group		None	N	T	Buy (add'l)	11/08/18	J		
13.					Buy (add'l)	11/19/18	J		
14. ECM Biofilms, Inc.		None	J	T					
15. Micro Tech, Inc.		None	J	T					
16. PNC Bank-Cash Account	B	Interest	M	T					
17. Key Bank-Cash Account	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Nationwide Money Market Fund-Institutional	A	Interest			Sold	10/20/17	K		
19. Nationwide Fixed Select	A	Interest	K	T	Buy (add'l)	10/20/17	K		
20.					Buy (add'l)	04/06/18	K		
21.					Buy (add'l)	11/08/18	J		
22.					Buy (add'l)	11/19/18	J		
23. Family Irrevocable Trust-Fixed Whole Life Policy-Phoenix Ins. Co.		None	O	T					
24.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In preparing this year's report, I came to learn that in my 2017 Disclosure Report, I was unaware of, and failed to list, a transfer of my Nationwide Money Market Fund-Institutional to my Nationwide Fixed Select Fund. The transfer is noted correctly in Section VII of this year's (2018) Disclosure Report.

Also, in preparing this year's report and reviewing last year's report, the entry on Line 10 of Section VII of my 2017 Disclosure Report was a repeat entry of Line 3 of that report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jonathan D. Greenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544