

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Jon D.	2. Court or Organization U.S. District Court, Maine	3. Date of Report 06/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 156 Federal Street Portland, Maine 04101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	XXX Street Condominium Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Matthew Bender & Co., book royalties	\$561.27
2. 2018	Maine Public Employees Retirement System	\$60,302.24
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	██████████, LLC, Self-employed Psychologist (self-employment income)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	May 4, 2018 - May 8, 2018	Washington DC	Ninth Quadrennial Conference	Paid Travel, hotel, and parking expenses
2. Freedom Forum Institute	November 14, 2018 - November 15, 2018	Boston Massachusetts	2018 Justice and Journalism	Paid Travel and hotel. Provided meals.
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Gorham Savings Bank	Mortgage on Property, Portland, Maine	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Blue Chip Growth	A	Dividend	K	T	Buy	12/26/18	K		
2. LSV Small Cap Value Fund Institutional	A	Dividend	J	T	Buy	12/10/18	J		
3. American Capital Income Builder	A	Dividend	K	T	Sold (part)	11/01/18	K	C	
4. American Capital World Growth and Income	A	Dividend	K	T	Sold (part)	11/01/18	K	D	
5. Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy	12/10/18	J		
6.					Buy (add'l)	12/26/18	J		
7. American Europacific Growth Fund	B	Dividend	K	T					
8. The Growth Fund of America	D	Dividend	K	T	Sold (part)	12/26/18	J	A	
9. JP Morgan Mid Cap Value Fund		None			Buy	11/01/18	J		
10.					Sold	12/10/18	J	A	
11. Flex Shares Trust Morningstar Global		None			Buy	11/02/18	J		
12.					Sold	12/11/18	J	A	
13. American Growth Fund of America	A	Dividend			Sold	11/01/18	J	A	
14. Oakmark Fund Investor Class		None			Buy	11/01/18	L		
15.					Sold	12/10/18	L	A	
16. American International Growth and Income		None			Sold	11/01/18	L	A	
17. JP Morgan US Lg Cap Core Plus	A	Dividend	J	T	Buy	12/26/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Loomis Sayles Growth CL Y	A	Dividend	J	T	Buy	12/26/18	J		
19. American New World Fund	B	Dividend	K	T	Sold (part)	12/26/18	J		
20. T Rowe Price New ERA	B	Dividend	J	T	Buy	12/26/18	J		
21. Victory Munder VRCTY Small Cap Value	A	Dividend	J	T	Buy	12/26/18	J		
22. Strategic Adv Tax Sensitive Short Dura	A	Dividend	J	T	Buy	11/01/18	J		
23.					Sold (part)	12/26/18	J	A	
24. Fidelity SAI Tax Free Bond Fund	A	Dividend	K	T	Buy	11/01/18	K		
25.					Buy (add'l)	12/02/18	J		
26. Blackrock National Muni Fund	A	Dividend	K	T	Buy	11/01/18	K		
27. Western Asset Managed Muni	A	Dividend	J	T	Buy	11/01/18	J		
28.					Buy (add'l)	12/10/18	J		
29.					Buy (add'l)	12/12/18	J		
30. T-Rowe Price Tax Free High Yield	A	Dividend	J	T	Buy	11/01/18	J		
31.					Sold (part)	12/26/18	J	A	
32. Templeton Global Fund Class A	A	Dividend	J	T	Buy	11/01/18	J		
33.					Buy (add'l)	12/10/18	J		
34. Wells Fargo Muni Bond Fund	A	Dividend	J	T	Buy	11/01/18	J		

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	12/10/18	J		
36. Fidelity Government Cash Reserves	A	Dividend	J	T					
37. iShares Core S & P 500 ETF	A	Dividend	K	T	Buy	11/02/18	K		
38.					Buy (add'l)	12/11/18	J		
39.					Buy (add'l)	12/13/18	J		
40. iShares Russel Midcap Growth	A	Dividend	J	T	Buy	12/27/18	J		
41. iShares S & P Mid Cap 400 Growth	A	Dividend	J	T	Buy	12/13/18	J		
42. iShares Russell 1000 Growth ETF	A	Dividend	J	T	Buy	12/11/18	J		
43.	A	Dividend	J	T	Buy (add'l)	12/13/18	J		
44. iShares S & P Midcap 400 Value	A	Dividend	J	T	Buy	12/11/18	J		
45.					Buy (add'l)	12/11/18	J		
46. iShares TR S & P Small Cap 600 Growth	A	Dividend	J	T	Buy	11/02/18	J		
47.					Buy (add'l)	12/11/18	J		
48.					Buy (add'l)	12/13/18	J		
49. iShares Edge MSCI USA Quality	A	Dividend	K	T	Buy	11/02/18	K		
50.					Buy (add'l)	12/13/18	J		
51. iShares Edge MSCI USA Value Factor	A	Dividend	K	T	Buy	12/13/18	K		

