

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mora, Mindy A.	2. Court or Organization U.S. BANKRUPTCY - S DIST OF FL	3. Date of Report 12/20/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address THE FLAGLER WATERVIEW BUILDING 1515 NORTH FLAGLER DRIVE, ROOM 801 WEST PALM BEACH, FL 33401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. PRESIDENT	MINDY MORA, P.A.
2. EXECUTIVE BOARD MEMBER	ASSOCIATION OF COMMERCIAL FINANCE ATTORNEYS
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	SALARY MINDY A. MORA, P.A.	\$83,207.90
2. 2018	S CORPORATION INCOME (SEE PART VIII)	\$18,693.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. AMERICAN BANKRUPTCY INSTITUTE	4/19/18-4/20/18	TAMPA, FL	EDUCATIONAL ACTIVITIES	LODGING, TRANSPORTATION AND MEALS
2. BANKRUPTCY BAR ASSOCIATION OF SOUTH FLORIDA	5/10/18-5/13/18	PALM BEACH, FL	ACTIVITY OF PROFESSIONAL ASSOC OR CIVIC ORGANIZATION	LODGING, TRANSPORTATION AND MEALS
3. ASSOCIATION OF COMMERCIAL FINANCE ATTORNEYS	6/7/18-6/10/18	NEWPORT, RI	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS

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4.	FLORIDA BAR	6/13/18-6/14/18	ORLANDO, FL	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS
5.	NATIONAL ASSOCIATION OF BANKRUPTCY TRUSTEES	8/16/18-8/17/18	JACKSONVILLE, FL	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS
6.	FLORIDA BAR	8/31/18-9/3/18	BONITA SPRINGS, FL	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS
7.	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	10/27/18-10/31/18	SAN ANTONIO, TX	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS
8.	BANKRUPTCY BAR ASSOCIATION OF SOUTH FLORIDA	11/8/18-11/9/18	MIAMI, FL	NON-FJC EDUCATIONAL SEMINAR OR PROGRAM	LODGING, TRANSPORTATION AND MEALS

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CITIBANK	BRIDGE LOAN	O
2.	CITIMORTGAGE	FORMER RESIDENCE, [REDACTED]	N
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK CITIGOLD CHECKING	A	Int./Div.	J	T					
2. CITIBANK CITIGOLD CHECKING	A	Int./Div.	J	T					
3. CITIBANK CITIGOLD SAVINGS	A	Int./Div.	L	T					
4. FIDELITY GOVERNMENT MONEY MARKET	A	Int./Div.	J	T					
5. FIDELITY SELECT MED TECHNOLOGY & DEVICES	A	Int./Div.	K	T	Buy (add'l)	04/06/18	J		
6. ISHARES RUSSELL 2000 ETF	B	Int./Div.	J	T	Buy (add'l)	11/09/18	M		
7. SPDR S&P 500 ETF TRUST UNIT SER 1	C	Int./Div.	M	T	Buy (add'l)	10/02/18	M		
8. ISHARES CORE GROWTH ALLOCATION ETF	A	Int./Div.	J	T	Buy (add'l)	11/09/18	M		
9. PUT (SPY) SPDR S&P 500 ETF	A	Int./Div.	J	T	Buy	03/13/18	J		
10.					Sold (part)	03/13/18	J	A	
11.					Sold (part)	03/13/18	J	A	
12. PUT (SPY) SPDR S&P 500 ETF	A	Int./Div.	J	T	Buy	03/13/18	J		
13.					Sold (part)	03/13/18	J	A	
14.					Sold (part)	03/13/18	J	A	
15. PUT (IWM) ISHARES RUSSELL	A	Int./Div.	J	T	Buy	11/08/18	J		
16.					Sold (part)	11/08/18	J	A	
17.					Sold (part)	11/08/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. PUT (SPY) SPDR S&P 500 ETF	A	Int./Div.	J	T	Buy	10/01/18	J		
19.					Sold (part)	10/01/18	J	A	
20.					Sold (part)	10/01/18	J	A	
21. PUT (SPY) SPDR S&P 500 ETF	A	Int./Div.	J	T	Buy	11/08/18	J		
22.					Sold (part)	11/08/18	J	A	
23.					Sold (part)	11/08/18	J	A	
24. CATALYST LYONS HEDGE PREMIUM CL A	A	Int./Div.			Sold	11/08/18	J	A	
25. FIDELITY MUNICIPAL MONEY MARKET	A	Int./Div.			Sold	08/23/18	J	A	
26. DOUBLELINE SHILLER ENHANCED CAP	A	Int./Div.			Sold	09/21/18	K	A	
27. FIDELITY NASDAQ COMPOSITE INDEX	A	Int./Div.			Sold	09/21/18	K	A	
28. FIDELITY OTC PORT	A	Int./Div.			Sold	09/21/18	K	A	
29. METROPOLITAN WEST HIGH YIELD BOND	A	Int./Div.			Sold	09/21/18	J	A	
30. JOHNSON & JOHNSON	A	Int./Div.			Sold	09/21/18	J	A	
31.					Buy	03/13/18	J		
32. FIDELITY TREASURY MONEY MARKET FUND	A	Int./Div.	K	T					
33. IRA: FIDELITY GOVERNMENT CASH RESERVES	A	Int./Div.	J	T					
34. IRA: FIDELITY MSCI CONSUMER DISCRETIONARY INDEX	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	

