

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKee, Theodore A.	2. Court or Organization U.S. COURT OF APPEALS FOR THE THIRD CIRCUIT	3. Date of Report 08/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 20614 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA. 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. GENERAL PARTNER	PUJKEE ASSOCIATES (see section viii for information)*
2. TRUSTEE	SYRACUSE UNIVERSITY
3. DIRECTOR	VERA INSTITUTE OF JUSTICE
4. BOARD OF VISITORS	TEMPLE UNIVERSITY COLLEGE OF LAW
5. BOARD OF VISITORS (honorary member)	SYRACUSE UNIVERSITY COLLEGE OF LAW

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	ADMINISTRATIVE OFFICE OF THE PENNSYLVANIA COURTS	\$12,000.00
2. 2018	Pujkee Associates Rental Property	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Rental Property in New York
2. 2018	wife's salary with her employer. She is employed as a health care professional doing administrative work on the executive level.
3.	
4.	
5.	
6.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. SYRACUSE UNIVESITY	JAN 25-26 2018	SYRACUSE NEW YORK	PARTIAL REIMBURSEMENT FOR BOARD RETREAT	LODGING
2. SYRACUSE UNIVERSITY	MARCH 1 AND 2 2018	SYRACUSE NEW YORK	PRESIDE OVER LAW SCHOOL MOOT COURT	AIR FARE AND LODGING
3. NYU LAW SCHOOL	MARCH 5, 2018	NEW YORK NEW YORK	PARTICIPATE IN LAW SCHOOL PANEL	TRAVEL

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

4.	AMERICAN CONST SOCIETY	MARCH 8 AND 9	CHICAGO ILL	IMPLICIT BIAS PANEL DISCUSSION = PANELIST	AIR FARE AND LODGING
5.	NYU LAW SCHOOL	APRIL 5 AND 6, 2018	NEW YORK, NEW YORK	ADMITTED LAW STUDENT INTERVIEWS FOR FELLOWSHIP	TRAVEL AND LODING
6.	FEDERAL JUDGES ASSOC	MAY 7 AND 8 2018	WASHINGTON, D.C.	FJA CONFERENCE	LODGING
7.	SYRACUSE UNIVERSITY	MAY 10 - 11 2018	SYRACUSE NEW YORK	TRUSTEES MEETING	LODGING

