

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Steven J.	2. Court or Organization U.S. District Court - New Hampshire	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Warren B. Rudman U.S. Courthouse 55 Pleasant Street, Room 416 Concord, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC
6.	Member	Pleasant Lake Cottages, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Account Secured Premier Credit Line	O
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA American Funds: Investment Company of America		None			Sold	02/13/18	M	E	
3. IRA American Funds: Washington Mutual Investors Fund		None			Sold	02/13/18	K	A	
4. IRA iShares Core S&P	A	Dividend	K	T	Buy	02/12/18	K		
5. IRA iShares Russell 1000	B	Dividend	L	T	Buy	02/14/18	L		
6. IRA iShares MSCI EAFE	C	Dividend	M	T	Buy	02/14/18	M		
7. IRA iBoxx \$ Invt	A	Dividend	J	T	Buy	02/14/18	J		
8. IRA iShares Core US AGG Bond	A	Dividend	J	T	Buy	02/14/18	J		
9. IRA iShares Tips Bond	A	Dividend	J	T	Buy	02/14/18	J		
10. IRA iShares Short Term Corp Bond	A	Dividend	J	T	Buy	02/14/18	J		
11. IRA SPDR Bloomberg Barclay High Yield Bond	A	Dividend	J	T	Buy	02/14/18	J		
12. IRA Vanguard Value	B	Dividend	L	T	Buy	02/14/18	J		
13. IRA Vanguard Mid-Cap	A	Dividend	K	T	Buy	02/14/18	J		
14. IRA Vanguard FTSE Emerg Mkts	A	Dividend	K	T	Buy	02/14/18	K		
15. ExxonMobil Corp	A	Dividend	J	T					
16. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
17. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 19

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18. -UBS RMA Cash Account	A	Interest	M	T					
19. -Apple Inc	A	Dividend	J	T	Buy	04/04/18	J		
20. -Exact Sciences Corp		None	J	T	Buy	01/03/18	J		
21. -Hasbro Inc	A	Dividend	J	T	Buy	06/06/18	J		
22. -Microsoft Corp	A	Dividend	J	T	Buy	11/07/18	J		
23. -Nike Inc	A	Dividend	J	T	Buy	09/15/18	J		
24. -Proofpoint Inc		None	J	T	Buy	12/10/18	J		
25. -Upwork Inc		None	J	T	Buy	11/07/18	J		
26. IRA iShares Russell Fund		None			Sold	02/14/18	K	E	
27. IRA Midcap SPDR TR		None			Sold	02/14/18	L	E	
28. UBS Bank USA Dep Acct	A	Dividend	M	T					
29. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
30. Enterprise Products Partners LP	B	Dividend	K	T					
31. iShares TR Russell 2000 Index Fund	B	Dividend	L	T					
32. IRA iShares Russell Midcap		None			Sold	02/14/18	K	E	
33. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	K	T					
34. Apple Inc	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 19

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35. Northern Trust Prime Obligation Fund 9/1	A	Dividend			Sold	02/14/18	J	A	
36. Simon Property Group Inc	B	Dividend	K	T					
37. iShares iBoxx Invst Grade DE Corp Bond Fund	B	Dividend	K	T					
38. Fairfax Cty VA Pub Ser A	B	Interest	K	T					
39. First Colebrook Bank	A	Dividend			Sold	06/01/18	K	D	
40. Danaher Corp	A	Dividend	J	T					
41. Alphabet Inc. CL A	A	Dividend	J	T					
42. JP Morgan Chase & Company	A	Dividend	J	T					
43. Starbucks Corp	A	Dividend	J	T					
44. TJX Companies Inc	A	Dividend			Sold	03/14/18	J	A	
45. VISA Inc	B	Dividend	K	T					
46. Alphabet Inc. CL A and CL C	A	Dividend	L	T					
47. Home Depot Inc	A	Dividend	L	T					
48. Janus Henderson Global Equity (Name Change)	A	Dividend	J	T					
49. iShares Trust Russell 1000	A	Dividend	K	T					
50. Celgene Corp	B	Dividend	J	T					
51. Chubb Ltd	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

