

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenaway, Joseph A.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 03/17/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. P.O. & Federal Courthouse Federal Square and Walnut St. Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Associate Editor	ABA Litigation Magazine
2.	Trustee	
3.	Trustee	
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	94-96	Johnson & Johnson Employee Savings Plan
2.	95-96	Johnson & Johnson Pension Plan
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	[REDACTED] - teaching	\$17,475.00
2. 2018	[REDACTED] - teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	[REDACTED]
2. 2018	self employed consultant
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. [REDACTED]	February 1-4, 2018	Columbia, SC	Speak on panel	transportation, lodging, meals
2. National Bar Association	February 15-18, 2018	Dana Point, CA	Speak on panel	transportation, lodging, meals
3. [REDACTED]	February 28-March 4, 2018	San Francisco, CA	Attend Board of Trustees meeting	transportation, lodging, meals
4. [REDACTED]	March 19-20, 2018	[REDACTED]	Judge moot court competition	transportation, lodging, meals
5. New York Intellectual Property Law Association	March 23-24, 2018	New York, NY	Attend bar program	transportation, lodging, meal

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6.		June 8-9, 2018	New York, NY	Attend Board of Trustees meeting	transportation, meals
7.		September 6-7, 2018		Teach course	transportation, lodging
8.		September 13-14, 2018		Teach course	transportation, lodging
9.		September 20-21, 2018		Teach course	transportation, lodging
10.		October 4-6, 2018	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals
11.		October 11-12, 2018		Teach course	transportation, lodging
12.		October 25-26, 2018		Teach course	transportation, lodging
13.		November 1-2, 2018		Teach course	transportation, lodging
14.		November 29-December 1, 2018	New York, NY	Attend Board of Trustees meeting	transportation, lodging, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Citibank Mastercard	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Merck common stock	A	Dividend	K	T	Sold (part)	04/12/18	J	A	
2.					Buy (add'l)	10/29/18	J		
3. Microsoft	A	Dividend	J	T	Sold (part)	07/13/18	J	B	
4. McDonalds common stock	A	Dividend	K	T					
5. Johnson & Johnson common stock	E	Dividend	O	T	Sold (part)	11/02/18	J	A	
6. U.S. Savings Bonds	A	Interest	J	T					
7. Citibank (checking & savings)	A	Interest	J	T					
8. Disney common stock	A	Dividend	K	T					
9. Intel common stock	A	Dividend	J	T					
10. Johnson & Johnson 401(k) Diversified Equity Fund	A	Dividend	O	T					
11. GE common stock	A	Dividend	J	T					
12. Pfizer common stock	A	Dividend	J	T	Sold (part)	04/12/18	J	A	
13.					Sold (part)	06/28/18	J	A	
14. Comcast common stock	A	Dividend	J	T	Buy (add'l)	07/13/18	J		
15. Wells Fargo Securities IRA money market cash sweeps account	A	Interest	J	T					
16. Northwestern Mutual Life Insurance (whole life)		None	N	W					
17. Alphabet common stock		None	K	T	Buy (add'l)	03/28/18	J		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Sold (part)	07/13/18	J	A	
19. Apple common stock	A	Dividend	K	T	Sold (part)	07/13/18	J	A	
20. AllianceBernstein Large-Cap Growth Fund		None			Sold	09/13/18	J	A	
21. AllianceBernstein Global Thematic Growth Fund		None			Sold	09/13/18	J	A	
22. Oppenheimer Main Street Fund		None			Sold	09/13/18	J	A	
23. ADP common stock (Y)									
24. Facebook		None	J	T	Buy (add'l)	02/16/18	J		
25.					Sold (part)	07/13/18	J	A	
26.					Buy (add'l)	08/07/18	J		
27. Chase Bank	A	Interest	K	T					
28. Amazon		None	J	T					
29. Prudential Jennison Blend Fund Inc.		None			Sold	09/13/18	J	A	
30. Vanguard Total Bond Market Fund	A	Dividend			Sold	07/12/18	K		
31.	A	Distribution							
32. [REDACTED] Ret. Plan for Offs. Vanguard Target Retirement 2035	C	Dividend	M	T					
33. [REDACTED] Vol. Ret. Sav. Plan Vanguard Target Retirement 2035	C	Dividend	M	T					
34. 3M	A	Dividend			Sold (part)	03/22/18	J	A	