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CARDINAL HEALTH	A	Dividend	J	T					
2. COLGATE PALMOLIVE	A	Dividend	J	T					
3. JOHNSON AND JOHNSON	A	Dividend	J	T					
4. VERIZON	A	Dividend	J	T	Closed	7/20/18	J	B	
5. CISCO	A	Dividend	J	T					
6. WASHINGTON MUTUAL FUND	A	Dividend	J	T			K		
7. HENRY SCHEIN STOCK	A	Dividend	J	T					
8. FRANKLIN FLTG RATE FAFRX	A	Dividend	J	T					
9. CALVERT INCOME FUND (CIFCX)	A	Dividend	J	T					
10. AMERICAN EURO PACIFIC (AEGFX)	A	Dividend	J	T					
11. CALVERT GLOBAL WATER FUND (CFWAX)	A	Dividend	J	T					
12. JOHN HANCOCK MUTUAL FUND	A	Dividend	J	T					
13. DREYFUS FUND STRUCTRED MID CAP FD CL C (DPSCX)	A	Dividend	J	T					
14. CARLISLE COMPANIES (CSL)	A	Dividend	J	T	Closed	06/11/18	J	B	
15. SENTINEL FUNDS SS CORE A	A	Dividend	J	T					
16. SENTINEL FUNDS SS MID CAP A	A	Dividend	J	T					
17. PNC MONEY MARKET ACCOUNT	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. INTEL (INTC)	A	Dividend	J	T					
19. AMERICAN BALANCED FUND (ABALX)	A	Dividend	J	T					
20. AMERICAN EURO PACIFIC FUND (AEPGX)	A	Dividend	J	T					
21. VECTRON CORP (V3S)	A	Dividend	J	T					
22. AMERICAN CENT MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T					
23. JOHNSON & JOHNSON	A	Dividend	J	T					
24. GUIDESTONE GLBL BD FD INV (GGBFX)	A	Dividend	J	T	Closed	03/08/18	J	B	
25. DELAWARE VALUE FUND (DDVIX)	A	Dividend	J	T					
26. EATON VANCE FUND INSTITUTIONAL (EIGMX)	A	Dividend	J	T					
27. FEDERATED TOTAL RETURN BOND FUND	A	Dividend	J	T	Closed	8/13/18	J	B	
28. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	J	T					
29. MAINSTAY EPOCH GLOBAL EQUITY (EPSYX)	A	Dividend	J	T					
30. OPPENHEIMER DEV MARKETS (ODVYX)	A	Dividend	J	T					
31. OPPENHEIMER INTL GROWTH FD Y (OIGYX)	A	Dividend	J	T					
32. POWERSHARES FTS RAFI US 1000 ISIN (PRF)	A	Dividend	J	T					
33. T. ROWE PRICE MID CAP GROWTH (RPMGX)	A	Dividend	J	T					
34. T. ROWE PRICE INTL DISCOVERY (PRIDX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. ROYCE OPPORTUNITY FD SVCS CL (RYOFX)	A	Dividend	J	T					
36. AMERICAN CENTURY MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T					
37. AMERICAN BALANCED FUND (ABALX) SEE SECTION VIII									
38. ISHARES RUSSELL 1000 GROWTH ETF (IWF)	A	Dividend	J	T					
39. ISHARES RUSSELL 2000 GROWTH (IWO)	A	Dividend	J	T					
40. AMER CAPITAL GROWTH FUND (CWGIX)	A	Dividend	J	T					
41. TIAA-CREFF (VARIOUS FUNDS - SEE SECTION VIII)									
42. AMERICAN CENTURY MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T					
43. DELAWARE VALUE FUND INST (DDVIX)	A	Dividend	J	T					
44. BYD CO. LTD (BYDDF)	A	Dividend	J	T	Closed	04/18/18	J	A	
45. ISHARES IBOXX \$ HIGH YIELD CORPORATE FUND (HYG)	A	Dividend	J	T					
46. SPDR S7P INSURANCE ETF (KIE)	A	Dividend			Closed	06/21/18	J		
47. ISHARES IBOXX \$ INVT GRAD CORP BD (LQD)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
48. ISHARES MBS ETF ACTUAL PRICES (MBB)	A	Dividend	J	T					
49. POWERSHARES EM SOVEREIGN BOND USD (PCY)	A	Dividend	J	T					
50. SPDR CONSUMER STPL ACTUAL (XLP)	A	Dividend	J	T					
51. spdr industrial (XLI)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. SPDR MATERIALS FUND (XLB)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
53. SPDR UTILITES (XLU)	A	Dividend	J	T	Closed	01/31/18	J	B	
54. SPDR CONSUMER DISCRETIONARY (XLY)	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
55. SPDR XLY					Buy (add'l)	08/31/18	J		
56. VANGUARD FINANCIAL ETF (VFH)	A	Dividend	J	T					
57. HEALTH CARE SELECT (XLV)	A	Dividend	J	T					
58. VANGUARD INFORMATIN TECH ETF (VGT)	A	Dividend	J	T					
59. ISHARES 3-7 YEAR TREAS BOND ETF (IEI)	A	Dividend	J	T					
60. ISHARES MSCI EAFE ACTUAL PRICES (ES)	A	Dividend	J	T					
61. POWERSHARES PREFERRED PORTFOLIO	A	Dividend	J	T	Open	06/26/17	J		
62. VANGUARD SHORT TERM BOND (BSV)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
63. SPDR REAL ESTATE SELECT SECTOR (XLRE)	A	Dividend	J	T					
64. VANGUARD INTERMED BOND FUND ETF (BIV)	A	Dividend	J	T					
65. VANECK VECTORS J.P. MORGAN EM LOCAL (EMLC)	A	Dividend	J	T	Closed	06/20/18	J	A	
66. ISHARES INC CORE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T					
67. AMERICAN NEW PERSEPCTIVE FD INC CL (ANWPX) SEE SEC VIII	A	Dividend	J	T					
68. AMERICAN WASHINGTON MUT INVESTORS FUND (AWSHX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. AMERICAN CAPITAL WORLD GROWTH (CWGIX) SEE SECTION VIII									
70. AMERICAN BOND FUND OF AMERICA (ABNDX) SEE SECTION VIII									
71. ISHARES MSC EAFE ACUTAL PRICES (ES) SEE SECTION VIII									
