

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mensah, Shirley P.	2. Court or Organization United States District Court, Eastern District of Missouri	3. Date of Report 03/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Thomas F. Eagleton Courthouse 111 South 10th Street St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	New City School Board of Trustees
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Pfizer - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	New City School	Tuition	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking	A	Interest	J	T					
2. First Community - Checking	A	Int./Div.	J	T					
3. ING Direct/Capital One - Savings	C	Interest	M	T					
4. IRA #1 (SPM) (H)									
5. --IJR	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
6. --FTSL	A	Dividend	J	T	Sold (part)	01/31/18	J		
7. --TOTL	A	Dividend	K	T	Sold (part)	01/31/18	J		
8. --KLCIX		None			Sold	01/31/18	J		
9. --FDSWX	A	Dividend	J	T	Sold (part)	01/31/18	J		
10. --Invesco Floating Rate Fund (AFRYX)	A	Dividend	J	T	Sold (part)	01/31/18	J		
11. --Loomis Sayles Investment Grade Bond Fund Class A (LSIIX)	A	Dividend	K	T	Buy (add'l)	01/31/18	K		
12. --IJH	A	Dividend	K	T	Sold (part)	01/31/18	J		
13. --IAU		None	J	T	Buy (add'l)	01/31/18	J		
14. --HGHIX	A	Dividend	J	T					
15. --MIOIX		None	J	T					
16. --GLJFX /MFLZAB	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
17. --EMKIX	A	Dividend	J	T	Buy (add'l)	01/31/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. --FIHBM	A	Dividend	J	T					
19. --LFRX	A	Dividend	J	T					
20. --IEMG	A	Dividend	J	T	Sold (part)	01/31/18	J		
21. --KRE	A	Dividend	J	T	Sold (part)	01/31/18	J		
22. --CIBR	A	Dividend	J	T	Sold (part)	01/31/18	J		
23. IRA #2 (PKM's ROTH) (H)									
24. --EFA	A	Dividend	J	T					
25. --VUG	A	Int./Div.	J	T					
26. --VTV	A	Int./Div.	J	T	Buy (add'l)	11/09/18	J		
27. --VTI	A	Int./Div.	J	T					
28. --IEMG	A	Int./Div.	J	T	Buy (add'l)	12/17/18	J		
29. --IEFA	A	Dividend	J	T	Buy (add'l)	10/04/18	J		
30. --SCHB	A	Dividend	J	T	Sold (part)	06/22/18	J		
31. --SCHV (Y)									
32. --GOVT	A	Dividend	J	T	Sold (part)	02/23/18	J		
33.					Buy (add'l)	06/22/18	J		
34.					Sold (part)	09/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	12/17/18	J		
36. --IEFA	A	Int./Div.	J	T	Buy (add'l)	10/04/18	J		
37. UBS EQUITY FOCUS FUND	B	Int./Div.	M	T					
38. UBS DIVIDEND STRATEGY FUND	C	Int./Div.	M	T					
39. UBS Q GARP LIST FUND (X)	B	Int./Div.	M	T					
40. 529 Plan #1 (ORAAM) (H)									
41. --- Columbia Growth 529 Portfolio Fund Class C (MFZNMV)		None	K	T					
42. -- Columbia Moderate Growth 529 Portfolio Fund Class C (MFZMNV)		None	K	T					
43. 529 Plan #2 (AAPM) (H)									
44. -- MFZNMJ (Columbia Growth A)		None	K	T					
45. Janus Enterprise Fund D Shares	A	Int./Div.	M	T					
46. Brokerage Account #1 (Fidelity) (H)									
47. -- PFE		None	J	T					
48. --Pfizer 401(k) (/H)									
49. ---- Fidelity Large Cap Growth		None	M	T					
50. ----T Rowe Small Cap Stock		None	M	T					
51. ----Dodge & Cox Intl.		None	L	T					

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52. ----Fidelity Mid Cap Stock Fund		None	M	T					
53. ----Pfizer Stock Match		None	L	T					
54. -- Russell 2000 Sm Cap Index		None	M	T					
55. -- NT S&P 500 index		None	M	T					
56. --BP Large Cap Value		None	M	T					
57. Brokerage Account #2 (TD Ameritrade) (H)									
58. --ADAP		None	J	T					
59. --ADNT	A	Dividend			Sold	12/26/18	J		
60. --AAPL	A	Dividend	K	T					
61. -- AAL/USAIR	A	Int./Div.	K	T					
62. --AMZN		None	J	T					
63. --BAC	A	Dividend	K	T					
64. -- BLUE		None	K	T					
65. --BMY	A	Dividend	J	T					
66. --BIIB		None	J	T					
67. --BLCM		None	J	T					
68. --CMCSK/CMCSA	A	Dividend	K	T					