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52. Janus Henderson Global FDS Euro (Name Change)	A	Dividend	L	T					
53. Washington Prime Group Inc Com	A	Dividend	J	T					
54. Biogen Inc	A	Dividend	K	T					
55. British American Tobacco PLC	A	Dividend	J	T					
56. HCA Healthcare Inc (Name Change)	B	Dividend	K	T					
57. Veritiv Corp Com		None	J	T					
58. SPDR S&P MidCap 400 ETF TR	C	Dividend	M	T					
59. Technology Sector SPDR Trust SHS	B	Dividend	L	T					
60. Johnson County Kansas Water District #1 Bond	A	Interest	K	T					
61. Brentwood Tennessee G.O. Bond	A	Interest	K	T					
62. Minn St Colleges & SR A Revenue Bond	A	Interest	K	T					
63. Vermont Municipal Bond Bank SRL Revenue Bond	A	Interest	K	T					
64. Pimco Real Return Fund Class A	B	Dividend	K	T					
65. Calamos Market Neutral Income Fund Class A	B	Dividend	K	T					
66. First Eagle Global Fund Class A	B	Dividend	K	T					
67. IRA Janus Henderson Global Investors European Focus Fund		None			Sold	02/12/18	L	A	
68. Shumac LLC 50% Int (Holds Lake Property in Merrimack County, NH)		None	N	W					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

McAuliffe, Steven J.

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05/10/2019

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69. Pleasant Lake Cottages, LLC (Real Estate)		None	O	W					
70. R.P. Jobin, Personal Loan		None	K	T					
71. Verizon Communications Inc	A	Dividend	J	T					
72. PNC Financial Services Group	A	Dividend	J	T					
73. PepsiCo Inc	A	Dividend	J	T					
74. Salesforce.com Inc		None	J	T					
75. Thermo Fisher Scientific Inc	A	Dividend	J	T					
76. UnitedHealth Group	A	Dividend	J	T					
77. Gilead Sciences Inc	A	Dividend	K	T					
78. Microsoft Corp	A	Dividend	J	T					
79. Berkshire Hathaway Inc		None	J	T					
80. Chubb Ltd	A	Dividend	J	T					
81. Costco Wholesale Corp	A	Dividend	J	T					
82. Dominion Resources Inc	A	Dividend	J	T					
83. SPDR S&P Midcap 600	A	Dividend	K	T					
84. Ecolab, Inc	A	Dividend	J	T					
85. Medtronic Holding Ltd	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

McAuliffe, Steven J.

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05/10/2019

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86. Vanguard REIT ETF	A	Dividend	J	T					
87. Facebook Inc	A	Dividend	J	T					
88. Broadcom Ltd	A	Dividend	J	T					
89. Hess Corp	A	Dividend	J	T					
90. Home Depot Inc	A	Dividend	J	T					
91. Northrop Grumman Corp	A	Dividend	J	T					
92. Raytheon Co	A	Dividend	J	T					
93. Ivy Intl Core Equity	A	Dividend	L	T					
94. Vanguard Midcap ETF	B	Dividend	L	T					
95. Pentair PLC	A	Dividend			Sold	05/30/18	J	A	
96. Kraft Heinz Co	A	Dividend	J	T					
97. TE Connectivity Ltd	A	Dividend	J	T					
98. KeyCorp New	A	Dividend	J	T					
99. Digital Realty Trust	A	Dividend	J	T					
100. Merck & Co New	A	Dividend	J	T					
101. Fortive Corp	A	Dividend	J	T					
102. Affiliated Mgrs Grp	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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103. Ameriprise Fin Inc	A	Dividend	J	T					
104. Union Pacific Corp	A	Dividend	J	T					
105. Bioverativ Inc (Veritiv Corp)		None	J	T					
106. Amazon Inc	A	Dividend	J	T					
107. American Tower Corp	A	Dividend	J	T					
108. Allergan PLC	A	Dividend	J	T					
109. Eaton Corp PLC	A	Dividend	J	T					
110. Priceline Group Inc (Name Change: Booking Holdings Inc)	A	Dividend	J	T					
111. Altria Group Inc	A	Dividend	J	T					
112. Caterpillar Inc	A	Dividend	J	T					
113. Conoco Phillips	A	Dividend	J	T					
114. Halliburton Co	A	Dividend	J	T					
115. Oppenheimer Dev Mkts	A	Dividend	K	T					
116. AT&T Inc	A	Dividend	J	T	Buy	05/30/18	J		
117. Campbell Soup Co	A	Dividend	J	T	Buy	03/15/18	J		
118. Ford Motor Co	A	Dividend	J	T	Buy	03/15/18	J		
119. General Electric Co		None	J	T	Buy	11/15/18	J		