72. ISHARES INC COFE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T					
73. SPDR CONSMRS STPL ACTUAL (XLP)	A	Dividend	J	T					
74. REAL ESTATE SELECT SPDR (XLRE)	A	Dividend	J	T	Sold (part)	03/06/18			
75. VANGARD NTERMEDIATE BOND ETF (BIV)	A	Dividend	J	T					
76. ISHARFES MBS ETF TRADE (MBB)	A	Dividend	J	T					
77. SPDR CONMRS STPLE (XLP)	A	Dividend	J	T					
78. MATERIALS SELECT SECTOR SPDR (XLB)	A	Dividend	J	T					
79. SECTOR UTILITIES SPDR (XLU)	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
80. MATERIALS SELECT SPDR (XLB)	A	Dividend	J	T					
81. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T					
82. CONSUMER DISCRETIONARY SPDR (XLY)	A	Dividend	J	T	Sold (part)	09/25/17	J	A	
83. VANGUARD FINANCIALS ETF ACTUL PRICE (VFH)	A	Dividend	J	T	Buy (add'l)	03/28/18	J		
84. ISHARES NASDAQ BIOTECH ETF (IBB)	A	Dividend	J	T					
85. FRANKLIN FLOATIG RATE DAILY ACCESS (FAFRX)	A	Dividend	J	T	Sold (part)	10/09/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. VANGUARD TELECOM ETF (VOX)	A	Dividend	J	T					
87. SECTOR INDUSTRIAL SPDR (XLI)	A	Dividend	J	T					
88. ISHARES INC CORE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T					
89. VANGUARD INFORMATION TECH ETF (VGT)	A	Dividend	J	T	Sold (part)	03/08/18	J	B	
90. VGT					Sold (part)	08/29/18	J	B	
91. ISHARES IBOXX \$ INVT GRADE CORP BD LQD)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
92. ISHARES MSCI EAFE ACTUAL PRICES (EFA)	A	Dividend	J	T					
93. VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	A	Dividend	J	T					
94. SECTOR SPDR CONSUMERS STIPL (XLP)	A	Dividend	J	T					
95. VANUARD SHORT TERM BOND (BSV)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
96. ISHARFES 3-7 YEAR TREASURY BOND ETF (IEI)	A	Dividend	J	T					
97. ISHARES MBS ETF ACTUAL PRICE (MBB)	A	Dividend	J	T					
98. POWERSHARES EM SOVEREIGN BOND USD (PCY)	A	Dividend	J	T					
99. VANGUARD FINANCIALS ETF (VFH)	A	Dividend	J	T					
100. MATERIALS SELECG SECTOR SPDR (XLB)	A	Dividend	J	T					
101. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T					
102. MATERIALS SELECT SECTOR SPDR FUND (XLB)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
104. VANGUARD FINANCIAL ETF ACTUAL (VFH)	A	Dividend	J	T					
105. MATERIALS SELECT SPDR FUND A (XLB)	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
106. VANGUARD INFORMATION TECH ETF (VGT)	A	Dividend	J	T					
107. OPPENHEIMER INTL GROWTH FD Y	A	Dividend	J	T					
108. T. ROWE PRICE REAL ESTATE FUND	A	Dividend	J	T	Buy (add'l)	01/19/18	J		
109. EATON VANCE GLOBAL MACRO ABSLE TR CL I SEE SECT VIII	A	Dividend	J	T	Open	01/19/18	J		
110. PIMCO REAL RETURN FUND CL 12	A	Dividend	J	T			J		
111. PGIM JENNISON GROWTH CL Z	A	Dividend			Closed	06/12/18	J	A	
112. FEDERATED SHT INTER TOTAL RETURN B IS	A	Dividend			Closed	08/13/18	J	C	
113. FEDERATED TOTAL RETURN BOND IS	A	Dividend	J	T	Open	01/05/18	J		
114. LORD ABBETT SHORT DURATION INCOME CL F	A	Dividend	J	T					
115. EATON VANCE GLOBAL MACRO ABSLE RT CL I	A	Dividend	J	T	Buy (add'l)	01/05/18	J		
116. TIAA-CREFF (VARIOUS FUNDS - SEE SECTION VIII)									
117. AMAZON (AMZN)	A	None	K	T	Open	2/8/18	J		
118. AMAZON					Buy (add'l)	2/22/18	K		
119. CISCO (CSCO)	B	Dividend	J	T	Buy (add'l)	3/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CISCO	A	Dividend	J	T	Buy (add'l)	3/29/18	J		
121. METROPOLITAN WEST TOTAL RETURN CLASS I	A	Distribution			Open	01/04/17	J		
122. METROPOLITAN WEST TOATL RETURN CLASS I (MWTIX)	A	Dividend			Closed	6/13/17	K	C	
123. ISHARES EXPONENTIAL TECH (XT)	A	Dividend	J	T	Buy (add'l)	8/8/18	J		
124. ISHARES EXPONENTIAL TECH (XT)	A	Dividend	J	T	Open	7/20/18	J		
125. ISHARES (XT)	A	Dividend	K	T	Buy (add'l)	7/20/18	J		
126. ISHARES XT	A	Dividend	J	T	Buy (add'l)	8/9/18	J		
127. JD.COM (JD)	A	Dividend	J	T	Open	1/12/18	J		
128. ALIBABA (BABA)	A	Dividend	J	T	Open	2/16/18	J		
129. AMERICAN TOWER (AMT)	A	Dividend	J	T	Open	3/8/18	J		
130. AMERICAN TOWER (AMT)					Closed	7/20/18	J	A	
131. TELADOC (TDOC)	A	Dividend	J	T	Open	6/11/18	J		
132. GLOBAL X AUTONOM ELECTRIC ETF	A	Dividend	J	T	Open	6/22/18	J		
133. AI POWERED EQUITY ETF (AIEQ)	A	Dividend	J	T	Open	6/22/18	J		
134. AVX CORP (AVX)	A	Dividend	J	T	Open	7/9/18	J		
135. AVX	A	Dividend	J	T	Buy (add'l)	8/9/18	J		
136. ALIBABA		None			Sold	8/9/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions [REDACTED] I have an informal partnership that owns one rental property with 4 units. That is the only thing the partnership owns and it was formed specifically for the purpose of owning that building. I am the general partner.

