

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moreno, Mark A.	2. Court or Organization U.S. District Court, D.S.D.	3. Date of Report 04/30/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Part-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 419 Federal Building 225 South Pierre Street Pierre SD 57501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder and President	Moreno, Lee & Bachand, P.C.
2. Director and President	Neltom Addition Civic & Recreational Association
3. Member	Ecliptic LLC
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/31/2018	Completed cash buyout of Ecliptic LLC member; see also Part VII, page 9, line 91.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Moreno, Lee & Bachand, P.C.	\$108,916.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Avera St. Mary's - Nurse
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock - Moreno, Lee & Bachand, P.C.		None	J	U					
2. Mutual Fund Stock (Am. Century Equity - RBC)	A	Dividend	J	T					
3. Mutual Fund Stock (AMG Yackman - RBC)	B	Dividend	J	T					
4. Mutual Fund Stock (Amer. Funds Fundamental Investors - RBC)	B	Dividend	K	T					
5. Mutual Fund Stock (Amer. Funds Growth Fund America - RBC)	B	Dividend	K	T					
6. Mutual Fund Stock (Prime Cap Odyssey - RBC)	A	Dividend	J	T					
7. Mutual Fund Stock (T. Rowe Price Health Sciences - RBC)	A	Dividend	J	T					
8. Mutual Fund Stock (T. Rowe Price Media & Telecommunications - RBC)	A	Dividend	J	T					
9. Mutual Fund Stock (T. Rowe Price Mid-Cap Value - RBC)	B	Dividend	J	T					
10. Mutual Fund Stock (T. Rowe Price New Horizons - RBC)	B	Dividend	J	T					
11. Mutual Fund Stock (Vanguard Dividend Growth - RBC)	A	Dividend	J	T					
12. Mutual Fund Stock (Vanguard Growth Index - RBC)	A	Dividend	K	T					
13. Mutual Fund Stock (Amer. Funds Cap. World Growth & Inc. - RBC)	A	Dividend	J	T					
14. Mutual Fund Stock (Dodge & Cox International - RBC)	A	Dividend	J	T					
15. Mutual Fund Stock (Amer. Funds New Perspective - RBC)	A	Dividend	J	T					
16. Mutual Fund Stock (Oakmark International - RBC)	A	Dividend	J	T					
17. Mutual Fund Stock (Harbor Bond - RBC)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund Stock (AMG GW&K Core Bond - RBC)	A	Dividend	J	T					
19. Mutual Fund Stock (TCW Total Return Bond - RBC)	A	Dividend	J	T					
20. Mutual Fund Stock (Am. Funds Cap. Inc. Bldr. - RBC)	A	Dividend	J	T					
21. Mutual Fund Stock (FPA Crescent - RBC)	B	Dividend	K	T					
22. Mutual Fund Stock (Janus Henderson Balanced - RBC)	A	Dividend	J	T					
23. Mutual Fund Stock (Oakmark Equity & Income - RBC)	C	Dividend	K	T					
24. Mutual Fund Stock (T. Rowe Price Cap. Apprec. - RBC)	B	Dividend	K	T					
25. Mutual Fund Stock (T. Rowe Price Personal Strategy - RBC)	A	Dividend	J	T					
26. Mutual Fund Stock (T. Rowe Price Retirement 2030 - RBC)	B	Dividend	K	T					
27. Mutual Fund Stock (Vanguard Wellesley - RBC)	A	Dividend	K	T					
28. Money Market Account - RBC	A	Interest	J	T					
29. Retirement Plan - SD Retirement System (State of SD)	A	Interest	L	T					
30. Thrift Sav. Acct. (Amer.Funds Investment Co. of Amer.)	A	Dividend	K	T					
31. Retirement Plan - SD Retirement System (State of SD)	A	Interest	K	T					
32. Supplemental Retirement Plan - SD Retirement System (State of SD)	A	Interest	J	T					
33. Common Stock - Nuveen Real Estate Inc. & Growth (f/u/a Div. RA Inc)	A	Dividend	J	T					
34. Mutual Fund Stock (Amer. Funds Fundamental Investors - Ameriprise)	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Mutual Fund Stock (Amer. Funds Growth Fund of America - Ameriprise)	C	Dividend	K	T					
36. Mutual Fund Stock (Vanguard 500 Index - Vanguard)	B	Dividend	K	T					
37. Retirement Plan - Catholic Health Initiatives	C	Interest	L	T					
38. IRA (500 Index Fund - Vanguard)	A	Dividend	K	T					
39. IRA (500 Index Fund - Vanguard)	A	Dividend	K	T					
40. Mutual Fund Stock (Vanguard 500 Index - Vanguard)	A	Dividend	J	T					
41. Ameriprise Money Market Accounts	A	Int./Div.	K	T					
42. IRA (Columbia Seligman Communications Fund - Ameriprise)	C	Dividend	K	T					
43. Roth IRA (Oakmark Equity & Income Fund - Ameriprise)	A	Dividend	J	T					
44. Mutual Fund Stock (Oakmark Equity & Income - Ameriprise)	C	Dividend	K	T					
45. Roth IRA (Oakmark Equity & Income - Ameriprise)	A	Dividend	J	T					
46. Mutual Fund Stock (AMG GW&K Core Bond - Ameriprise)	A	Dividend	J	T					
47. Mutual Fund Stock (T. Rowe Price Mid Cap. Value - Ameriprise)	B	Dividend	K	T					
48. Mutual Fund Stock (Dodge & Cox Stock - Dodge & Cox)	B	Dividend	K	T					
49. Mutual Fund Stock (Dodge & Cox Balanced - Dodge & Cox)	B	Dividend	K	T					
50. Mutual Fund Stock (Dodge & Cox Income - Dodge & Cox)	A	Dividend	K	T					
51. Roth IRA (Dodge & Cox Stock - Dodge & Cox)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Roth IRA (Dodge & Cox Balanced - Dodge & Cox)	A	Dividend	J	T					
53. Roth IRA (Dodge & Cox Stock - Dodge & Cox)	A	Dividend	J	T					
54. Roth IRA (Dodge & Cox Balanced - Dodge & Cox)	A	Dividend	J	T					
55. Roth IRA (Columbia Seligman Communications Fund-Ameriprise)	A	Dividend	J	T					
56. Common Stock - General Electric	A	Dividend	J	T					
57. Common Stock - IBM	A	Dividend	J	T					
58. Mutual Fund Stock (Oakmark Global - Ameriprise))	B	Dividend	K	T					
59. Mutual Fund Stock (T Rowe Price Cap. Apprec. - Ameriprise)	C	Dividend	K	T					
60. Mutual Fund Stock (T Rowe Price Media & Telecom - Ameriprise)	A	Dividend	K	T					
61. Mutual Fund Stock (Amer. Funds Cap. Wld Gr & Inc. - Ameriprise)	A	Dividend	J	T					
62. Roth IRA (Harbor Bond Fund - Ameriprise)	A	Dividend	J	T					
63. Roth IRA (Oakmark Global - Ameriprise)	A	Dividend	J	T					
64. Roth IRA (T Rowe Price Cap Apprec. - Ameriprise)	A	Dividend	J	T					
65. Roth IRA (Harbor Fund - Ameriprise)	A	Dividend	J	T					
66. Roth IRA (T Rowe Price Cap Apprec - Ameriprise)	A	Dividend	J	T					
67. Common Stock - Pepsico	A	Dividend	J	T					
68. Mutual Fund Stock (Dodge & Cox Intl - Dodge & Cox)	A	Dividend	K	T					