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35.					Buy (add'l)	01/23/18	J		
36.					Sold (part)	03/19/18	J	A	
37.					Sold (part)	03/21/18	J		
38.					Buy (add'l)	05/08/18	J		
39. IRA: FIDELITY MSCI CONSUMER STAPLES	A	Int./Div.	J	T	Buy (add'l)	12/10/18	J		
40.					Sold (part)	03/19/18	J	A	
41.					Buy (add'l)	03/21/18	J		
42.					Sold (part)	05/08/18	J	A	
43. IRA: FIDELITY MSCI ENERGY INDEX	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	
44.					Buy (add'l)	01/23/18	J		
45.					Sold (part)	03/19/18	J	A	
46.					Buy (add'l)	03/21/18	J		
47.					Buy (add'l)	05/08/18	J		
48. IRA: FIDELITY MSCI FINLS INDEX	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	
49.					Sold (part)	01/23/18	J	A	
50.					Sold (part)	03/19/18	J	A	
51.					Buy (add'l)	03/21/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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52.					Sold (part)	05/08/18	J	A	
53. IRA: FIDELITY MSCI HEALTH CARE INDEX	A	Int./Div.	J	T	Buy (add'l)	12/10/18	J		
54.					Sold (part)	01/23/18	J	A	
55.					Sold (part)	03/19/18	J	A	
56.					Buy (add'l)	03/21/18	J		
57.					Sold (part)	05/08/18	J	A	
58. IRA: FIDELITY MSCI INDL INDEX ETF	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	
59.					Buy (add'l)	01/23/18	J		
60.					Sold (part)	03/19/18	J	A	
61.					Buy (add'l)	03/21/18	J		
62.					Buy (add'l)	05/08/18	J		
63. IRA: FIDELITY MSCI INFORMATION TECHNOLOGY	A	Int./Div.	J	T	Sold (part)	12/10/18	J	A	
64.					Sold (part)	01/23/18	J	A	
65.					Sold (part)	03/19/18	J	A	
66.					Buy (add'l)	03/21/18	J		
67.					Buy (add'l)	05/08/18	J		
68. IRA: FIDELITYMSCI REAL ESTATE INDEX	A	Int./Div.	J	T	Buy (add'l)	12/10/18	J		