Part III - Non Investment Income

[REDACTED] I netted approximately \$10,000 from a rental property we own. We have owned this property for many years but it was never really intended to produce income. Rather, it is a multi unit dwelling [REDACTED] had an apartment prior to their death. We purchased it primarily for their support, not for income.

SECTION VII:

LINE 135 VANGUARD TELECOM ET (VOX): THIS SECURITY WAS LISTED AS A PARTIAL SALE ON DECEMBER 8 2017 FOR MY 2017 FDR. AFTER CHECKING IN PREPARING THIS REPORT, I REALIZED THAT WAS A TOTAL SALE AND SHOULD HAVE INDICATED THAT IT WAS CLOSED ON THAT DATE.

LINE 145 EATON VANCE GLOBAL MACRO ABSLE TR CL 1 - THIS WAS CLOSED OUT ON MY 2017 FDR; BUT OPENED AGAIN WITH A NEW PURCHASE BELOW THE REPORTABLE THRESHOLD ON 1/5/2018; BECAUSE THAT TRANSACTION NEED NOT REPORTED I HAVE INDICATED THAT THE POSITION WAS OPENED WITH THE ACQUISITION REPORTED ON THIS LINE. IN REALITY, THIS PURCHASE REALLY DID NOT "OPEN" THE POSITION.

LINE 40: AMERICAN BALANCED FUND ABALX WAS LISTED AS A PARTIAL SALE ON 6/17/17 OF LAST YEARS FDR. THAT SHOULD HAVE BEEN A TOTAL DISPOSITION AND CLOSED POSITION. THE VALUE CODE WOULD HAVE BEEN J AND GAIN CODE B; MARKET SALE

LINE 70 AMERICAN NEW PERSPECTIVE FD INC CL (ANWPX) THE SALE LISTED ON MY 2017 FDR FOR 6/26/17 WITH THE CODES THERE REPORTED, ACTUALLY CLOSED THE POSITION.