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52. SPDR Index Shs FDS S & P Global Nat Res	A	Dividend	J	T	Buy	12/10/18	J		
53.					Buy (add'l)	12/13/18	J		
54. Fidelity Large Cap Stock		None			Buy	11/01/18	J		
55.					Sold	12/10/18	J		
56. Fidelity Growth Company		None			Buy	11/01/18	K		
57.					Sold	12/10/18	K		
58. Fidelity Value Discovery	A	Dividend	K	T	Buy	11/01/18	J		
59.					Buy (add'l)	12/10/18	K		
60.					Buy (add'l)	12/12/18	J		
61. LSV Value Equity Fund Investor		None			Buy	11/01/18	K		
62.					Sold	12/12/18	K	A	
63. American Short Term Tax-Exempt Bond	A	Dividend			Sold	11/01/18	L	B	
64. Bed Bath & Beyond		None			Sold	11/02/18	J	A	
65. iShares MSCI Emerging Markets ETF		None			Buy	11/02/18	J		
66.					Sold	12/13/18	J	A	
67. iShares S & P Small Cap 600 Value		None			Buy	11/02/18	J		
68.					Sold	12/11/18	J	A	

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69. Janus Henderson Enterprise T		None			Buy	11/01/18	J		
70.					Sold	12/12/18	J	A	
71. Thornburg Ltd Term Muni Fund	A	Dividend			Sold	11/01/18	K	A	
72. Transamerica International Equity		None			Buy	11/01/18	J		
73.					Sold	12/10/18	J	A	
74. Fidelity Government Money Market	A	Dividend	K	T	Buy	04/30/18	J		
75. Fidelity Investments IRA #1:									
76. Fidelity International Enhanced Index Fund	A	Dividend	J	T	Buy	06/29/18	J		
77.					Buy (add'l)	07/13/18	J		
78.					Buy (add'l)	09/07/18	J		
79.					Buy (add'l)	12/12/18	J		
80.			J	T	Sold (part)	12/31/18	J	A	
81. Fidelity SAI US Value Index Fund	A	Dividend	K	T	Buy	05/29/18	K		
82.					Sold (part)	06/29/18	J		
83.					Sold (part)	09/07/18	J		
84.					Buy (add'l)	09/18/18	J		
85.					Buy (add'l)	12/12/18	J		

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86.					Buy (add'l)	12/31/18	J		
87. Fidelity SAI US Momentum Index Fund	A	Dividend	K	T	Buy	05/29/18	K		
88.					Sold (part)	06/29/18	J	A	
89.					Sold (part)	09/07/18	J	A	
90.					Buy (add'l)	09/18/18	J		
91.					Buy (add'l)	10/17/18	J		
92.					Buy (add'l)	12/31/18	J		
93. Fidelity SAI US Large Cap Index	C	Dividend	M	T	Buy	05/29/18	M		
94.					Sold (part)	06/29/18	J	A	
95.					Sold (part)	07/13/18	J	A	
96.					Sold (part)	09/07/18	J	A	
97.					Buy (add'l)	09/18/18	J		
98.					Buy (add'l)	10/17/18	J		
99.					Buy (add'l)	12/12/18	J		
100.					Buy (add'l)	12/31/18	J		
101. Fidelity SAI International Index	C	Dividend	L	T	Buy	05/29/18	L		
102.					Sold (part)	06/29/18	J	A	