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	04/12/18	J		
36. Accenture	A	Dividend	J	T					
37. Amgen, Inc.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
38. Anheuser-Busch	A	Dividend			Buy (add'l)	07/13/18	J		
39.					Sold	09/10/18	J		
40. Applied Materials Inc.	A	Dividend	J	T	Sold (part)	07/13/18	J		
41. AXA Spons ADR	A	Dividend	J	T	Buy (add'l)	07/13/18	J		
42. Bank of New York Mellon Corp.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
43. Becton Dickinson	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
44. Berkshire Hathaway		None	J	T	Buy (add'l)	06/28/18	J		
45. Biogen Inc.		None	J	T					
46. Blackrock, Inc. common stock	A	Dividend	J	T	Sold (part)	02/07/18	J	A	
47. Broadcom LTD	A	Dividend	J	T	Buy (add'l)	01/08/18	J		
48.					Buy (add'l)	02/07/18	J		
49.					Sold (part)	07/13/18	J		
50.					Buy (add'l)	07/25/18	J		
51. California St. Dept. 3.127% 12/01/29	A	Interest	J	T	Sold (part)	10/19/18	J		

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52. Canadian National Railway	A	Dividend	J	T					
53. Carlisle Cos. Inc.	A	Dividend			Sold	05/25/18	J		
54. Charles Schwab Corp. common stock	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
55. Chevron Corp.	A	Dividend	J	T	Buy (add'l)	06/28/18	J		
56.					Buy (add'l)	10/29/18	J		
57. Clorox	A	Dividend	J	T					
58. Cognizant Technology Solutions Corp.	A	Dividend	J	T					
59. Credit Suisse Commodity Return Strat I Fund	B	Dividend			Buy (add'l)	01/24/18	K		
60.					Sold (part)	07/12/18	J		
61.					Sold	10/26/18	L		
62. Crown Holdings		None			Sold	05/25/18	J		
63. CVS Health Corp.	A	Dividend			Sold (part)	06/28/18	J		
64.					Sold	10/29/18	J		
65. Danaher Corp.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
66. Danone Spons ADR	A	Dividend	J	T	Buy (add'l)	07/13/18	J		
67. Deere & Co.	A	Dividend	J	T					
68. Deutsche Telekom		None			Sold	02/21/18	J		