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69. Mutual Fund Stock (Vanguard Wellington - Vanguard)	A	Dividend	K	T					
70. Mutual Fund Stock (TCW Total Return Bond - TCW)	A	Dividend	J	T					
71. Mutual Fund Stock (Fidelity Govt. Inc. - Ameriprise)	A	Dividend	J	T					
72. Mutual Fund Stock (Vanguard Intermediate-Term Treas. - Vanguard)	A	Dividend	J	T					
73. Mutual Fund Stock (Harbor Bond - Ameriprise)	A	Dividend	J	T					
74. Mutual Fund Stock (FPA Crescent - FPA)	A	Dividend	J	T					
75. Mutual Fund Stock (Columbia Seligman Communications - Ameriprise)	C	Dividend	K	T					
76. Mutual Fund Stock (Vanguard Wellesley - Vanguard)	A	Dividend	J	T					
77. Mutual Fund Stock (Vanguard Dividend Growth - Vanguard)	A	Dividend	J	T					
78. Mutual Fund Stock (Vanguard GNMA - Vanguard)	A	Dividend	J	T					
79. Mutual Fund Stock (FPA Crescent - FPA)	A	Dividend	J	T					
80. Roth IRA (Harbor Bond - Ameriprise)	A	Dividend	J	T					
81. Roth IRA (Harbor Bond - Ameriprise)	A	Dividend	J	T					
82. Common Stock - ALPS Corp.		None	K	U					
83. Roth IRA (Vanguard GNMA - Vanguard)	A	Dividend	J	T					
84. Modern Woodman (Adjustable Life Insurance)	A	Interest	J	T					
85. Mutual Fund Stock (AMG Yacktman - AMG)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Mutual Fund Stock (Amer. Funds New Perspective - Ameriprise)	A	Dividend	J	T					
87. Roth IRA (Vanguard Wellesley - Ameriprise)	A	Dividend	J	T					
88. Roth IRA (Vanguard Wellesley - Ameriprise)	A	Dividend	J	T					
89. Mutual Fund Stock (T. Rowe Price Health Sciences - Ameriprise)	B	Dividend	K	T					
90. Retirement Sav (403(b)) Plan - Avera (FID Freedom 2025K - Fidelity)	D	Dividend	M	T	Buy (add'l)	Various	J		
91. Ecliptic LLC	C	Distribution	M	U	Buy (add'l)	12/31/18	K		
92. Cash Balance Retirement Plan - Avera	A	Interest	J	T					
93. Mutual Fund Stock (T. Rowe Price Capital Apprec. - Ameriprise)	A	Dividend	J	T					
94. Mutual Fund Stock (T. Rowe Price New Horizons - Ameriprise)	B	Dividend	J	T					
95. Mutual Fund Stock (T. Rowe Price Health Sciences - Ameriprise)	A	Dividend	J	T					
96. Mutual Fund Stock (Chartwell Berwyn Income - Ameriprise)	A	Dividend	J	T					
97. Mutual Fund Stock (Chartwell Berwyn Income - Ameriprise)	A	Dividend	J	T					
98. IRA (T. Rowe Price Capital Apprec. - Ameriprise)	A	Dividend	J	T					
99. IRA (T. Rowe Price Capital Apprec. - Ameriprise)	A	Dividend	J	T					
100. IRA (Chartwell Berwyn Income - Ameriprise)	A	Dividend	J	T					
101. IRA (Chartwell Berwyn Income - Ameriprise)	A	Dividend	J	T					
102. Mutual Fund Stock (Value Line Asset Allocation - Ameriprise)	A	Dividend	J	T					