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103.					Sold (part)	07/13/18	J	A	
104.					Buy (add'l)	09/07/18	J		
105.					Buy (add'l)	12/12/18	J		
106.					Buy (add'l)	12/18/18	J		
107.					Sold (part)	12/31/18	J	A	
108. Fidelity SAI Emerg Markets Index	A	Dividend	J	T	Buy	05/29/18	J		
109.					Buy (add'l)	06/29/18	J		
110.					Buy (add'l)	09/07/18	J		
111.					Sold (part)	12/12/18	J	A	
112.					Buy (add'l)	12/18/18	J		
113.					Sold (part)	12/31/18	J	A	
114. Fidelity SAI Quality Index	A	Dividend	K	T	Buy	05/29/18	J		
115.					Buy (add'l)	06/29/18	J		
116.					Buy (add'l)	09/07/18	J		
117.					Sold (part)	12/12/18	J	A	
118.					Buy (add'l)	12/18/18	J		
119.					Sold (part)	12/31/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity SAI Small Midcap 500 Index	A	Dividend	K	T	Buy	05/29/18	K		
121.					Sold (part)	06/29/18	J	A	
122.					Sold (part)	07/13/18	J	A	
123.					Sold (part)	09/07/18	J	A	
124.					Buy (add'l)	09/18/18	J		
125.					Sold (part)	12/12/18	J	A	
126.					Buy (add'l)	12/31/18	J		
127. Fidelity Strategic Income Fund	A	Dividend	J	T	Buy	06/29/18	J		
128.					Buy (add'l)	07/13/18	J		
129.					Buy (add'l)	07/13/18	J		
130. Fidelity U.S. Bond Index Instl Premium	B	Dividend	L	T	Buy	05/29/18	L		
131.					Buy (add'l)	06/29/18	J		
132.					Buy (add'l)	07/13/18	J		
133.					Buy (add'l)	09/07/18	J		
134.					Sold (part)	10/17/18	J	A	
135.					Buy (add'l)	12/12/18	J		
136.					Sold (part)	12/31/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Fidelity Conservative Income Bond Fund	B	Dividend	K	T	Buy	05/29/18	J		
138.					Buy (add'l)	06/29/18	J		
139.					Buy (add'l)	07/13/18	J		
140.					Buy (add'l)	09/07/18	J		
141.					Sold (part)	10/17/18	J		
142.					Sold (part)	12/12/18	J	A	
143.					Sold (part)	12/31/18	J	A	
144. Strategic Advisers Short Duration Fund	A	Dividend			Buy	05/29/18	K		
145.					Sold (part)	06/29/18	J	A	
146.					Sold	07/13/18	J	A	
147. Strategic Advisers Income Opportunity	A	Dividend	J	T	Buy	05/29/18	J		
148.					Buy (add'l)	07/13/18	J		
149.					Sold (part)	12/12/18	J	A	
150. Fidelity Inflat-Prot Bd Index Instl Prem	A	Dividend	J	T	Buy	06/29/18	J		
151. Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	05/23/18	J		
152. AQR Management Futures Fund	A	Dividend			Buy	05/29/18	J		
153.					Sold	06/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Doubleline Total RT Bond Fund	A	Dividend			Buy	05/29/18	J		
155.					Sold (part)	06/29/18	J	A	
156.					Sold	07/13/18	J	A	
157. iShares Tips Bond ETF	A	Dividend			Buy	05/30/18	J		
158.					Sold	07/02/18	J	A	
159. iShares iBoxx Investment Grade	A	Dividend			Buy	05/30/18	J		
160.					Sold	05/30/18	J	A	
161. iShares Core S & P Small Cap ETF	A	Dividend	K	T	Buy	05/30/18	K		
162.					Sold (part)	07/02/18	K	A	
163. iShares TR EAFE SML CP ETF	A	Dividend	J	T	Buy	05/30/18	J		
164.					Buy (add'l)	07/02/18	J		
165. iShares TR EAFE Growth ETF	A	Dividend	J	T	Buy	05/30/18	J		
166. iShares Inc Core MSCI Emerging MKTS	A	Dividend	K	T	Buy	05/30/18	K		
167.					Buy (add'l)	07/02/18	J		
168.					Buy (add'l)	09/10/18	J		
169.					Sold (part)	12/13/18	J	A	
170. Select Sector SPDR TR Energy	A	Dividend	J	T	Buy	05/30/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	07/02/18	J	A	
172.					Buy (add'l)	09/10/18	J		
173.					Buy (add'l)	12/13/18	J		
174. Fidelity Investments IRA #2:									
175. Fidelity International Enhanced Index	A	Dividend	J	T	Buy	06/29/18	J		