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Page 11 of 19

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. McDonald's Corp	A	Dividend	J	T	Buy	03/29/18	J		
121. Netflix Inc		None	J	T	Buy	07/27/18	J		
122. Procter & Gamble Co	A	Dividend	J	T	Buy	05/30/18	J		
123. United Tech Corp	A	Dividend	J	T	Buy	05/30/18	J		
124. Wal-Mart Inc	A	Dividend	J	T	Buy	05/30/18	J		
125. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend			Sold	02/06/18	J	A	
126. Apple Inc [C]	B	Dividend	K	T					
127. Colgate-Palmolive Company [C]	A	Dividend			Sold	03/14/18	J	A	
128. Danaher Corp [C]	B	Dividend	K	T					
129. JP Morgan Chase & Company [C]	A	Dividend	K	T					
130. Oracle Corp [C]	A	Dividend	K	T					
131. UnitedHealth Group [C]	A	Dividend	L	T					
132. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
133. F. Templeton Global Bond A [K]	A	Dividend	J	T					
134. Arbitrage Fund [K]	A	Dividend	J	T					
135. BlackRock Equity Dividend Fund [K]	A	Dividend	J	T					
136. Calamos Market Neutral Income Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Calamos International Growth Fund [K]	A	Dividend	J	T					
138. Dreyfus Small Cap Value Fund [K]	A	Dividend	J	T					
139. Natisis Loomis Sayles Core Plus Bond Fund [K]	A	Dividend	J	T					
140. Royce Premier Fund [K]	A	Dividend	J	T					
141. Laudus Growth Inv US Large Cap Fund [K]	A	Dividend	J	T					
142. UBS Pimco College Fund 529(1) [K]	A	Interest	J	T					
143. UBS Pimco College Fund 529(2) [K]	A	Interest	K	T					
144. Fidelity Advisor Materials Fund Class A [K]	A	Dividend	J	T					
145. Invesco Developing Markets Fund Class A [K]	A	Dividend	J	T					
146. Oakmark International Fund [K]	A	Dividend	J	T					
147. Delaware Corporate Bond Fund A [K]	A	Dividend	J	T					
148. Ivy High Income Fund [K]	A	Dividend	J	T					
149. Metropolitan West Total Return Bond Fund [K]	A	Dividend	J	T					
150. Pimco Real Return Fund [K]	A	Dividend	J	T					
151. Virtus Seix High Grade Municipal Bd Fd (fmrly "Ridgeworth") [K]	A	Dividend	J	T					
152. T. Rowe Price Tax Free Short Intermediate Fund [K]	A	Dividend	J	T					
153. TCW Emerging Markets Income Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MainStay Marketfield Fund [K]	A	Dividend	J	T					
155. First Eagle Global Fund [K]	A	Dividend	J	T					
156. BlackRock Equity Dividend Fund A [C]	A	Dividend			Sold	02/06/18	K	A	
157. Harbor International Fund Investor Class [C]	A	Dividend			Sold	02/06/18	J	A	
158. Janus Henderson Global Investors Intrntnl Opps Fund A [C]	A	Dividend			Sold	02/06/18	J	A	
159. Voya Global Real Estate Fund Class A [C] (Name Change)	A	Dividend			Sold	02/06/18	J	A	
160. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend			Sold	02/06/18	K	A	
161. Neuberger Berman Genesis Fund Investor Class [C]	A	Dividend			Sold	02/06/18	J	A	
162. Oppenheimer Developing Markets Fund Class Y [C]	A	Dividend			Sold	02/06/18	J	A	
163. Royce Premier Fund [C]	A	Dividend			Sold	02/06/18	J	A	
164. F. Templeton Global Bond A [C]	A	Interest			Sold	02/06/18	K	A	
165. Pimco Total Return Fund Class P [C]	B	Dividend			Sold	02/06/18	K	A	
166. Wilmington Short/Intermediate-Term Bond Fund [C]	B	Dividend			Sold	02/06/18	K	A	
167. Aberdeen Equity Long-Short Fund Class A [C]	A	Dividend			Sold	02/06/18	J	A	
168. JP Morgan Multi-cap Market Neutral Fund [C]	A	Dividend			Sold	02/06/18	J	A	
169. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend			Sold	02/06/18	J	A	
170. Celgene Corp [C]	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Berkshire Hathaway Inc [C]		None	J	T					
172. Chubb Ltd [C]	A	Dividend	J	T					
173. Costco Wholesale Corp [C]	A	Dividend	J	T					
174. Dominion Resources [C]	A	Dividend	J	T					
