

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, David E.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 07/24/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

UW Hospitals & Clinics Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Ameritrade Revocable Trust Account (H)									
2. TD Ameritrade Cash Equivalent (FDIC Insured Account)	A	Interest	J	T					
3. DFA Tax Managed US Targeted Value Mutual Fund	A	Dividend	J	T					
4. DFA US Small Cap I Mutual Fund	A	Dividend	K	T					
5. DFA US Large Cap Value I Mutual Fund	C	Dividend	L	T	Buy (add'l)	12/31/18	J		
6. Gabelli Equity Income I Mutual Fund	A	Dividend			Sold (part)	03/09/18	J		
7.					Sold	12/31/18	J		
8. DFA US Large Cap Equity Mutual Fund	B	Dividend	L	T					
9. Powershares FTSE RAFI US 1000 ETF	A	Dividend	K	T					
10. Oppenheimer Global Y Mutual Fund	D	Dividend	L	T					
11. Tweedy Browne Worldwide High Dividend Yield Mutual Fund	D	Dividend	L	T					
12. DFA Emerging Markets Value Mutual Fund	A	Dividend	J	T					
13. Oppenheimer Developing Markets Y Mutual Fund	A	Dividend	J	T	Sold (part)	03/09/18	J		
14. American Funds Limited Term Tax Exempt Bond F-2 Mutual Fund	A	Dividend	K	T	Sold (part)	01/22/18	J		
15.					Sold (part)	04/20/18	J		
16. American Funds High-Income Municipal Bond F-2 Mutual Fund	A	Dividend	K	T					
17. AMG GW&K Municipal Bond I Mutual Fund	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
19. TD Ameritrade IRA (H)									
20. TD Ameritrade Cash Equivalent (FDIC Insured Account)		None	J	T					
21. DFA US Targeted Value Mutual Fund	D	Dividend	M	T					
22. DFA US Small Cap I Mutual Fund	C	Dividend	L	T					
23. DFA US Large Cap Value I Mutual Fund	E	Dividend	M	T					
24. PIMCO RAE Fundamental Plus I Mutual Fund	E	Dividend	M	T					
25. DFA International Value I Mutual Fund	B	Dividend	K	T					
26. DFA International Small Cap Value Mutual Fund	A	Dividend	J	T					
27. DFA International Small Cap Mutual Fund	A	Dividend	J	T					
28. DFA Large Cap International I Mutual Fund	A	Dividend	K	T					
29. Oppenheimer Global Y Mutual Fund	B	Dividend	K	T					
30. Tweedy Browne Global Value Mutual Fund	B	Dividend	K	T					
31. American Funds New World F-2 Mutual Fund	A	Dividend	K	T					
32. DFA Emerging Markets Small Cap Mutual Fund	A	Dividend	J	T					
33. DFA Emerging Markets Mutual Fund	A	Dividend	J	T					
34. DFA Emerging Markets Value Mutual Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Oppenheimer Developing Markets Y Mutual Fund	A	Dividend	K	T					
36. Fidelity Total Bond Z Mutual Fund	B	Dividend	L	T					
37. PIMCO Income Inst Mutual Fund	D	Dividend	M	T					
38. FPA New Income Mutual Fund	C	Dividend	L	T	Sold (part)	01/22/18	J		
39.					Sold (part)	04/20/18	J		
40.					Sold (part)	07/24/18	J		
41.					Sold (part)	10/25/18	J		
42.									
43. TD Ameritrade Spouse IRA (H)									
44. TD Ameritrade Cash Equivalent (FDIC Insured Account)		None	J	T					
45. DFA US Targeted Value Mutual Fund	A	Dividend	J	T					
46. DFA US Small Cap I Mutual Fund	B	Dividend	K	T					
47. PIMCO RAE Fundamental Plus I Mutual Fund	B	Dividend	K	T					
48. Oppenheimer Global Y Mutual Fund	B	Dividend	K	T					
49. Tweedy Browne Global Value Mutual Fund	A	Dividend	J	T					
50. PIMCO Income Inst Mutual Fund	A	Dividend	J	T					
51. FPA New Income Mutual Fund	A	Dividend	J	T	Sold (part)	01/22/18	J		

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52.					Sold (part)	04/20/18	J		
53.					Sold (part)	07/24/18	J		
54.					Sold (part)	10/25/18	J		
55.									
56. UW Credit Union Money Market Savings Account	A	Interest	K	T					
57. UW Credit Union Checking & Savings Accounts	A	Interest	K	T					
58.									
59. University of Wisconsin TIAA CREF 403(b) Retirement Plan									
60. CREF Stock	A	Dividend	K	T					
61. CREF Growth	A	Dividend	K	T					
62. CREF Equity Index	A	Dividend	K	T					
63. CREF Global Equities	A	Dividend	K	T					
64. CREF Bond Market	B	Dividend	K	T					
65. CREF Social Choice	A	Dividend	K	T					
66.									
67. Wisconsin Deferred Compensation 457 (H) Retirement Plan									
68. American Fund EuroPacific Growth R6 Mutual Fund	C	Dividend	K	T					

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69. DFA US Micro Cap I Mutual Fund	B	Dividend	K	T					
70. T. Rowe Price Instl Mid-Cap Equity Growth Mutual Fund	C	Dividend	K	T					
71. Fidelity Contrafund Mutual Fund		None	L	T					
72. Vanguard Institutional Index Instl Plus Mutual Fund	A	Dividend	L	T					
73. BlackRock US Debt Index W Mutual Fund		None	J	T					
74. Galliard Capital Management Stable Value Fund		None	J	T					
75. Federated US Government Securities 2-5 year Mutual Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David E. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544