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69. Discover Bank CD 2.35% 9/13/22	A	Interest	J	T					
70. Durham NC LTD 3.3% 10/1/27	A	Interest	K	T					
71. Emerson Electric Co.	A	Dividend	J	T					
72. ExxonMobil Corp.	A	Dividend			Sold	02/16/18	J		
73. Federated Prime Cash Obligations	A	Dividend	K	T	Buy (add'l)	01/02/18	J		
74.					Buy (add'l)	01/03/18	J		
75.					Buy (add'l)	01/04/18	J		
76.					Sold (part)	01/08/18	J		
77.					Sold (part)	01/11/18	J		
78.					Buy (add'l)	01/12/18	J		
79.					Buy (add'l)	01/16/18	J		
80.					Sold (part)	01/22/18	J		
81.					Buy (add'l)	01/24/18	J		
82.					Buy (add'l)	01/26/18	J		
83.					Buy (add'l)	01/31/18	J		
84.					Buy (add'l)	02/01/18	J		
85.					Buy (add'l)	02/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	02/09/18	K		
87.					Buy (add'l)	02/12/18	J		
88.					Sold (part)	02/07/18	J		
89.					Sold (part)	02/14/18	K		
90.					Buy (add'l)	02/15/18	K		
91.					Sold (part)	02/16/18	K		
92.					Sold (part)	02/20/18	K		
93.					Sold (part)	02/21/18	J		
94.					Buy (add'l)	02/23/18	J		
95.					Sold (part)	02/27/18	J		
96.					Buy (add'l)	02/28/18	J		
97.					Buy (add'l)	03/01/18	J		
98.					Buy (add'l)	03/02/18	K		
99.					Buy (add'l)	03/05/18	K		
100.					Buy (add'l)	03/06/18	J		
101.					Sold (part)	03/07/18	K		
102.					Buy (add'l)	03/08/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103.					Buy (add'l)	03/09/18	J		
104.					Buy (add'l)	03/12/18	J		
105.					Sold (part)	03/13/18	K		
106.					Buy (add'l)	03/14/18	J		
107.					Sold (part)	03/20/18	J		
108.					Buy (add'l)	03/21/18	J		
109.					Sold (part)	03/22/18	J		
110.					Sold (part)	03/28/18	J		
111.					Buy (add'l)	03/29/18	J		
112.					Buy (add'l)	04/02/18	J		
113.					Buy (add'l)	04/03/18	J		
114.					Sold (part)	04/04/18	J		
115.					Buy (add'l)	04/06/18	J		
116.					Buy (add'l)	04/12/18	J		
117.					Sold (part)	04/13/18	J		
118.					Buy (add'l)	04/16/18	J		
119.					Sold (part)	04/18/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	04/20/18	J		
121.					Buy (add'l)	04/25/18	J		
122.					Buy (add'l)	04/27/18	J		
123.					Sold (part)	04/30/18	J		
124.					Buy (add'l)	05/01/18	J		
125.					Buy (add'l)	05/02/18	J		
126.					Buy (add'l)	05/03/18	J		
127.					Buy (add'l)	05/08/18	J		
128.					Sold (part)	05/09/18	J		
129.					Buy (add'l)	05/11/18	J		
130.					Buy (add'l)	05/15/18	J		
131.					Buy (add'l)	05/16/18	J		
132.					Buy (add'l)	05/17/18	J		
133.					Sold (part)	05/21/18	J		
134.					Buy (add'l)	05/23/18	J		
135.					Sold (part)	05/25/18	J		
136.					Buy (add'l)	05/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/30/18	J		
138.					Buy (add'l)	05/31/18	J		
139.					Buy (add'l)	06/01/18	J		
140.					Buy (add'l)	06/04/18	J		
141.					Buy (add'l)	06/05/18	J		
142.					Buy (add'l)	06/07/18	J		
143.					Buy (add'l)	06/08/18	J		
144.					Buy (add'l)	06/11/18	J		
145.					Buy (add'l)	06/12/18	J		
146.					Buy (add'l)	06/13/18	J		
147.					Buy (add'l)	06/14/18	J		
148.					Buy (add'l)	06/19/18	J		
149.					Sold (part)	06/20/18	J		
150.					Buy (add'l)	06/21/18	J		
151.					Buy (add'l)	06/22/18	J		
152.					Buy (add'l)	06/25/18	J		
153.					Buy (add'l)	06/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/27/18	J		
155.					Buy (add'l)	06/28/18	J		
156.					Buy (add'l)	06/29/18	J		
157.					Buy (add'l)	07/02/18	J		
158.					Buy (add'l)	07/03/18	J		
159.					Buy (add'l)	07/05/18	J		
160.					Buy (add'l)	07/09/18	J		
161.					Buy (add'l)	07/11/18	J		
162.					Sold (part)	07/12/18	J		
163.					Buy (add'l)	07/13/18	J		
164.					Buy (add'l)	07/16/18	J		
165.					Sold (part)	07/20/18	J		
166.					Buy (add'l)	07/23/18	J		
167.					Sold (part)	07/25/18	J		
168.					Buy (add'l)	07/26/18	J		
169.					Buy (add'l)	07/27/18	J		
170.					Buy (add'l)	07/31/18	J		

1 Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2 Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3 Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/01/18	J		
172.					Buy (add'l)	08/02/18	J		
173.					Buy (add'l)	08/07/18	J		
174.					Buy (add'l)	08/09/18	J		
175.					Buy (add'l)	08/10/18	J		
176.					Buy (add'l)	08/13/18	J		
177.					Buy (add'l)	08/14/18	J		
178.					Buy (add'l)	08/15/18	J		
179.					Buy (add'l)	08/16/18	J		
180.					Buy (add'l)	08/17/18	J		
181.					Sold (part)	08/20/18	J		
182.					Buy (add'l)	08/24/18	J		
183.					Buy (add'l)	08/27/18	J		
184.					Buy (add'l)	08/31/18	J		
185.					Buy (add'l)	09/04/18	J		
186.					Buy (add'l)	09/05/18	J		
187.					Buy (add'l)	09/07/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	09/10/18	J		
189.					Buy (add'l)	09/11/18	J		
190.					Buy (add'l)	09/13/18	J		
191.					Buy (add'l)	09/17/18	J		
192.					Buy (add'l)	09/18/18	J		
193.					Sold (part)	09/20/18	J		
194.					Buy (add'l)	09/24/18	J		
195.					Buy (add'l)	09/26/18	J		
196.					Buy (add'l)	09/27/18	J		
197.					Buy (add'l)	09/28/18	J		
198.					Buy (add'l)	10/01/18	J		
199.					Buy (add'l)	10/02/18	J		
200.					Buy (add'l)	10/05/18	J		
201.					Buy (add'l)	10/11/18	J		
202.					Buy (add'l)	10/12/18	J		
203.					Buy (add'l)	10/15/18	J		
204.					Buy (add'l)	10/17/18	J		

