

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawrence, William T.	2. Court or Organization Southern District of Indiana	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 209 Birch Bayh Federal Building 46 E. Ohio St. Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1983	Indiana Public Employees Retirement Fund -- withdrew in favor of Indiana Judicial Retirement
2. 2002	Indiana Judicial Retirement -- Pension upon retirement
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Spouse is self-employed at a vintage shop
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Indiana Members Federal Credit Union	A	Interest	J	T					
2. JP Morgan Chase Bank	A	Interest	J	T					
3. Fifth Third Bank Account	A	Dividend	J	T					
4. Bank of America (X)	A	Interest	J	T					
5. Morgan Stanley Investment Accounts (H)									
6. -U.S. Treasury Bills Due 5/23/2019			K	T	Buy	05/24/18	K		
7. -U.S. Treasury Bills Due 11/23/2018	A	Interest			Buy	05/24/18	K		
8.					Redeemed	11/23/18	K	A	
9. -U.S. Treasury Bills Due 8/23/2018	A	Interest			Buy	05/24/18	K		
10.					Redeemed	08/23/18	K	A	
11. -U.S. Treasury Bills Due 2/21/2019			K	T	Buy	08/23/18	K		
12. -U.S. Treasury Bills Due 8/15/2019			K	T	Buy	11/27/18	K		
13. -Inherited IRA (X)	D	Dividend	M	T					
14. -FUNYX	B	Dividend	K	T	Buy	04/04/18	K		
15.					Buy (add'l)	09/26/18	K		
16. -GIBIX	A	Dividend	L	T	Buy	04/04/18	K		
17.					Buy (add'l)	09/26/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -NWKDX	A	Dividend	J	T	Buy	04/04/18	L		
19.					Buy (add'l)	09/26/18	J		
20.					Buy (add'l)	12/21/18	J		
21. -WMFFX	A	Dividend	K	T	Buy	04/04/18	K		
22.					Buy (add'l)	09/26/18	K		
23. -HFMIX	A	Dividend	J	T	Buy	04/04/18	J		
24.					Buy (add'l)	09/26/18	J		
25.					Buy (add'l)	12/21/18	J		
26.					Buy (add'l)	12/27/18	J		
27. -PIMSX	B	Dividend	K	T	Buy	04/04/18	K		
28. -PTSPX	A	Dividend	J	T	Buy	04/04/18	J		
29. -NBGIX	A	Dividend	J	T	Buy	04/04/18	J		
30.					Buy (add'l)	12/27/18	J		
31. -BPRIX	A	Dividend	J	T	Buy	04/04/18	J		
32.					Buy (add'l)	09/26/18	J		
33. -HLEMIX	A	Dividend	J	T	Buy	04/04/18	J		
34.					Buy (add'l)	12/06/18	J		

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	12/27/18	J		
36. -CAMIX	A	Dividend	K	T	Buy	04/04/18	K		
37.					Buy (add'l)	12/06/18	J		
38.					Buy (add'l)	12/27/18	J		
39. -PFTPX	A	Dividend	K	T	Buy	09/26/18	K		
40. -FNGZX	A	Dividend	K	T	Buy	09/26/18	K		
41.					Buy (add'l)	12/19/18	J		
42. -BEXIX	A	Dividend	J	T	Buy	09/26/18	J		
43. -TCVYX	A	Dividend	J	T	Buy	04/04/18	K		
44.					Buy (add'l)	09/26/18	J		
45.					Buy (add'l)	12/21/18	J		
46. -LUBFX	A	Dividend	K	T	Buy	09/26/18	K		
47. -PXQ SX	A	Dividend	J	T	Buy	12/21/18	J		
48. -MSBNK (X)	A	Interest	J	T	Open	09/20/18	M		
49.									
50.									
51.									

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52.									
53.									
54.									
55.									
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This second amendment to my 2018 Report was required because an investment was inadvertently omitted. See Part VII, Line 47.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William T. Lawrence**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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