

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lorch, Basil H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Indiana Continuing Legal Education Foundation	June 14-15, 2018	French Lick, IN	Educational seminar	Meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RJ Bank Deposit Program	A	Int./Div.	J	T					
2. TIAA Cref Teachers Retirement Fund	A	Dividend	J	T	Sold (part)	12/31/18	J	A	
3. College Choice T Rowe Price Large Cap Growth	A	Dividend	J	T					
4. College Choice Black Rock Large Cap Value Class A	A	Dividend	J	T					
5. College Choice 2025 Enrollment Portfolio Class A	A	Dividend	J	T	Buy (add'l)	09/12/18	J		
6. Wells Fargo Advantage Intl Value Fund	A	Dividend	J	T	Sold (part)	01/08/18	J	A	
7. MFS Research Fund Class A	A	Dividend	J	T	Sold (part)	09/12/18	J	A	
8. Europacific Growth Fund Class F1	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
9. AQR Managed Futures Strategy Fund Class N	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
10. First Eagle Global CL C	A	Dividend	J	T	Buy (add'l)	09/05/18	J		
11.					Sold (part)	12/04/18	J	A	
12. MFS Global	A	Dividend			Sold	12/06/18	J	A	
13. Baird Aggregate INSTL Class N/L	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
14. Metropolitan West Total Return Bond Fund Class I	B	Dividend	J	T					
15. Carnival Corporation (CCL)	A	Dividend	J	T					
16. Kraft Heines Company (KHC)	A	Dividend			Sold	10/25/18	J	A	
17. Chevron Corporation New (CVX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JP Morgan Chase & Company (JPM)	A	Dividend	J	T					
19. Chubb Limited (Switzerland)	A	Dividend	J	T					
20. Pfizer Incorporated (PFE)	A	Dividend	J	T					
21. CME Group Incorporated (CME)	A	Dividend	J	T					
22. Union Pac Corporation (UNP)	A	Dividend	J	T					
23. Eaton Corporation PLC (ENT)	A	Dividend	J	T					
24. Apple Incorporated (AAPL)	A	Dividend	J	T					
25. Microsoft Corporation (MSFT)	A	Dividend	J	T					
26. Microchip Technology Incorporated (MCHP)	A	Dividend	J	T					
27. Verizon Communications Incorporated (VZ)	A	Dividend	J	T					
28. Oracle Corporation (ORCL)	A	Dividend			Sold	10/25/18	J	A	
29. Air Products & Chemical Incorporated (APD)	A	Dividend	J	T					
30. Pepsico, Incorporated (PEP)	A	Dividend			Sold	01/30/18	J	A	
31. Marathon Pete Corporation (MPC)	A	Dividend	J	T					
32. Time Warner Incorporated Com New (TWX)	A	Dividend			Sold	06/14/18	J	A	
33. Abbvie Incorporated (ABBV)	A	Dividend	J	T					
34. Merck & Company Incorporated (MRK)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Artisan International Fund Investor (ARTIX)	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
36. Loomis Sayles Growth Fund Class Y	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
37. T.Rowe Price Small Cap Value Fund (PASVX)	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
38. Western Asset Total Return Fund	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
39. Champlain Mid Cap Fund Advisor	A	Dividend	J	T	Sold (part)	09/10/18	J	A	
40. American Mutual Fund Class F2	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
41. Mainstay Epoch Global Equity Yield Fund Class A	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
42. Federated Institutional High Yield Bond Fund	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
43. Gateway Fund Class A M/F	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
44. John Hancock Global Absolute Return Strategies Fd	A	Dividend			Sold (part)	01/04/18	J	A	
45.					Sold	09/12/18	J	A	
46. Federated Strategic Value Dividend Fund	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
47. FPA Crescent Fund	A	Dividend			Sold	12/06/18	J		
48. Westwood Income Opportunity	A	Dividend			Sold	12/06/18	J	A	
49. Columbia Dividend Income Fund INST	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
50. Metropolitan West Total Return Bond Fund Class I	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
51. Black Rock Large Cap Core Fund INST Class	A	Dividend	J	T	Buy	12/07/18	J		

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52. Janus Henderson Global Real Estate Fund	A	Dividend	J	T	Buy	12/07/18	J		
53. Thornburg Limited Term Income Fund	A	Dividend	J	T	Buy	12/06/18	J		
54. New Perspective Fund Class F2	A	Dividend	J	T	Buy	12/04/18	J		
55. iShares TR Core S&P	A	Dividend	J	T	Buy	12/06/18	J		
56. iShares TR Multifactor Intl	A	Dividend	J	T	Buy	12/04/18	J		
57. Investco Bullet Shares 2020 Corp Bond	A	Dividend	J	T	Buy	12/06/18	J		
58. FPA Crescent Fund	A	Dividend			Buy	09/05/18	J		
59.					Sold	12/06/18	J		
60. First Eagle Global Fund Class 1	A	Dividend			Buy	09/07/18	J		
61.					Sold	12/06/18	J		
62. Walmart Inc	A	Dividend	J	T	Buy	10/29/18	J		
63. Cisco Systems	A	Dividend	J	T	Buy	10/29/18	J		
64. SEI US Managed Volatility Fund	A	Dividend	J	T	Buy	09/12/18	J		
65. Federated Short Term Income Fund	A	Dividend	J	T	Buy	09/10/18	J		
66. Home Depot Inc	A	Dividend	J	T	Buy	07/26/18	J		
67. Motorola Solutions Inc.	A	Dividend	J	T	Buy	01/26/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Basil H. Lorch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544