176.					Buy (add'l)	07/13/18	J		
177.					Buy (add'l)	09/07/18	J		
178.					Buy (add'l)	12/12/18	J		
179.					Sold (part)	11/27/18	J	A	
180. Fidelity SAI US Value Index	A	Dividend	J	T	Buy	05/29/18	J		
181.					Sold (part)	06/29/18	J	A	
182.					Sold (part)	09/07/18	J	A	
183.					Buy	09/18/18	J		
184.					Sold	11/27/18	J	A	
185.					Buy (add'l)	12/12/18	J		
186.					Buy	12/31/18	J		
187. Fidelity SAI US Momentum Index	A	Dividend	J	T	Buy	05/29/18	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	06/29/18	J	A	
189.					Sold (part)	09/07/18	J	A	
190.					Buy (add'l)	09/10/18	J		
191.					Buy (add'l)	10/17/18	J		
192.					Sold (part)	11/27/18	J	A	
193.					Buy (add'l)	12/31/18	J		
194. Fidelity SAI US Large Cap Index	A	Dividend	K	T	Buy	05/29/18	K		
195.					Sold (part)	06/29/18	J	A	
196.					Sold (part)	07/13/18	J	A	
197.					Sold (part)	09/07/18	J	A	
198.					Buy (add'l)	09/18/18	J		
199.					Buy (add'l)	10/17/18	J		
200.					Sold (part)	11/27/18	J	A	
201.					Buy (add'l)	12/12/18	J		
202.					Buy (add'l)	12/31/18	J		
203. Fidelity SAI International Index	A	Dividend	K	T	Buy	05/29/18	K		
204.					Sold (part)	06/29/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	07/13/18	J	A	
206.					Buy (add'l)	09/07/18	J		
207.					Sold (part)	11/27/18	J	A	
208.					Buy (add'l)	12/12/18	J		
209.					Buy (add'l)	12/18/18	J		
210.					Sold (part)	12/31/18	J	A	
211. Fidelity SAI Emerg Markets Index	A	Dividend	J	T	Buy	05/29/18	J		
212.					Buy (add'l)	06/29/18	J		
213.					Buy (add'l)	09/07/18	J		
214.					Sold (part)	11/27/18	J	A	
215.					Sold (part)	12/12/18	J	A	
216.					Buy (add'l)	12/18/18	J		
217.					Sold (part)	12/31/18	J	A	
218. Fidelity SAI US Quality Index	A	Dividend	J	T	Buy	05/29/18	J		
219.					Buy (add'l)	06/29/18	J		
220.					Sold (part)	07/13/18	J	A	
221.					Sold (part)	09/07/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	09/18/18	J		
223.					Sold (part)	11/27/18	J		
224.					Buy (add'l)	12/31/18	J		
225. FID SAI Small Mid Cap 500 Index Fund	A	Dividend	J	T	Buy	05/29/18	J		
226.					Sold (part)	06/29/18	J	A	
227.					Sold (part)	07/13/18	J	A	
228.					Sold (part)	09/07/18	J	A	
229.					Buy (add'l)	09/18/18	J		
230.					Sold (part)	11/27/18	J	A	
231.					Sold (part)	12/12/18	J	A	
232.					Buy (add'l)	12/31/18	J		
233. Fidelity Strategic Income Fund	A	Dividend	J	T	Buy	06/29/18	J		
234.					Buy (add'l)	07/13/18	J		
235.					Sold (part)	11/27/18	J	A	
236. Fidelity US Bond Index Fund	A	Dividend	K	T	Buy	05/29/18	J		
237.					Buy (add'l)	06/29/18	J		
238.					Buy (add'l)	07/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Levy, Jon D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	09/07/18	J		
240.					Sold (part)	10/11/18	J	A	
241.					Sold (part)	11/27/18	J	A	
242.					Buy (add'l)	12/12/18	J		
243.					Sold (part)	12/31/18	J	A	
244. Fidelity Conservative Income Bond Fund	A	Dividend	J	T	Buy	05/29/18	J		
245.					Buy (add'l)	06/29/18	J		
246.					Buy (add'l)	07/13/18	J		
247.					Buy (add'l)	09/07/18	J		
248.					Sold (part)	10/17/18	J	A	
249.					Sold (part)	11/27/18	J	A	
250.					Sold (part)	12/12/18	J	A	
251.					Sold (part)	12/31/18	J	A	
252. Strategic Advisers Short Duration Fund	A	Dividend			Buy	05/29/18	J		
253.					Sold (part)	06/29/18	J	A	
254.					Sold	07/13/18	J	A	
255. Strategic Advisers Income Opportunity	A	Dividend	J	T	Buy	05/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