1 Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3 Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/22/18	J		
206.					Buy (add'l)	10/24/18	J		
207.					Buy (add'l)	10/26/18	J		
208.					Sold (part)	10/29/18	J		
209.					Buy (add'l)	10/31/18	J		
210.					Buy (add'l)	11/01/18	J		
211.					Buy (add'l)	11/02/18	J		
212.					Buy (add'l)	11/05/18	J		
213.					Buy (add'l)	11/06/18	J		
214.					Buy (add'l)	11/09/18	J		
215.					Buy (add'l)	11/13/18	J		
216.					Buy (add'l)	11/15/18	J		
217.					Buy (add'l)	11/16/18	J		
218.					Buy (add'l)	11/19/18	J		
219.					Sold (part)	11/20/18	J		
220.					Sold (part)	11/21/18	J		
221.					Buy (add'l)	11/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Column C2)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	11/27/18	J		
223.					Buy (add'l)	11/30/18	J		
224.					Buy (add'l)	12/03/18	J		
225.					Buy (add'l)	12/04/18	J		
226.					Buy (add'l)	12/06/18	J		
227.					Buy (add'l)	12/07/18	J		
228.					Buy (add'l)	12/10/18	J		
229.					Buy (add'l)	12/11/18	J		
230.					Buy (add'l)	12/13/18	J		
231.					Buy (add'l)	12/14/18	J		
232.					Buy (add'l)	12/18/18	J		
233.					Buy (add'l)	12/19/18	J		
234.					Sold (part)	12/20/18	J		
235.					Buy (add'l)	12/21/18	J		
236.					Buy (add'l)	12/24/18	J		
237.					Buy (add'l)	12/26/18	J		
238.					Buy (add'l)	12/27/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	12/28/18	J		
240.					Buy (add'l)	12/31/18	J		
241. FedEx Corp.	A	Dividend	J	T	Buy (add'l)	07/25/18	J		
242. FHLB 2.8% 11/27/24	A	Interest	J	T					
243. Halliburton Co. (misspelled on last year's report)	A	Dividend			Sold (part)	07/13/18	J	A	
244.					Sold	10/29/18	J		
245. Harbor Small Cap Value		None			Buy (add'l)	07/12/18	J		
246.					Sold	11/06/18	J		
247. Honeywell International Inc.	A	Dividend	J	T	Sold (part)	03/22/18	J	A	
248. Intercontinental Exchange	A	Dividend	J	T	Buy (add'l)	02/07/18	J		
249. iShares Core S&P 500 ETF	A	Dividend	K	T	Sold (part)	01/24/18	K	A	
250.					Sold (part)	07/13/18	J	A	
251.					Buy (add'l)	10/29/18	J		
252.					Buy (add'l)	11/07/18	J		
253. iShares Core S&P Mid Cap ETF	A	Dividend	K	T	Buy (add'l)	07/13/18	J		
254. iShares Core S&P Small Cap ETF	A	Dividend	K	T	Sold (part)	11/07/18	J	A	
255. iShares MSCI EAFE Small Cap ETF	A	Dividend	K	T	Buy (add'l)	07/13/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. iShares S&P Midcap 400 Growth ETF	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
257. JP Morgan Chase common stock	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
258. Las Vegas Sands	A	Dividend	J	T	Buy (add'l)	11/02/18	J		
259. Lowes Cos. Inc.	A	Dividend			Sold (part)	02/07/18	J	A	
260.					Sold	05/23/18	J		
261. Mastercard, Inc.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
262. Materials Select Sector SPDR Fund	A	Dividend			Sold (part)	07/13/18	J		
263.					Sold (part)	10/17/18	J		
264.					Sold	12/20/18	J		
265. Matthews Pacific Tiger Inst.	A	Dividend	K	T	Sold (part)	05/08/18	J		
266.	B	Distribution			Buy (add'l)	07/12/18	J		
267. Medtronic	A	Dividend	J	T					
268. New York, NY 3.05% 10/01/29	A	Interest	J	T					
269. Nike Inc.	A	Dividend	J	T	Sold (part)	03/22/18	J	A	
270. Oracle	A	Dividend			Sold	06/19/18	J		
