

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kronstadt, John A.	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 04/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 350 West 1st Street Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #2 See comment in Part VIII.
2. Director and Member of Executive Committee	Constitutional Rights Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Los Angeles County Superior Court Salary and Benefits

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Horizons Mid Cap Equity Fund	D	Int./Div.			Sold	08/29/18	M	A	
2. Horizons Large Cap Equity Fund	A	Int./Div.	J	T					
3. Horizons Stable [Formerly Income] Value Fund	B	Int./Div.	N	T	Buy (add'l)	08/29/18	N		
4. Los Angeles County Stable Balanced Fund	D	Int./Div.	M	T	Sold (part)	08/29/18	M	A	
5. Los Angeles County Stable Value Fund	A	Int./Div.	N	T	Buy (add'l)	08/29/18	M		
6. CGM Focus Fund CGMFX	B	Int./Div.	K	T					
7. CGM Focus Fund CGMFX	C	Int./Div.	J	T					
8. CGM Realty CGMRX	C	Int./Div.	K	T					
9. Los Angeles County Horizons Non-US Equity	B	Int./Div.			Sold	08/29/18	M	A	
10. Los Angeles County Horizons Small Cap Equity	D	Int./Div.	K	T	Sold (part)	08/29/18	L	A	
11. Los Angeles County Horizons Midcap Equity	E	Int./Div.	L	T	Sold (part)	08/29/18	N	A	
12. Los Angeles County Horizons Non US Equity	B	Int./Div.	K	T	Sold (part)	08/29/18	K	A	
13. Los Angeles County Horizons Small Cap Equity	D	Int./Div.			Sold	08/29/18	M	A	
14. Los Angeles County Savings Large Cap Equity	F	Int./Div.	M	T	Sold (part)	08/29/18	O	A	
15. Los Angeles County Savings Balanced Fund	D	Int./Div.			Sold	08/29/18	N	A	
16. Los Angeles County Horizons Stable [Formerly Income] Value Fund	D	Int./Div.	P1	T	Buy (add'l)	08/29/18	O		
17. Cal. Judges Retirement System Pension Plan		None	O	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. University of California Savings Fund	A	Int./Div.	J	T					
19. University of California Equity Fund	A	Int./Div.	K	T					
20. TIAA CREF Stock	A	Int./Div.	J	T					
21. TIAA CREF Global Equities	A	Int./Div.	J	T					
22. TIAA CREF Growth	A	Int./Div.	J	T					
23. TIAA CREF Equity Index	A	Int./Div.	J	T					
24. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
25. State of California SMBIA Bonds	A	Int./Div.	K	T					
26. State of California Public Works Board Bond	A	Int./Div.	K	T					
27. Franklin California High Yield Municipal Fund	D	Int./Div.	N	T					
28. Oppenheimer International Bond Fund OIBCX	B	Int./Div.	K	T					
29. Vanguard Windsor II VWNFX Fund Investor Share	A	Int./Div.	J	T					
30. INVESCO QQQ ETF [Formerly PWRSH - PowerShares QQQ Trust]	C	Int./Div.	N	T					
31. ABT - Abbott Lab	A	Int./Div.	J	T					
32. AXP - American Express	A	Int./Div.	K	T					
33. AMGN - Amgen	B	Int./Div.			Donated				
34. T - AT&T	A	Int./Div.			Sold	04/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. CVX - Chevron	A	Int./Div.	J	T					
36. XOM - Exxon Mobil	A	Int./Div.			Donated				
37. Cigna Corp, Formerly Express Scripts	A	Int./Div.	J	T					
38. MSFT - Microsoft	A	Int./Div.	K	T					
39. MON - Monsanto	A	Int./Div.			Sold	06/06/18	J	D	
40. PG - Proctor Gamble	A	Int./Div.	J	T					
41. SJM - JM Smucker	A	Int./Div.	J	T					
42. UPS	A	Int./Div.	J	T					
43. WMT - Wal Mart	A	Int./Div.	K	T					
44. Vanguard 529 College Savings Plan Aggressive Age-Based Option	A	Int./Div.	K	T					
45. QCOM - Qualcomm	A	Int./Div.	J	T					
46. Vanguard Health Care VGHGX	A	Int./Div.	K	T					
47. Vanguard [Formerly Windsor II VWNFX] Wellington VWELX	A	Int./Div.	J	T					
48. Vanguard Money Market VMMXX	A	Int./Div.	K	T					
49. Union Bank Investment Services Sweep Account	A	Int./Div.	J	T					
50. American Funds Money Market Fund 529-B	A	Int./Div.	J	T					
51. American Funds Balanced Fund 529-E	A	Int./Div.	J	T					