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69. -- DAL	A	Dividend	K	T					
70. --DFS	A	Dividend	K	T					
71. -- RE	A	Int./Div.	J	T					
72. --FB		None	K	T					
73. --GS	A	Dividend	J	T					
74. -- GT	A	Dividend	J	T					
75. --GOOG		None	K	T					
76. --INCY		None	K	T					
77. --INTC	A	Dividend	J	T					
78. --JUNO		None			Sold	03/07/18	J	D	
79. -- LEA	A	Dividend	J	T					
80. --MGA	A	Dividend	K	T					
81. --MSFT	A	Dividend	K	T					
82. -- MS	A	Dividend	J	T					
83. --NP	A	Dividend	J	T					
84. -- NFLX		None	K	T					
85. -- ORBK		None	K	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. --REGN		None	J	T					
87. -- SANM		None	J	T					
88. --SGEN		None	J	T					
89. --LUV	A	Dividend	J	T					
90. -- TSLA		None	J	T					
91. -- URI		None	K	T					
92. -- UTHR		None	J	T					
93. -- UEIC		None	J	T					
94. --AVHI	A	Dividend			Sold	10/03/18	J		
95. --AL	A	Dividend	J	T					
96. --AAOI		None	J	T					
97. --AXTI		None			Sold	12/26/18	J		
98. --CCBG	A	Dividend	J	T					
99. --COBZ	A	Int./Div.	J	T					
100. --EBF	A	Int./Div.	J	T					
101. --BEN	A	Dividend			Sold	12/26/18	J		
102. --GE	A	Dividend	J	T					

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103. —GNBC	A	Dividend	J	T					
104. —GCBC	A	Dividend	J	T					
105. —GBNK	A	Dividend	J	T					
106. —INTT		None	J	T					
107. —KE		None	J	T					
108. —MBUU		None	J	T					
109. —MPB	A	Dividend	J	T					
110. —MLR	A	Dividend	J	T					
111. —SORL		None	J	T					
112. —TSEM		None	J	T					
113. —TTMI		None			Sold	12/26/18	J		
114. --CQP (X)			J	T	Buy	05/01/18	J		
115. --CRSP(X)			J	T	Buy	09/20/18	J		
116. --EDIT(X)			J	T	Buy	09/20/18	J		
117. --FSFG(X)			J	T	Buy	04/16/18	J		
118. --HMN(X)			J	T	Buy	05/01/18	J		
119. --JCTCF(X)			J	T	Buy	05/01/18	J		

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120. --L(X)			J	T	Buy	01/29/18	J		
121. --SBUX(X)			J	T	Buy	04/16/18	J		
122. --TMHC(X)			J	T	Buy	10/03/18	J		
123. --VHI(X)			J	T	Buy	04/16/18	J		
124. Brokerage Acct #3 (Interactive Brokers) (H)									
125. --BIIB		None	J	T					
126. --BLUE		None	K	T					
127. --CELG		None	J	T					
128. --GILD	A	Dividend	J	T					
129. --INCY		None	J	T					
130. --JUNO		None			Sold	03/06/18	J	D	
131. --REGN		None	J	T					
132. --ADAP	A	Dividend	J	T					
133. --AVXS					Sold	05/15/18	J	D	
134. --BLCM		None	J	T					
135. --BOLD		None	J	T					
136. --RGNX		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. —SGEN		None	J	T					
138. —VYGR		None	J	T					
139. --ADVM(X)			J	T	Buy	04/19/18	J		
140. --CRSP(X)			J	T	Buy	05/31/18	J		
141. --EDIT(X)			J	T	Buy	04/19/18	J		
142. --SLDB(X)			J	T	Buy	04/19/18	J		
143. Rental Property #1(Loft St. Louis, Mo) (comparable units)	D	Rent			Sold	10/31/18	M		Daniel Schniedermeier
144. Rental Property #2 (Condo, St. Louis, MO) (comparable units)	B	Rent			Sold	06/08/18	M	F	Tim Fine
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Mensah, Shirley P.	03/07/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -Additional Notes:

Assets previously identified as not reportable and designated with a (Y) have either been removed from this report or, if reportable during this period, the Y was removed and information regarding the asset was reported.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shirley P. Mensah

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544