

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F.	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 04/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 907 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Grantor/Trustee of Intervivos Trust	Pioneer Trust Bank (See Line 41)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT Munil Bond (h)									
2.									
3. Port Portland Int'l Airport, Due 7/1/2026	A	Interest	K	T					
4. Oregon St. Facs Auth Rev Rfdg, Due 3/15/2030	B	Interest	L	T					
5. Portland Ore. urban Renewal & B/E, due 6/15/2031	B	Interest	K	T					
6.									
7.									
8. Pioneer Mlti Ast Ult Shl Inc. Y	C	Int./Div.	M	T					
9.									
10. Putnam Short Duration Income Y	C	Int./Div.	M	T					
11.									
12. Deutsche Glb Real Est. Secs. A	C	Int./Div.			Sold	07/30/18	M	A	
13.									
14.									
15.									
16. iShares Select Dividend ETF	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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17. Invesco QQQ TR	A	Int./Div.	K	T					
18. Western Asset Oregon Muni I	A	Interest	K	T					
19. Wisdomtree US Divid Grow FD	A	Interest	K	T					
20. Energy Sel Sect Spdr FC (XLE)	B	Int./Div.	K	T					
21. Goldman Sachs Multi Mgr Alt 1	A	Interest			Sold	07/30/18	L	A	
22.									
23.									
24.									
25. Morgan Stanley Corp Bonds (h)									
26. Clearbridge Energy MLP RD Inc	A	Interest			Sold	07/31/18	K	A	
27.									
28. Global Superdividend US ETF	C	Interest	K	T					
29. Wisdomtree US Divid Grow FD	B	Int./Div.	K	T					
30.									
31.									
32.									
33. Morgan Stanley Indiv Acct (h) Other Mutual Funds (h)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. Blackrock Strat Inc Opport	A	Interest			Sold	07/30/18	K	A	
35. Goldman Sachs Multi Mgr Alt 1	A	Interest			Sold	07/30/18	K	A	
36.									
37.									
38. Neuberger Berman LG SH Inst	A	Interest	M	T	Sold (part)	11/19/18	K	B	
39.									
40.									
41. Pioneer Trust Bank (See P. 1, Position)	A	Interest	K	T					
42. Umpqua Bank	A	Interest	K	T					
43. Putnam Diversified Inc TRY	A	Interest	L	T	Buy	07/30/18	L		
44. First Trust High End C Longshore	C	Interest	K	T	Buy	07/31/18	K		
45. Pioneer Dynamics Credit Y	A	Int./Div.	L	T	Buy	07/30/18	L		
46. AIG Flexible Credit A Confirm NBR	B	Int./Div.	K	T	Buy	07/30/18	L		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Investments VII, lines 1, 25, and 33. Accounts identified as "BARNEY" are renamed Morgan Stanley.

Investments VII, line 6 - Salem Ore. Hosp. Facs. AGM B/E municipal bond is omitted from this report because it was redeemed on 11/01/16 and was erroneously listed as an asset in the 2017 FDR.

Investments VII, line 7 - Medford Ore. Hosp. Fac. B/E municipal bond is omitted from this report because it was redeemed on 10/08/15 and was erroneously listed as an asset in the 2016 and 2017 FDRs.

Investments VII, line 17 - Powershares QQQ Tr was renamed Invesco QQQ Tr.

Investments VII, line 20 - Energy Sel. Sect. Spdr. FC (XLE) has been added back into this report because it was erroneously listed as sold in the 2016 FDR.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MALCOLM F. MARSH

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544