

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Mazzant, Amos L.	2. Court or Organization U.S. District Court, Eastern District of Texas	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address Paul Brown United States Courthouse 101 E. Pecan Street Sherman, Texas 75090		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member (name changed from "Director" in December 2018)	Dallas Summer Musicals
2. Officer	Judge Paul Brown American Inn of Court
3. Federal Court Liaison (non-voting)	State Bar of Texas
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	Judicial Retirement System of Texas; no control; not vested
2. 2004	Texas County and District Retirement Systems; no control; not vested
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Sherman I.S.D., Teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Texas Young Lawyers Association	January 5-7, 2018	College Station, Texas	TYLA Nominations Meeting	Mileage, Hotel, Food
2. State Bar of Texas	January 25-27, 2018	San Antonio, Texas	Board of Directors Meeting	Mileage, Hotel, Food
3. The University of Texas at Austin School of Law	March 1-3, 2018	Alexandria, Virginia	Panelist - Advanced Patent Law Institute	Transportation, Hotel, Food
4. New York University School of Law	March 29-31, 2018	New York, New York	Panelist - Civil Jury Project	Transportation, Hotel, Food
5. State Bar of Texas	June 20, 2018	Houston, Texas	Board of Directors Annual Meeting	Transportation, Food

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting	Date of Report
Mazzant, Amos L.	05/14/2019

6.	State Bar of Texas/Texas Young Lawyers Association	November 9, 2018	Austin, Texas	Federal Court Practice Planning Committee Meeting	Transportation, Food
7.	New York University School of Law	November 28-30, 2018	New York, New York	Panelist - Sixth Annual IP Institute	Transportation, Hotel, Food

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. JPMorgan Chase & Co Accounts	A	Interest	L	T					
2. T. Rowe Price Government Money Fund	A	Dividend	K	T					
3. T. Rowe Price Science & Technology Fund	A	Dividend	J	T	Sold (part)	07/26/18	J		
4. T. Rowe Price Equity Income Fund (IRA)	C	Dividend	K	T					
5. T. Rowe Price Equity Index 500 Fund (IRA)	A	Dividend	K	T					
6. T. Rowe Price New Horizons Fund (IRA)	C	Dividend	K	T					
7. T. Rowe Price Spectrum Growth Fund (IRA)	C	Dividend	K	T					
8. T. Rowe Price Spectrum International Fund (IRA)	B	Dividend	K	T					
9. Vanguard Wellington Fund (457)	A	Dividend	J	T					
10. Vanguard Institutional Index Fund (457)	A	Dividend	J	T					
11. Vanguard Growth Fund (457)	A	Dividend	J	T					
12. Fidelity Diversified International Fund (457)	A	Dividend	J	T					
13. Lord Abbott Small Cap Value Fund (457)	A	Dividend	J	T					
14. Judicial Retirement System Account	B	Interest	L	T					
15. Texas County and District Retirement Account	A	Interest	J	T					
16. Teachers Retirement Texas	B	Interest	L	T					
17. Texas Educators Federal Credit Union Savings Account	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. T. Rowe Price New Horizons (Roth IRA)	A	Dividend	J	T					
19. American Century Investments All Cap Growth (formerly Gift Trust)	A	Dividend	J	T					
20. T. Rowe Price Science & Technology Fund (JT)	D	Dividend	K	T					
21. State Farm: Universal Life	A	Interest	J	T					
22. State Farm: Universal Life II	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Mazzant, Amos L.

Date of Report

05/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Amos L. Mazzant**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544