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103. Mutual Fund Stock (Vanguard Long Term Investment - Ameriprise)	A	Dividend	J	T					
104. Mutual Fund Stock (Dodge & Cox Intl. - Dodge & Cox)	A	Dividend	J	T					
105. Mutual Fund Stock (AMG BW&K Core Bond - Ameriprise)	A	Dividend	J	T					
106. Bank Accounts - BankWest	A	Interest	J	T					
107. Bank Accounts - Wells Fargo		None	J	T					
108. Mutual Fund Stock (T. Rowe Price Blue Chip Growth - Ameriprise)	A	Dividend	J	T					
109. Mutual Fund Stock (Dodge & Cox Balanced - Dodge & Cox)	A	Dividend	J	T					
110. Mutual Fund Stock (Dodge & Cox Income - RBC)	A	Dividend	J	T					
111. Mutual Fund Stock (Oakmark - RBC)	A	Dividend	J	T					
112. Mutual Fund Stock (Mairs PWR - RBC)	A	Dividend	J	T					
113. Mutual Fund Stock (Oakmark - Ameriprise)	A	Dividend	J	T					
114. Mutual Fund Stock (AMG. Yackman - Ameriprise)	B	Dividend	J	T					
115. Mutual Fund Stock (Vanguard Wellesley - Ameriprise)	A	Dividend	J	T					
116. Mutual Fund Stock (Vanguard Long Term Investment - Vanguard)	A	Dividend	J	T					
117. Mutual Fund (Dodge & Cox Stock - Dodge & Cox)	A	Dividend	J	T					
118. Mutual Fund Stock (T.Rowe Price Balanced - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		
119. Mutual Fund Stock (T. Rowe Price Global Allocation - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Mutual Fund Stock(T. Rowe Price Personal Strat. Bal'd - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		
121. Mutual Fund Stock (T. Rowe Price Per. Strat. Income - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		
122. Mutual Fund Stock (T. Rowe Price Retirement 2025 - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		
123. Mutual Fund Stock (T. Rowe Price Retirement 2030 - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		
124. Mutual Fund Stock (T. Rowe Price Retirement 2035 - T. Rowe Price)	A	Dividend	J	T	Buy	03/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, pages 4-5, 10, lines 2-28, 110-12 - The mutual funds and money market account listed are part of the reporting individual's law firm retirement account with RBC.

Part VII, page 6, line 37 - This retirement plan -- like those mentioned on page 9, lines 90, 92 -- is owned by the spouse of the reporting person. The plan, and the one referred to in line 90, is administered by Fidelity Investments. But unlike the line 90 plan, none of the assets in the plan on line 37 were invested in Fidelity products (mutual funds, ETFs, etc.). Accordingly, no breakdown or itemization has been made.

Part VII, page 9, line 90 - Funds were added to this retirement plan on a bi-monthly basis via payroll deductions (hence the use of the word "various" in Column D(2)).

Part VII, page 9, line 91 - In addition to completing the buyout disclosed in Part II, the reporting person received a distribution from the LLC at the end of the year.

Part VII, page 10, line 117 - This mutual fund was erroneously identified in last year's report and has now been corrected. The income, value, and transaction information previously reported (in Columns B, C, and D) was all correct -- only the description listed (in Column A) was wrong.

Part VII, generally - The brokerage firm holding each mutual fund has been included so that commonly held funds can be differentiated.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark A. Moreno**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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