

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Martin, Brenda K.	2. Court or Organization U.S. Bankruptcy Court, Arizona	3. Date of Report 07/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court 230 N. First Avenue Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	BrenDan Acquisitions, LLC
2.	Trustee	Helsinki Trust
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Midwestern University (salary and bonus)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 27-31, 2018	San Antonio, TX	Annual Conference of Professional Association	Lodging
2. American Bankruptcy Institute	September 6-7, 2018	Las Vegas, NV	Speaker	Airfare, lodging, and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Mortgage, Rental Property #1	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Corp. (cash)	A	Interest	M	T					
2. Chase Bank (cash)	A	Interest	M	T					
3. Rental Property #1, Boulder, CO	E	Rent			Sold	11/20/18	O		
4. WAVI Co (X)		None	L	T					
5. ACCT #1 (H)									
6. Charles Schwab Bank Sweep Account (cash)	A	Interest	M	T					
7. Columbia Seligman Comm (SLMCX)	C	Dividend	K	T					
8. Davis NY Venture (NYVTX)	C	Dividend	K	T					
9. Invesco European Growth (AEGSX)	A	Dividend	J	T					
10. Miller Oppty Trust FI (LMOFX)		None	J	T					
11. Ivy Asset Strategy Fund (WASAX)	C	Dividend	K	T					
12. Vanguard Intl Value Fund (VTRIX)	A	Dividend	K	T					
13. PGIM (formerly Prudential) Jennison Natural Resources Fd A (PGNAX)	A	Dividend	J	T					
14. ACCT #2 (H)									
15. TD Bank USA FDIC Money Market (cash)	A	Interest	K	T					
16. Blackrock High Yield Bond A (BHYIX)	C	Dividend	L	T					
17. ClearBridge Large Cap Growth I (SBLYX)	B	Dividend	M	T	Buy	03/14/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	05/11/18	J	A	
19.					Sold (part)	07/25/18	J	A	
20.					Sold (part)	07/27/18	J	A	
21.					Sold (part)	08/16/18	J	A	
22.					Buy (add'l)	10/04/18	J		
23.					Buy (add'l)	10/09/18	J		
24. Delaware US Growth Instl Fund (DEUIX)	D	Dividend			Sold	03/14/18	L	C	
25. Fidelity Investment Grade Bond Fund (FBNDX)	C	Dividend	M	T	Buy (add'l)	03/20/18	J		
26. Ivy International Core Equity Fund (IVIAX)	B	Dividend	L	T	Sold (part)	04/26/18	J	A	
27.					Buy (add'l)	05/11/18	J		
28.					Sold (part)	06/29/18	J	A	
29.					Sold (part)	08/16/18	J	A	
30.					Buy (add'l)	10/04/18	J		
31. JP Morgan Value Advantage (JVAYX)	D	Dividend	M	T	Sold (part)	01/18/18	J	B	
32.					Buy (add'l)	03/20/18	J		
33.					Sold (part)	04/26/18	J	B	
34.					Sold (part)	05/11/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	07/25/18	J	A	
36.					Sold (part)	07/27/18	J	A	
37.					Sold (part)	07/31/18	J	A	
38.					Sold (part)	08/16/18	J	A	
39.					Buy (add'l)	10/04/18	J		
40. Nuveen Inflation Protected Securities (FISFX)	A	Dividend	K	T	Buy	05/16/18	K		
41. Principal Small Cap Growth Inst (PGRTX)	B	Dividend	L	T	Sold (part)	01/18/18	J	A	
42.					Sold (part)	03/20/18	J	A	
43.					Sold (part)	04/26/18	J	A	
44.					Sold (part)	08/16/18	J	A	
45.					Buy (add'l)	10/04/18	J		
46.					Buy (add'l)	10/09/18	J		
47. Wells Fargo Advantage Government Secs	A	Dividend	L	T	Buy (add'l)	01/18/18	J		
48.					Sold (part)	05/16/18	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 1: BrenDan Acquistions, LLC is not reportable in Part VII and contains no reportable assets.

Part I, line 2: Reportable holdings of the Helsinki Trust are listed in Part VII.

Part VII, line 4: The most recent valuation of this holding took place in 2011. It is not currently traded, so a better market valuation is not available.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brenda K. Martin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544