271. PACCAR Inc.	A	Dividend			Sold	07/25/18	J		
272. Pepsico Inc.	A	Dividend	J	T	Buy (add'l)	09/04/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Pioneer Natural Resources Co.	A	Dividend	J	T	Buy (add'l)	10/29/18	J		
274. Priceline Group Inc. (name changed to Booking Holdings)		None	J	T					
275. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	04/30/18	J		
276. Progressive Corp. Ohio	A	Dividend	J	T	Sold (part)	06/28/18	J	A	
277. Salesforce.com		None	J	T	Sold (part)	07/13/18	J	A	
278.					Buy (add'l)	10/17/18	J		
279.					Buy (add'l)	12/20/18	J		
280. SAP SE SPONS ADR	A	Dividend	J	T					
281. Signature Bank		None			Sold	05/25/18	J		
282. Sony	A	Dividend	J	T					
283. Starbucks Corp.	A	Dividend	J	T	Sold (part)	07/13/18	J		
284.					Sold (part)	11/02/18	J	A	
285. Sysco Corp.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
286. Texas Instruments	A	Dividend	J	T	Sold (part)	01/08/18	J	A	
287. Union Pacific Corp	A	Dividend	J	T					
288. United Health Group Inc.	A	Dividend	J	T	Sold (part)	07/13/18	J	A	
289. Univ. of Hawaii 3.28% 10/1/27	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Univ. of Hawaii 3.38% 10/1/28	A	Interest	K	T					
291. US Bancorp New	A	Dividend			Sold	05/23/18	J		
292. Utilities Select Sector SPDR Fund	A	Dividend			Sold (part)	12/05/18	J	A	
293.					Sold	12/06/18	J	A	
294. Vanguard FTSE Developed Markets ETF	C	Dividend	L	T	Buy (add'l)	05/09/18	J		
295.					Buy (add'l)	07/13/18	J		
296.					Buy (add'l)	09/10/18	J		
297. Vanguard FTSE Emerging Markets ETF	B	Dividend	L	T	Buy (add'l)	05/09/18	J		
298.					Buy (add'l)	07/13/18	J		
299. Vanguard FTSE Europe ETF	A	Dividend			Buy (add'l)	02/21/18	J		
300.					Sold	05/09/18	K	A	
301. Vanguard REIT ETF	A	Dividend	K	T	Sold (part)	07/13/18	J		
302. Vanguard S/T Investment Grade FD-ADM	C	Dividend	J	T	Sold (part)	01/11/18	J		
303.					Sold (part)	02/09/18	K		
304.					Sold (part)	02/14/18	K		
305.					Sold (part)	02/15/18	K		
306.					Sold (part)	02/20/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	02/28/18	K		
308.					Sold (part)	03/02/18	K		
309.					Sold (part)	03/05/18	K		
310.					Sold (part)	03/08/18	K		
311.					Sold (part)	03/29/18	K		
312.					Sold (part)	04/04/18	N		
313.					Buy (add'l)	07/12/18	K		
314.					Sold (part)	07/23/18	K		
315.					Sold (part)	11/23/18	J		
316. Verizon	A	Dividend			Sold (part)	03/28/18	J	A	
317.					Sold (part)	07/25/18	J	A	
318.					Sold	09/04/18	J	A	
319. Wells Fargo & Co. common stock	A	Dividend			Sold	03/08/18	J	A	
320. Activision Blizzard Inc.		None	J	T	Buy	09/04/18	J		
321. Adobe Systems 3.25% 2/1/25	A	Interest	K	T	Buy	02/20/18	K		
322. American Express	A	Dividend	J	T	Buy	05/23/18	J		
323. Apple, Inc. 3.35% 2/09/27	A	Interest	J	T	Buy	02/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Boeing	A	Dividend	J	T	Buy	10/29/18	J		
325. Boston Scientific		None	J	T	Buy	03/08/18	J		
326.					Sold (part)	07/13/18	J	A	
327.					Buy (add'l)	10/29/18	J		
328. BP Capital Markets PLC 3.588%	A	Interest	K	T	Buy	02/16/18	K		
329. Carillon Scout Mid Cap-I	A	Dividend	J	T	Buy	05/25/18	J		
330.	A	Distribution							
331. Citigroup Inc.	A	Dividend	J	T	Buy	06/28/18	J		
332.					Buy (add'l)	07/25/18	J		
