

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MASON, MICHAEL T.	2. Court or Organization NORTHERN DIRICT OF ILLINOIS	3. Date of Report 03/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE [FULL]	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 01/31/2019
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2270 CHICAGO, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

COOK COUNTY COURT SYSTEM - SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	C	Int./Div.	M	T					
2. COMMON STOCK:									
3. ABBOTT LABS COM	A	Dividend			Buy (add'l)	06/14/17	J		
4. APPLE INC COM	A	Dividend	J	T	Buy (add'l)	06/14/17	J		
5. ATandT INC COM	A	Dividend	J	T	Buy (add'l)	06/14/17	J		
6. BANK MONTREAL (Y)									
7. IRA FIDELITY CASH ACCOUNT	A	Dividend	J	T					
8. PIMCO DEVELOPING LOCAL MKT D	A	Dividend	J	T					
9. JOHNSON AND JOHNSON (Y)									
10. TCW TOTAL RETURN BOND FUND	A	Dividend	J	T					
11. ALGER FD SM CAP PTFLO A	A	Dividend	J	T					
12. DELAWARE VALUE A	A	Dividend	J	T					
13. FIDELITY SPARTAN US EQUITY INDEX ADV CLASS	A	Dividend	J	T					
14. DWS REEF GLOBAL REAL ESTATE FUND	A	Dividend	J	T					
15. MERGER FUND (h)		None	J	T					
16. WASATCH EMERGING MKTS SMALL CP	A	Dividend	J	T					
17. JANUS ADVISOR FORTY (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. BARON EMERGING MARKETS	A	Dividend	J	T	Sold (part)	08/23/17	J		
19. WASATCH INTL OPPORTUNITIES	A	Dividend	J	T	Buy (add'l)	06/14/17	J		
20.									
21. IRA #2									
22. ASTON/RIVER ROAD SMALL CAP VALUE	A	Dividend	J	T					
23. RIVERNORTH CORE OPPORTUNITY FUND	A	Dividend	J	T					
24. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T					
25. TURNER MIDCAP GROWTH	A	Dividend	J	T					
26.									
27. TRUST #1									
28. COMMON STOCK:									
29. SPDR TR UNIT SER 1		None	L	T					
30. PHILIP MORRIS INTL IN		None	J	T					
31. ISHARES TR RUSSELL 1000 GROWTH INDEX		None	J	T	Sold (part)	06/14/17	J		
32.					Sold	03/22/18	J		
33. ISHARES GOLD TRUST ISHARES ISIN #US46428 464285105	A	Dividend	J	T					
34. BONDS:									

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35. ILLINOIS STATE COLLEGE SAVINGS G/O RFGD		None	J	T					
36. MINNEAPOLIS,MN COMMUNITY DEVELOPMENT AGENCY		None	K	T					
37.									
38. MONEY MARKET FUNDS:									
39. CEF TAX EXEMPT MONEY MARKET PORTFOLIO	A	Dividend	J	T					
40.									
41. ACCOUNTS:									
42. CITI BANK	A	Interest	L	T					
43. NORTHERN TRUST BANK	A	Interest	J	T					
44.									
45. TRUST #3									
46. WELLS FARGO BANK	A	Distribution	J	T					
47.									
48.									
49.									
50.									
51.									

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52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MICHAEL T. MASON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544