

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kim, Steve	2. Court or Organization U.S. District Court, Central District of California	3. Date of Report 02/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address ROYBAL FEDERAL BUILDING & U.S. COURTHOUSE 255 E TEMPLE ST STE 1252 LOS ANGELES, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

PJCA Management LLP - W2 Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	NELNET Loan Servicing	Student Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank Cash Accounts	B	Interest	K	T					
2. Capital One Cash Account		None			Closed	01/02/18	J		
3. PenFed Cash Accounts	B	Interest	M	T					
4. Wells Fargo Cash Account		None	K	T					
5. 529 Plan California TIAA-CREF Passive Age Based Portfolio 9-10		None	J	T					
6. Vanguard Rollover IRA (H)									
7. - VGR LX	A	Dividend	J	T					
8. - VAIPX	B	Dividend	L	T					
9. - VMMXX	A	Dividend	J	T					
10. - VGSLX	B	Dividend	K	T					
11. - VTAPX	A	Dividend	J	T					
12. - VBT LX	A	Dividend	K	T					
13. - VTABX	A	Dividend	J	T					
14. - VTIA X	B	Dividend	K	T					
15. - VTSAX	B	Dividend	K	T	Sold (part)	08/28/18	K		
16. - VMFXX (X)	A	Dividend	L	T	Buy	08/28/18	K		
17. Fidelity Brokerage Account (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - FTEXX	A	Dividend	J	T					
19. - ACP	A	Dividend			Sold	06/18/18	J		
20. - BGB	A	Dividend			Sold	03/09/18	J		
21. - RA	A	Dividend			Sold	06/18/18	J		
22. - EXD	A	Dividend			Sold	06/18/18	J		
23. - IRL	A	Distribution			Sold	06/18/18	J		
24. - NEA	A	Dividend			Sold	06/18/18	J		
25. - NAD	A	Dividend			Sold	06/18/18	J		
26. - SWZ (Y)									
27. - EMD	A	Dividend			Sold	06/18/18	J		
28. - NNC	A	Dividend			Sold	06/18/18	J		
29. - UTF	A	Dividend			Sold	06/18/18	J		
30. - ARDC	A	Dividend			Sold	03/09/18	J		
31. - AFT	A	Dividend			Sold	02/23/18	J		
32. - GDL	A	Dividend			Sold	06/18/18	J		
33. - ECF	A	Dividend			Sold	06/18/18	J		
34. - EMI	A	Dividend			Sold	05/04/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Fidelity Roth IRA (H)									
36. - FDRXX	A	Dividend	J	T					
37. - FHLC	A	Dividend	K	T					
38. Fidelity Trad IRA #1 (H)									
39. - FDRXX (Y)									
40. - SHY (Y)									
41. Vanguard Trad IRA #1 (H)									
42. - VEIEX	A	Dividend			Buy (add'l)	08/28/18	J		
43. - VEIEX (converted to VEMAX)	A	Dividend			Merged (with line 44)	11/07/18	J		
44. - VEMAX (X)	A	Dividend	K	T					
45. - VEXRX	C	Distribution	K	T	Sold (part)	08/28/18	J		
46. - VITAX	A	Dividend	K	T	Sold (part)	08/28/18	L		
47. - VWIAX	C	Distribution	K	T					
48. - VMFXX (X)	A	Dividend	L	T	Buy	08/28/18	L		
49. Fidelity Trad IRA #2 (H)									
50. - FDRXX (Y)									
51. Vanguard Trad IRA #2 (H)									

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52. - VBIAX	A	Dividend	J	T					
53. - VFIDX	B	Dividend	K	T					
54. - VPGDX	B	Distribution	K	T					
55. - VFSUX	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steve Kim**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544