Date of Report

06/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	07/13/18	J		
257.					Sold (part)	11/27/18	J	A	
258. Fidelity Inflat Prot Bond	A	Dividend	J	T	Buy	06/29/18	J		
259.					Sold (part)	12/12/18	J	A	
260.					Buy (add'l)	12/18/18	J		
261. Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	05/31/18	J		
262. AQR Managed Futures Fund	A	Dividend			Buy	05/29/18	J		
263.					Sold	06/29/18	J	A	
264. Doubleline Total RT Bond Fund	A	Dividend			Buy	05/29/18	J		
265.					Sold (part)	06/29/18	J	A	
266.					Sold	07/13/18	J	A	
267. iShares Tips Bond ETF	A	Dividend			Buy	05/30/18	J		
268.					Sold	07/02/18	J	A	
269. iShares IBOXX Investment Grade	A	Dividend			Buy	05/30/18	J		
270.					Sold	07/02/18	J	A	
271. iShares Core S & P Small-Cap ETF	A	Dividend	J	T	Buy	05/30/18	J		
272.					Sold (part)	07/02/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	09/10/18	J	A	
274.					Sold (part)	11/28/18	J	A	
275.					Buy	12/13/18	J		
276. IShares TR EAFE SML CP ETF	A	Dividend	J	T	Buy	05/30/18	J		
277.					Buy	07/02/18	J		
278.					Sold (part)	11/28/18	J	A	
279.					Buy (add'l)	12/13/18	J		
280. IShares TR EAFE Growth ETF	A	Dividend	J	T	Buy	05/30/18	J		
281. IShares Inc Core MSCI Emerging Markets	A	Dividend	J	T	Buy	05/30/18	J		
282.					Buy (add'l)	07/02/18	J		
283.					Buy (add'l)	09/10/18	J		
284.					Sold (part)	11/28/18	J	A	
285.					Sold (part)	12/13/18	J	A	
286. Select Sector SPDR TR Energy	A	Dividend	J	T	Buy	05/30/18	J		
287.					Sold (part)	07/02/18	J	A	
288.					Buy (add'l)	09/10/18	J		
289.					Sold (part)	11/28/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	12/13/18	J		
291. Fidelity IRA #3:									
292. Fidelity Government Money Market	A	Dividend	J	T					
293. Fidelity IRA #4:									
294. Fidelity International Enhanced Index Fund	A	Dividend	J	T	Buy	06/08/18	J		
295.					Buy (add'l)	06/20/18	J		
296.					Buy (add'l)	12/12/18	J		
297. Fidelity SAI US Value Index Fund	A	Dividend	J	T	Buy	05/31/18	J		
298.					Sold (part)	06/28/18	J	A	
299.					Sold (part)	09/07/18	J	A	
300.					Buy (add'l)	09/18/18	J		
301.					Buy (add'l)	12/12/18	J		
302.					Buy (add'l)	12/26/18	J		
303. Fidelity SAI US Momentum Index Fund	A	Dividend	J	T	Buy	05/31/18	J		
304.					Sold (part)	06/08/18	J	A	
305.					Buy (add'l)	06/28/18	J		
306.					Sold (part)	09/07/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	09/18/18	J		
308.					Buy (add'l)	10/17/18	J		
309.					Buy (add'l)	12/31/18	J		
310. Fidelity SAI US Large Cap Index	B	Dividend	L	T	Buy	05/31/18	L		
311.					Sold (part)	06/08/18	J	A	
312.					Sold (part)	06/20/18	J	A	
313.					Buy (add'l)	06/28/18	J		
314.					Sold (part)	09/07/18	J	A	
315.					Buy (add'l)	09/18/18	J		
316.					Buy (add'l)	10/17/18	J		
317.					Buy (add'l)	12/26/18	J		
318.					Buy (add'l)	12/31/18	J		
319. Fidelity SAI International Index	B	Dividend	K	T	Buy	05/31/18	K		
320.					Sold (part)	06/08/18	J	A	
321.					Sold (part)	06/20/18	J	A	
322.					Sold (part)	06/28/18	J	A	