333. Concho Resources		None	J	T	Buy	02/16/18	J		
334.					Buy (add'l)	04/12/18	J		
335.					Buy (add'l)	06/28/18	J		
336.					Buy (add'l)	10/29/18	J		
337. DWS Enhanced Commodity Strat Instl	B	Dividend	K	T	Buy	10/26/18	K		
338. FFCB 2.6% 3/8/21	A	Interest	K	T	Buy	03/08/18	K		
339. FFCB 2.95% 8/9/27	A	Interest	K	T	Buy	07/20/18	K		
340. FFCB 3.15% 2/14/25	A	Interest	K	T	Buy	02/14/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. FFCB 3.30% 2/20/26	A	Interest	K	T	Buy	02/20/18	K		
342. FFCB 3.36% 2/27/25	A	Interest	K	T	Buy	02/27/18	K		
343. FFCB 3.37% 3/13/25	A	Interest	K	T	Buy	03/13/18	K		
344.					Buy (add'l)	03/28/18	K		
345. FFCB 2.33% 9/14/29	A	Interest	J	T	Buy	11/21/18	J		
346. FHLB 3.45% 3/22/28	A	Interest	J	T	Buy	03/22/18	J		
347. FNMA 1.4% 6/13/19	A	Interest	J	T	Buy	06/08/18	J		
348. General Dynamics	A	Dividend			Buy	03/22/18	J		
349.					Buy (add'l)	07/25/18	J		
350.					Sold	10/24/18	J		
351. Home Depot	A	Dividend	J	T	Buy	05/23/18	J		
352. LAM Research Corp	A	Dividend	J	T	Buy	06/19/18	J		
353. Lockheed Martin	A	Dividend	J	T	Buy	04/12/18	J		
354.					Buy (add'l)	06/28/18	J		
355. Microchip Technology	A	Dividend	J	T	Buy	04/30/18	J		
356.					Buy (add'l)	09/04/18	J		
357. Mohawk Industries		None			Buy	02/07/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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358.					Sold	08/07/18	J		
359. Morgan Stanley CD 2.4%	A	Interest	K	T	Buy	01/11/18	K		
360. Morgan Stanley CD 2.7%	A	Interest	K	T	Buy	03/08/18	K		
361. PIMCO Commodity PL Strat-P	B	Dividend	K	T	Buy	10/26/18	K		
362. Royal Caribbean Cruises LTD	A	Dividend	J	T	Buy	09/04/18	J		
363.					Buy (add'l)	11/02/18	J		
364. Thermofisher Scientific	A	Dividend	J	T	Buy	04/12/18	J		
365.					Buy (add'l)	06/28/18	J		
366.					Buy (add'l)	10/29/18	J		
367. Twenty First Century Fox Inc.		None			Buy	07/13/18	J		
368.					Sold	09/04/18	J		
369. US Treasury Notes 2.25% 3/31/20	A	Interest	L	T	Buy	04/04/18	L		
370.					Sold (part)	06/08/18	J		
371. US Treasury Notes 2.375% 3/15/21	A	Interest	L	T	Buy	04/04/18	L		
372. US Treasury Notes 2.5% 3/31/23	A	Interest	L	T	Buy	04/04/18	L		
373. US Treasury Notes 2.625% 3/31/25	A	Interest	L	T	Buy	04/04/18	L		
374. Vanguard Materials ETF		None	J	T	Buy	12/20/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Vanguard Utilities ETF	A	Dividend	J	T	Buy	12/05/18	J		
376.					Buy (add'l)	12/06/18	J		
377.									
378.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Comments to Section VII.

On line 16, I list Northwestern Mutual Life Insurance. This policy is a whole life policy.

On lines 30 and 31, I list Vanguard Total Bond Market Fund. On my 2017 report, I indicated that I had sold this fund in its entirety on 12/21/17. That transaction should have been recorded as sold(part), rather than sold, and the 2017 report should have reflected an end of year value of K, with a value method of T.

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Name of Person Reporting

Greenaway, Joseph A.

Date of Report

03/17/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. Greenaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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