

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McFadden, Trevor N.	2. Court or Organization U.S. District Court, District of Columbia	3. Date of Report 07/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court for the District of Columbia E. Barrett Prettyman Courthouse 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	Bloomberg BNA: Publishing and royalty agreement regarding legal treatise authored by the filer.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Bloomberg BNA (book royalties)	\$3,945.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self-employed (legal policy consultant)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Federalist Society	October 10-11, 2018	New York, NY	Speech	Transportation, lodging, and meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nelnet	Student Loan	K
2.	Nelnet	Student Loan	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CapitalOne360 (cash)	A	Interest	K	T					
2. American Bank (cash)	A	Interest	J	T					
3. Wells Fargo (cash)	A	Interest	J	T					
4. Suntrust Bank (cash)	A	Interest	J	T					
5. Virginia 529 Accounts (H)									
6. Virginia 529 Invest Account - International Index (XX80)		None			Sold	12/28/18	J		
7. Virginia 529 Invest Account - International Index (XX15)		None			Sold	12/28/18	J		
8. Virginia 529 Invest Account - International Index (XX26)		None			Sold	12/28/18	J		
9. Virginia 529 Invest Account - International Index (XX62)		None			Sold	12/28/18	J		
10. Virginia 529 Invest Account - Stock Index (XX13)		None			Sold	12/28/18	J		
11. Virginia 529 Invest Account - Stock Index (XX22)		None			Sold	12/28/18	J		
12. Virginia 529 Invest Account - Stock Index (XX97)		None			Sold	12/28/18	K		
13. Virginia 529 Invest Account - Stock Index (XX04)		None			Sold	12/28/18	K		
14. Brokerage Account #1 (H)									
15. Fidelity Cash Fund (cash)	A	Interest	K	T					
16. Invesco (formerly Powershares) QQQ TR Unit Ser 1 (QQQ)	A	Dividend	J	T					
17. iShares Russell 2000 ETF (IWM)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SPDR SER TR S&P Divid ETF (SDY)	A	Dividend	J	T					
19. Select Sector Spdr TR Consumer Discretionary (XLY)	A	Dividend	J	T					
20. Vanguard Group Div App ETF (VIG)	A	Dividend	K	T					
21. Vanguard Intl Equity Index Fund Inc FTSE Emerging Markets ETF (VWO)	A	Dividend	J	T					
22. Vanguard Total Stock Market ETF (VTI)	A	Dividend	K	T					
23. SPDR Energy Select Sector (XLE)	A	Dividend			Sold	12/13/18	J		
24. iShares Nasdaq Biotechnology Index (IBB)	A	Dividend			Sold	12/27/18	J		
25. Brokerage Account #2 (H)									
26. Fidelity Gov't Cash Reserves (FDRXX) (cash)	A	Int./Div.	K	T					
27. Invesco (formerly Powershares) QQQ TR Unit Ser 1 (QQQ)	A	Dividend	K	T					
28. Select Sector Spdr TR RL Est Sel Sec (XLRE)	A	Dividend			Sold	01/30/18	J	A	
29. iShares MSCI Eurozone ETF (EZU)	A	Dividend	J	T	Buy	01/30/18	J		
30. iShares Transportation Average ETF (IYT) (X)	A	Dividend	J	T					
31. iShares Core S&P 500 ETF (IVV) (X)	A	Dividend	J	T					
32. iShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T					
33. iShares TR Nasdaq Biotech (IBB) (X)	A	Dividend	J	T					
34. iShares Trust MSCI India Index Fd (INDA) (X)	A	Dividend			Sold	06/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Spdr S&P 500 ETF Trust Unit Ser 1 S&P (SPY)	A	Dividend	K	T					
36. Spdr Ser TR S&P BK ETF (KBE) (X)	A	Dividend	J	T					
37. Spdr Ser TR S&P Biotech ETF (XBI)	A	Dividend			Buy	08/31/18	J		
38.					Sold	12/27/18	J		
39. Spdr Dow Jones Indl Avg ETF Tr Unit (DIA)	A	Dividend	J	T					
40. Select Sector Spdr Tr Shs Ben Int Materials (XLB)	A	Dividend	J	T					
41. Select Sector Spdr Tr Health Care (XLV)	A	Dividend	K	T					
42. Select Sector Spdr Tr Energy (XLE) (X)	A	Dividend	J	T					
43. Sector Spdr Tr Shs Ben Int Financial (XLF)	A	Dividend	K	T					
44. Sector Spdr Tr Shs Ben Int Industrial (XLI)	A	Dividend	J	T					
45. Sector Spdr Tr Shs Ben Int Technology (XLK)	A	Dividend	K	T					
46. Vanguard Intl Eq Index Fund FTSE Emerging Mkts ETF (VWO)	A	Dividend			Buy	01/08/18	J		
47.					Sold	06/27/18	J		
48. Vanguard S&P 500 ETF Shs New (VOO)	A	Dividend	J	T	Buy	01/08/18	J		
49. Vanguard Total Stock Mkt ETF (VTI)	A	Distribution	J	T					
50. SSGA Active ETF TR SPDR TR Tactic (TOTL)	A	Distribution	K	T					
51. Invesco DB Mlti Sectr Cmnty Tr Base Metals (formerly Powershares (DBB)	A	Dividend			Buy	01/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	12/27/18	J		
53. Virginia 529 Account #1 (H)									
54. Virginia 529 Invest Account - Active Aggressive		None			Buy	05/23/18	J		
55.					Sold	12/28/18	J		
56. Virginia 5290 Invest Account - Active Conservative		None	K	T	Buy	12/28/18	K		
57. Virginia 529 Account #2 (H)									
58. Virginia 529 Invest Account - Active Aggressive (X)		None			Buy (add'l)	08/31/18	J		
59.					Sold	12/28/18	J		
60. Virginia 5290 Invest Account - Active Conservative		None	K	T	Buy	12/28/18	J		
61. Virginia 529 Account #3 (H)									
62. Virginia 529 Invest Account - Active Aggressive		None			Buy	05/23/18	J		
63.					Sold	12/28/18	J		
64. Virginia 5290 Invest Account - Active Conservative		None	K	T	Buy	12/28/18	J		
65. Virginia 529 Account #4 (H)									
66. Virginia 529 Invest Account - Active Aggressive (X)		None			Buy (add'l)	08/31/18	J		
67.					Sold	12/28/18	J		
68. Virginia 5290 Invest Account - Active Conservative		None	K	T	Buy	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Virginia 529 Account #5 (H)									
70. Virginia 529 Invest Account - Active Conservative (X)		None	K	T					
71. Virginia 529 Account #6 (H)									
72. Virginia 529 Invest Account - Active Aggressive		None			Buy	08/31/18	J		
73.					Sold	12/28/18	J		
74. Virginia 5290 Invest Account - Active Conservative		None	K	T	Buy	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
McFadden, Trevor N.	07/29/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column A: Names of holdings have been updated to conform with current brokerage statements. Ticker symbols remain unchanged.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 40-62 of the nomination report are not reportable in this cycle. There are no corresponding reportable transactions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

McFadden, Trevor N.

Date of Report

07/29/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Trevor N. McFadden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544