323.					Buy (add'l)	09/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	12/12/18	J		
325.					Buy (add'l)	12/26/18	J		
326.					Sold (part)	12/31/18	J	A	
327. Fidelity SAI Emerg Markets Index	A	Dividend	J	T	Buy	05/31/18	J		
328.					Buy (add'l)	06/08/18	J		
329.					Sold (part)	06/28/18	J	A	
330.					Buy (add'l)	09/07/18	J		
331.					Sold (part)	12/12/18	J	A	
332.					Buy (add'l)	12/26/18	J		
333.					Sold (part)	12/31/18	J	A	
334. Fidelity SAI US Quality Index	A	Dividend	J	T	Buy	05/31/18	J		
335.					Sold (part)	06/08/18	J	A	
336.					Buy (add'l)	06/28/18	J		
337.					Sold (part)	09/07/18	J	A	
338.					Buy (add'l)	09/18/18	J		
339.					Buy (add'l)	12/26/18	J		
340. Fidelity SAI Small Mid Cap 500 Index	B	Dividend	K	T	Buy	05/31/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	06/08/18	J	A	
342.					Sold (part)	09/07/18	J	A	
343.					Buy (add'l)	09/18/18	J		
344.					Sold (part)	12/12/18	J	A	
345.					Buy (add'l)	12/31/18	J		
346. Fidelity Strategic Income Fund	A	Dividend	J	T	Buy	06/08/18	J		
347.					Buy (add'l)	06/20/18	J		
348.					Buy (add'l)	09/07/18	J		
349.					Sold (part)	12/31/18	J	A	
350. Fidelity US Bond Index Fund	B	Dividend	L	T	Buy	05/31/18	L		
351.					Buy (add'l)	06/08/18	J		
352.					Buy (add'l)	06/20/18	J		
353.					Buy (add'l)	06/28/18	J		
354.					Buy (add'l)	09/07/18	J		
355.					Sold (part)	10/17/18	J	A	
356.					Sold (part)	12/12/18	J	A	
357.					Sold (part)	12/31/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. Fidelity Conservative Income Bond Fund	A	Dividend	K	T	Buy	05/31/18	J		
359.					Buy (add'l)	06/08/18	J		
360.					Buy (add'l)	06/20/18	J		
361.					Sold (part)	06/28/18	J	A	
362.					Buy (add'l)	09/07/18	J		
363.					Sold (part)	10/17/18	J	A	
364.					Sold (part)	12/12/18	J	A	
365.					Sold (part)	12/31/18	J	A	
366. Strategic Advisers Income Opportunities	A	Dividend	J	T	Buy	05/31/18	J		
367.					Buy (add'l)	06/08/18	J		
368.					Sold (part)	06/20/18	J	A	
369. Strategic Advisers Short Duration Fund	A	Dividend			Buy	05/31/18	J		
370.					Sold (part)	06/08/18	J	A	
371.					Sold	06/20/18	J	A	
372. Fidelity Inflat Prot Bond Index	A	Dividend	J	T	Buy	06/08/18	J		
373.					Buy (add'l)	06/20/18	J		
374.					Buy (add'l)	09/07/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	12/12/18	J	A	
376. Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	05/23/18	J		
377. Ishares Core S & P Small-Cap E	A	Dividend	J	T	Buy	06/01/18	J		
378.					Sold (part)	06/11/18	J	A	
379.					Sold (part)	06/29/18	J	A	
380.					Sold (part)	09/10/18	J	A	
381.					Buy (add'l)	12/13/18	J		
382. IShares TR EAFE SML CP ETF	A	Dividend	J	T	Buy	06/01/18	J		
383.					Buy (add'l)	06/29/18	J		
384. IShares TR EAFE Growth ETF	A	Dividend	J	T	Buy	06/01/18	J		
385.					Buy (add'l)	12/13/18	J		
386. IShares Inc Core MSCI Emerging Mkts	A	Dividend	J	T	Buy	06/01/18	J		
387.					Buy (add'l)	06/11/18	J		
388.					Buy (add'l)	06/21/18	J		
389.					Sold (part)	06/29/18	J	A	
390.					Buy (add'l)	09/10/18	J		
391.					Sold (part)	12/13/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