LINE 72: AMERICAN CAPITAL WORLD GROWTH (GWGIX): THE SALE LISTED ON MY 2017 FDR FOR 6/26/17 WITH THE CODES THERE REPORTED, ACTUALLY CLOSED THE POSITION.

LINE 73: AMERICAN BOND FUND OF AMERICA (ABNDX): THE SALE LISTED ON MY 2017 FDR FOR 6/26/17 WITH THE CODES THERE REPORTED, ACTUALLY CLOSED THE POSITION.

LINE 74: ISHARES MSC EAFE ACTUAL PRICES (ES): THE SALE LISTED ON MY 2017 FDR FOR 6/26/17 WITH THE CODES THERE REPORTED, ACTUALLY CLOSED THE POSITION.

LINE 94 HEALTH CARE SELECT SPDR (XLV): THE SALE LISTED FOR 9/25/17 ON MY 2017 FDR WAS A PARTIAL DISPOSITION THAT WAS INCORRECTLY REPORTED AS "CLOSED". THE SECURITY IS STILL HELD BY US. THERE WERE NO TRANSACTIONS IN 2018 THAT ARE ABOVE THE THRESHOLD FOR REPORTING. I HAVE REPORTED THE VALUE AT THE END OF THE REPORTING PERIOD AND INCOME DURING THE PERIOD.

SECTION VII, LINE 154 TIAA-CREF: THE FOLLOWING IS A LIST OF ALL OF THE INVESTMENTS HELD AT TIAA-CREF IN AN ACCOUNT FOR [REDACTED]

Pension:

TIAA Traditional

TIAA Real Estate

CREF Bond Market R2

CREF Inflation-Linked Bond R2

CREF Money Market R2

CREF Global Equities R2

CREF Stock R2

Vanguard Total Bond Market Index Fund Admiral

TIAA-CREF Lifecycle Index 2015 Fund - Retirement Class

Brokerage:

Dreyfus Government Securities Cash management Investor Shares

Baird Core Plus Bond Fund Inst. Class

Delaware Small-Cap Value Fund (Inst. Class)

Federated institutional High Yield Bond fund

Harding Loevner International Equity Portfolio Inst. Class

Harding Loevner Inst. Emerging Markets Class I

Hartford Emerging Markets Equity Fund Class Y

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Ishares TR Russell 2000 Growth ETF
Ishares TR MSCI EAFE Small Cap ETF
John Hancock Disciplined Value Fund Class I
Lord Abbett Short Duration Income Fund Class I
TIAA-CREF Large Cap Value Index Fund Inst. Class
TIAA-CREF Large Cap Growth Index Fund Inst.
Wells Fargo Special Mid Cap Value Fund Class I
Clearbridge Large Cap Growth Fund Class IS
Western Asset Core Bond Fund Class IS
Victory RS Mid Cap Growth Fund Class Y
Janus Henderson International Fund Class N
Fidelity Advisors New Markets Income Funds Class I

Retail Mutual Funds:

TIAA-CREF 5-15 Year Laddered Tax-Exempt Bond Retail
TIAA-CREF Lifecycle Retirement Income Fund – Retail Class
TIAA-CREF Equity Index Fund – Retail Class
TIAA-CREF Money Market Fund – Retail Class

AS I HAVE EXPLAINED BEFORE, WE HAVE ABSOLUTELY NO INVOLVEMENT IN THE SELECTION, PURCHASE OR DISPOSITION OF INVESTMENTS IN THESE ACCOUNTS (MOST OF THE ACCTS ARE IRAS) WE SIMPLY WORK WITH OUR TIAA CREF FINANCIAL ADVISOR AND AGREE ON THE AMOUNT OF RISK WE ARE COMFORTABLE WITH. HE AND HIS ASSOCIATES THEN SELECT INVESTMENTS AND INVESTMENT CLASSES THAT ARE CONSISTENT WITH OUR INVESTMENT OBJECTIVES AND RISK TOLERANCE.

AS I

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

McKee, Theodore A.

Date of Report

08/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Theodore A. McKee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544