175. ExxonMobil Corp [C]	A	Dividend			Sold	12/26/18	J	A	
176. PNC Financial Services Group [C]	A	Dividend	J	T					
177. PepsiCo Inc [C]	A	Dividend	J	T					
178. TJX Companies [C]	A	Dividend			Sold	03/14/18	J	A	
179. Verizon Communications Inc [C]	A	Dividend	J	T					
180. Ameriprise Fin Inc [C]	A	Dividend	J	T					
181. Broadcom Ltd [C]	A	Dividend	J	T					
182. Ecolab Inc [C]	A	Dividend	J	T					
183. Facebook Inc [C]		None	J	T					
184. Vanguard Mid Cap FD [C]	B	Dividend	L	T					
185. Vanguard REIT ETF [C]	A	Dividend	K	T					
186. Affiliated Mgrs Group [C]	A	Dividend	J	T					
187. Digital Realty Trust Inc [C]		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Hess Corp [C]	A	Dividend	J	T					
189. KeyCorp New [C]	A	Dividend	J	T					
190. Kraft Heinz Co [C]	A	Dividend			Sold	12/26/18	J	A	
191. Northrop Grumman Corp [C]	A	Dividend	J	T					
192. Pentair PLC [C]	A	Dividend			Sold	05/30/18	J	A	
193. Raytheon Co [C]	A	Dividend	J	T					
194. Home Depot Inc [C]	A	Dividend	J	T					
195. Union Pacific Corp [C]	A	Dividend	J	T					
196. SPDR S&P 600 Small Cap [C]	A	Dividend	K	T					
197. Ivy Intl Core Equity [C]	A	Dividend			Sold	12/27/18	M	D	
198. Oppenheimier Dev Mkts [C]	A	Dividend	K	T					
199. Starbucks Corp [C]	A	Dividend	J	T					
200. Visa Inc [C]	A	Dividend	J	T					
201. Fortive Corp [C]	A	Dividend	J	T					
202. Conoco Phillips [C]	A	Dividend	J	T					
203. Halliburton Co [C]	A	Dividend			Sold	12/26/18	J	A	
204. Amazon Inc [C]	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Caterpillar Inc [C]	A	Dividend	J	T					
206. Altria Group Inc [C]	A	Dividend	J	T					
207. Eaton Corp PLC [C]	A	Dividend	J	T					
208. Priceline Group Inc (Name Change: Booking Holdings Inc) [C]	A	Dividend	J	T					
209. American Tower Corp [C]	A	Dividend	J	T					
210. Alergen PLC [C]	A	Dividend			Sold	12/26/18	J	A	
211. AT&T Inc [C]	A	Dividend	J	T	Buy	05/30/18	J		
212. Campbell Soup Co [C]	A	Dividend	J	T	Buy	03/15/18	J		
213. Ford Motor Co [C]	A	Dividend	J	T	Buy	03/15/18	J		
214. General Electric Co [C]		None	J	T	Buy	11/15/18	J		
215. McDonald's Corp [C]	A	Dividend	J	T	Buy	03/15/18	J		
216. Netflix Inc [C]		None	J	T	Buy	07/27/18	J		
217. Proctor & Gamble Co [C]	A	Dividend	J	T	Buy	05/30/18	J		
218. United Tech Corp [C]	A	Dividend	J	T	Buy	05/30/18	J		
219. Wal-Mart Inc [C]	A	Dividend	J	T	Buy	05/30/18	J		
220. Vanguard FTSE Dev Mkt [C]		None	M	T	Buy	12/28/18	M		
221. Vanguard Index FDS S&P [C]		None	J	T	Buy	12/26/18	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. iShares Core S&P Small Cap [C]	A	Dividend	J	T	Buy	02/06/18	J		
223. iShares Russell 1000 Growth [C]	A	Dividend	K	T	Buy	02/06/18	K		
224. iShares MSCI EAFE [C]	A	Dividend	K	T	Buy	02/06/18	K		
225. Vanguard Value ETF [C]	A	Dividend	K	T	Buy	02/06/18	K		
226. Vanguard Mid-Cap ETF [C]	A	Dividend	J	T	Buy	02/06/18	J		
227. Vanguard FTSE Emerging Mkts [C]	A	Dividend	K	T	Buy	02/06/18	K		
228. iShares iBoxx & Invst Grade Corp Bond [C]	A	Dividend	J	T	Buy	02/06/18	J		
229. iShares Core US Agg Bond [C]	A	Dividend	J	T	Buy	02/06/18	J		
230. iShares Tips Bond [C]	A	Dividend	J	T	Buy	02/06/18	J		
231. iShares Natl Muni Bond [C]	A	Dividend	K	T	Buy	02/06/18	K		
232. iShares Short Term Natl Muni Bond [C]	A	Dividend	K	T	Buy	02/06/18	K		
233. SPDR Bloomberg Barclay High Yield Bond [C]	A	Dividend	J	T	Buy	02/06/18	J		
234. Vanguard Total Intl bond [C]	A	Dividend	J	T	Buy	02/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 17. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

McAuliffe, Steven J.

Date of Report

05/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Steven J. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544