Date of Report

06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Select Sector SPDR TR Energy	A	Dividend	J	T	Buy	06/01/18	J		
393.					Sold (part)	06/11/18	J	A	
394.					Buy (add'l)	09/10/18	J		
395.					Buy (add'l)	12/13/18	J		
396. Other Investments:									
397. AQR Managed Futures Fund	A	Dividend			Buy	05/31/18	J		
398.					Sold	06/08/18	J	A	
399. Doubleline Total RT Bond Fund	A	Dividend			Buy	05/31/18	J		
400.					Sold (part)	06/08/18	J	A	
401.					Sold	06/20/18	J	A	
402. iShares Tips Bond ETF	A	Dividend			Buy	06/01/18	J		
403.					Sold (part)	06/11/18	J	A	
404.					Sold	06/21/18	J	A	
405. iShares IBOXX Investment Grade	A	Dividend			Buy	06/01/18	J		
406.					Sold	06/11/18	J		
407. Metropolitan West Total Return	A	Dividend			Sold	05/31/18	L	A	
408. PIMCO All Asset Inst Class	A	Dividend			Sold	05/31/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Levy, Jon D.

Date of Report

06/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Wells Fargo Absolute Return Inst	A	Dividend			Sold	05/31/18	K	A	
410. TD Bank Checking Account		None	J	T					
411. Wells Fargo Cash Account	A	Interest			Sold	05/31/18	J	A	
412. US Government Series E Bonds		None	J	W					
413. US Government Series EE Bonds		None	J	W					
414. Pruco Life Insurance Co. of New Jersey Fixed Rate Annuity	B	Dividend	K	T					
415. Baml of America Checking Account		None	J	T					
416. Gorham Savings Bank	A	Interest	J	T					
417. Thornberg Investment Trust Limited Term Municipal Fund	A	Dividend	K	T					
418. Wells Fargo Advisor IRA #1:									
419. American Funds Capital World Bond Fund	A	Dividend			Sold	05/02/18	J	A	
420. American Funds Income Fund of America	A	Dividend			Sold	05/02/18	K	C	
421. American Funds Capital Income Builder	B	Dividend			Sold	05/02/18	J	A	
422. Vanguard Fixed Income Securities ShortTerm	A	Dividend			Sold	05/02/18	K	A	
423. Wells Fargo Advisor IRA #2:									
424. American Funds Capital World Bond Fund	A	Dividend			Sold	05/02/18	K	A	
425. Short Term Bond Fund America	A	Dividend			Sold	05/02/18	M	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Levy, Jon D.

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06/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Metropolitan West Funds Total Return Bond Fund	B	Dividend			Sold	05/29/18	L	A	
427. American Funds Capital Income Builder	C	Dividend			Sold	05/02/18	K	C	
428. Vanguard Fixed Income Securities Short Term	B	Dividend			Sold	05/02/18	K	B	
429. Wells Fargo Absolute Return Fund	A	Dividend			Sold	05/29/18	L	B	
430. Short Term Bond Fund American Funds	A	Dividend			Sold	05/02/18	J	A	
431. American Capital World Bond Fund	A	Dividend			Sold	05/02/18	J	B	
432. American Funds Income Fund of America	A	Dividend			Sold	05/02/18	K	B	
433. American Funds Capital Income Builder	B	Dividend			Sold	05/02/18	J	A	
434. Vanguard Fixed Income Securities Short Term	A	Dividend			Sold	05/02/18	J	A	
435. Wells Fargo Advisor IRA #4:									
436. American Funds Capital World Bond Fund	A	Dividend			Sold	05/02/18	J	A	
437. American Funds Global Balanced Fund	A	Dividend			Sold	05/02/18	J	A	
438. American Funds Capital Income Builder	A	Dividend			Sold	05/02/18	J	A	
439. Vanguard Fixed Income Securities Short Term	A	Dividend			Sold	05/02/18	J	A	
440. Fkln Mutual Glo Dist	B	Dividend			Sold	05/16/18	K	D	
441. Nuveen NWQ SC Value	B	Dividend			Sold	05/16/18	K	D	
442. SS MC Ind NL Ser	A	Dividend			Sold	05/16/18	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. AF Growth Fund Amer	B	Dividend			Sold	05/16/18	K	D	
444. SS S & P 500 Ind SL	C	Dividend			Sold	05/16/18	L	E	
445. Htfd Tot Ret Bond HLS	A	Dividend			Sold	05/16/18	J	A	
446.									
447.									

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
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Name of Person Reporting

Levy, Jon D.

Date of Report

06/12/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IV. REIMBURSEMENTS:

5/12/19 – I have filed an amended 2018 Financial Disclosure Report because I reported on my non-case travel report that I attended the 2018 Justice and Journalism Program in November 2018, but I did not understand that I was also required to include it in this report. It is now listed as item 2 in Section IV. REIMBURSEMENTS.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon D. Levy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544