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52. San Francisco City and County Redevelopment Bonds	B	Int./Div.	J	T	Sold (part)	08/01/18	J	D	
53. Bruce Fund Mutual Fund	A	Int./Div.	K	T					
54. Northwest Mutual Whole Life Insurance	C	Int./Div.	M	T					
55. Lincoln Financial Group American Legacy II Variable Annuity		None	M	T					
56. Putnam Hartford Capital Manager Variable Annuity		None	L	T					
57. Round Hill Partnership (Real Property)	D	Distribution	L	W					
58. Union Bank of California Cash Account	A	Int./Div.	K	T					
59. Wellsley Income Fund VWINX	B	Int./Div.	K	T					
60. Vanguard 500 Index Fund VFIAX	D	Int./Div.	N	T					
61. - Round Hill Partnership (Real Estate) Appraisal 12/30/2009	D	Distribution	M	Q					
62. - Country Club Offices Part. (Real Est.) Appraisal 12/30/09	A	Distribution	J	Q					
63. - Penn Center Limited Part. (Real Est.) Appraisal 12/30/09	D	Distribution	M	Q					
64. - Potomac Place Assoc. Part. (Real Est.) Appraisal 12/30/09	D	Distribution	L	Q					
65. MetLife Insurance (H)		None	J	T					
66. _Brighthouse/Aberdeen Emerging Markets Equity Portfolio		None	J	T					
67. _MetLife Russell 2000		None	J	T					
68. _Deutsche CROCI Int'l VIP		None	J	T					

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69. _Deutsche Gov't Money Market VIP		None	J	T					
70. _Fidelity Contrafund Portfolio		None	J	T					
71. _Fidelity Equity-Income Portfolio		None	J	T					
72. _Fidelity Freedom 2010 Portfolio		None	J	T					
73. _Fidelity Freedom 2020 Portfolio		None	J	T					
74. _Fidelity Freedom 2030 Portfolio		None	J	T					
75. _Fidelity Freedom 2040 Portfolio		None	J	T					
76. _Fidelity Freedom 2050 Portfolio		None	J	T					
77. _Fidelity Growth Portfolio		None	J	T					
78. _Fidelity Index 500 Portfolio		None	J	T					
79. _Fidelity Mid Cap Portfolio		None	J	T					
80. _MFS Global Equity Series		None	J	T					
81. _MFS Growth Series		None	J	T					
82. _Putnam VT Equity Income Fund		None	J	T					
83. _Putnam VT Growth Opportunities Fund		None	J	T					
84. _Putnam VT High Yield Fund		None	J	T					
85. _Putnam VT Income Fund		None	J	T					

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86. _Putnam VT Sustainable Leaders Fund		None	J	T					
87. _T. Rowe Price New America Growth Portfolio		None	J	T					
88. _T. Rowe Price Personal Strategy Balanced Portfolio		None	J	T					
89. _T. Rowe Price Limited-Term Bond Portfolio		None	J	T					
90. Brighthouse[Formerly Met Life] Variable Annuity Balance Plus Portfolio		None	O	T					
91. One America Asset Care Long Term Care	C	Distribution	M	T	Distributed (part)	08/29/18	J	C	
92. Trust #2 (H) *									
93. _Davenport & Co. Prime Cash Trust	A	Int./Div.	J	T					
94. ASPCX - Alger Spectra	E	Dividend	M	T					
95. TEGBX - Templeton Global Bond	D	Dividend	L	T					
96. ODVCX - Oppenheimer Developing Markets	A	Dividend	L	T					
97. AFJCX - Allianz GI NFL	A	Dividend	K	T					
98. MCLVX - Black Rock Large Cap	E	Dividend	M	T					
99. HFOCX - Henderson Int'l Opportunity	B	Dividend	L	T					
100. FCAHX - Franklin CA High Yield	D	Dividend	N	T					
101. RDSA - Royal Dutch Shell	A	Int./Div.	J	T					
102. Pacific Life Annuity * American Funds Asset Allocation		None	P1	T					

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103. FKINX Franklin Income Fund	C	Int./Div.	L	T					
104. Intel	A	Int./Div.	K	T					
105. Abbvie	A	Int./Div.	K	T					
106. Kopernik GLB Allcap Institutional	A	Int./Div.	L	T					
107. Pimco Income P	C	Int./Div.	L	T					
108. AQR Managed Futures Strategy 1	A	Int./Div.	L	T					
109. Los Angeles County Bank Depository Fund	A	Dividend	J	T					
110. VCTXX CA Muni Money Market Fund	A	Int./Div.	J	T					
111. LAC Bank Depository Fund	C	Int./Div.	N	T	Buy	08/29/18	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 65-89: MetLife is not able to provide individual values for these assets; the value is listed as a percentage of the total. The total cash value of this policy at the end of 2018 is \$396.92. In the 2017 Amended Report the year end total for 2017 should have been reported as \$420.68.

Line 79 On Amended 2017 Report: The page number should have been changed to reflect correct line number of 103.

Lines 3 and 16: Name changed from Horizons Stable Income Fund to Horizons Stable VALUE Fund.

Lines 3 and 11: Rebalanced - Horizons Mid Cap Equity to Horizons Stable Value Fund.

Lines 5 and 15: Rebalanced - LAC Savings Balanced Fund to LAC Stable Value Fund.

Lines 1, 9 and 13: Rebalanced three funds, full sells, added to lines 16 and 111 accounts.

Lines 4, 10, 12,14: Rebalanced four funds with partial sells, added to lines 16 and 111 accounts.

Line 30: Name changed from Powershares to INVESCO

Lines 33 and 36: These assets were donated and full ownership transferred [not sold] in full to adult children.

Line 92 and Positions: The Trust #2 referred to in Positions and Investments and Trusts is a reference to a trust that was established for the benefit of others and of which I was the trustee. The trust if not formally dissolved, but has very little remaining assets.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kronstadt, John A.

Date of Report

04/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Kronstadt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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