

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Matsumoto, Kiyo A.	2. Court or Organization U.S. District Court (EDNY)	3. Date of Report 7/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board member	Residential coop: [REDACTED] [PLEASE DO NOT DISCLOSE]
2.	Honors Committee	New York City Bar Association
3.	Family trust with brother	Qualified Personal Residence Trust #1 (Deceased parents' home)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

Date of Report

7/01/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 4/19-22/18	Nat'l Conference of Bar Examiners drafting bar exam	\$3,500.00
2. 9/6-9/18	Nat'l Conference of Bar Examiners drafting bar exam	\$3,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Teaching, [REDACTED] (spouse) [DO NOT DISCLOSE NAME OF SCHOOL]
2. 2018	Self-employed rentals (spouse)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	4/19-22/18	Richmond, VA	Drafting bar exam	Transportation, meals, lodging
2. National Conference of Bar Examiners	9/6-9/18	Minneapolis, MN	Drafting bar exam	Transportation, meals, lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

Date of Report

7/01/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

Date of Report

7/01/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank IRA & Savings Acct	B	Interest	L	T					
2. Chase Bank, savings and checking	C	Interest	M	T					
3. Brighthouse Financial (MetLife:see 4-6) (H)									
4. -For Brighthouse: Fidelity VIP Eq. Inc.	A	Int./Div.	K	T					
5. -For Brighthouse: MFS Research Int'l	A	Int./Div.	J	T					
6. -For Brighthouse: Asset All 100	A	Int./Div.	K	T					
7. Memorial Partnership	C	Rent	L	W					
8. Series EE Savings Bonds	G	Interest	J	T					
9. Charles Schwab IRA/Accts (lines 10-15)(H)									
10. -1. Colgate Palmolive (common)	A	Int./Div.	J	T					
11. -2. Procter & Gamble (common)	A	Int./Div.	J	T					
12. -3. Hewlett Packard (common)	A	Int./Div.	J	T					
13. -4. DXC Technology Com	A	Int./Div.	J	T					
14. -5. Microfocus Int'l.	A	Int./Div.	J	T					
15. -6. Time Warner (common)	A	Int./Div.	J	T					
16. Tax Deferred Retirement Annuity Plan (see 17-26)(H)									
17. -TIAA Stable Value	C	Int./Div.	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Vang 500 Idx Adm	A	Int./Div.	K	T					
19. -T. Rowe Inst Lrg Cap Grw	A	Int./Div.	J	T					
20. -American EuroPac Grw R6	A	Int./Div.	J	T					
21. -DFA US SmallCap Prtf Inst	A	Int./Div.	J	T					
22. -PIMCO Total Return Instl	A	Int./Div.	L	T					
23. -PIMCO Low Duration Instl	A	Int./Div.	K	T					
24. -T-C Money Market Rmt	A	Int./Div.	J	T					
25. -CREF Social Choice R1	A	Int./Div.	K	T					
26. -Vang Target Ret 2010 Inv	A	Int./Div.	K	T					
27. NY State Edu. Fund:Y Moderate Age Opt., Conserv. Growth	A	Interest	J	T	Distributed (part)	01/08/18	J		College tuition
28. Charitable Remainder Trust (JP Morgan) (see 29-30)	E	Distribution	N	T	Distributed (part)	02/12/18	K		
29. - 1. JP Morgan Deposit Sweep MGD JPMorgan Chase Bank NA	A	Int./Div.		T					
30. -2. Federated Strategic Value	D	Int./Div.	N	T					
31.									

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

Date of Report

7/01/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: The Qualified Personal Residence Trust has no reportable assets.

Part VII. Investments and Trusts

Tax Deferred Retirement Annuity Plan (Items 17-26) is not self-directed. We do not exercise control over what is purchased or sold or when.

Column B: (Items 17-26): The quarterly statements for the above do not specify either income or transactions, but state values which are reported. I used the "Gain or Loss" amount to report income in Column B.

The Charitable Remainder Trust (Items 29-30) is not owned by the filer, but the filer receives income annually as a beneficiary. The trust corpus will be donated to charity upon the filer's death. The filer has not chosen or directed the purchase or sale of assets held by the trust. If Column D (4) is blank, it is because there was a loss.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Matsumoto, Kiyo A.

Date of Report

7/01/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kiyo A. Matsumoto**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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