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69.					Sold (part)	01/23/18	J	A	
70.					Sold (part)	03/19/18	J	A	
71.					Buy (add'l)	03/21/18	J		
72.					Sold (part)	05/08/18	J	A	
73. IRA: FIDELITY MSCI UTILS INDEX ETF	A	Int./Div.	J	T	Buy (add'l)	01/23/18	J		
74.					Sold (part)	03/19/18	J	A	
75.					Buy (add'l)	03/21/18	J		
76.					Buy (add'l)	05/08/18	J		
77. IRA: FIDELITY MSCI COMMUNICATION SERVICES INDEX	A	Int./Div.	J	T	Buy (add'l)	12/10/18	J		
78.					Sold (part)	01/23/18	J	A	
79.					Buy (add'l)	03/19/18	J		
80.					Buy (add'l)	03/21/18	J		
81.					Sold (part)	05/08/18	J		
82. IRA: FIDELITY MSCI MATLS INDEX	A	Int./Div.	J	T	Buy (add'l)	12/10/18	J		
83.					Buy (add'l)	01/23/18	J		
84.					Sold (part)	03/19/18	J	A	
85.					Buy (add'l)	03/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Buy (add'l)	05/08/18	J		
87.					Sold (part)	05/08/18	J	A	
88. IRA: FIDELITY GOVERNMENT CASH RESERVES	A	Int./Div.	J	T					
89. IRA: CATALYST EXCEED DEFIND	A	Int./Div.	M	T	Buy (add'l)	11/07/18	M		
90. IRA: FID CONSERVATIVE INCOME BOND FUND	B	Int./Div.	L	T					
91. IRA: FIDELITY MSCI CONSUMER DISCRETIONARY INDEX	A	Int./Div.	L	T	Buy (add'l)	09/24/18	L		
92. IRA: FIDELITY MSCI CONSUMER STAPLES INDEX	B	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
93. IRA: FIDELITY MSCI ENERGY INDEX	A	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
94. IRA: FIDELITY MSCI FINLS INDEX	B	Int./Div.	L	T	Buy (add'l)	09/24/18	L		
95. IRA: FIDELITY MSCI HEALTH CARE INDEX	B	Int./Div.	L	T	Buy (add'l)	09/24/18	L		
96. IRA: FIDELITY MSCI INDL INDEX	B	Int./Div.	L	T	Buy (add'l)	09/24/18	L		
97. IRA: FIDELITY MSCI INFORMATION TECHNOLOGY	B	Int./Div.	M	T	Buy (add'l)	09/24/18	M		
98. IRA: FIDELITY MSCI REAL ESTATE INDEX	B	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
99. IRA: FIDELITY MSCI UTILS INDEX	A	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
100. IRA: FIDELITY MSCI COMMUNICATION SERVICES INDEX	B	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
101. IRA: FIDELITY MSCI MATLS INDEX	A	Int./Div.	K	T	Buy (add'l)	09/24/18	K		
102. IRA: ISHARES MSCI EMERGING MARKETS	A	Int./Div.	K	T	Sold (part)	08/24/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103.					Buy (add'l)	09/24/18	K		
104.					Buy (add'l)	01/25/18	J		
105.					Buy (add'l)	03/01/18	J		
106.					Sold (part)	05/18/18	J	A	
107. IRA: ISHARES S&P 500 GROWTH	C	Int./Div.	N	T	Buy (add'l)	08/24/18	K		
108.					Buy (add'l)	09/24/18	N		
109.					Sold (part)	01/25/18	J	A	
110.					Buy (add'l)	03/01/18	J		
111.					Buy (add'l)	03/28/18	J		
112. IRA: ISHARES S&P 500 VALUE	D	Int./Div.	M	T	Buy (add'l)	08/24/18	M		
113.					Buy (add'l)	09/24/18	M		
114.					Sold (part)	01/25/18	J	A	
115.					Buy (add'l)	03/01/18	K		
116.					Buy (add'l)	03/28/18	J		
117. IRA: ISHARES MSCI EAFE ETF	C	Int./Div.	M	T	Sold (part)	08/24/18	M	A	
118.					Buy (add'l)	09/24/18	L		
119.					Buy (add'l)	01/25/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/01/18	K		
121.					Sold (part)	05/18/18	J	A	
122. IRA: ISHARES S&P MID CAP 400 GROWTH	A	Int./Div.	J	T	Buy (add'l)	09/24/18	J		
123.					Sold (part)	01/25/18	J	A	
124.					Buy (add'l)	03/01/18	J		
125.					Buy (add'l)	03/28/18	J		
126. IRA: ISHARES S&P MIDCAP 400 VALUE	A	Int./Div.	J	T	Buy (add'l)	09/24/18	J		
127.					Sold (part)	01/25/18	J	A	
128.					Buy (add'l)	03/01/18	J		
129.					Buy (add'l)	03/28/18	J		
130. IRA: ISHARES S&P SMALL CAP 600 VALUE	A	Int./Div.	J	T	Sold (part)	08/24/18	J	A	
131.					Buy (add'l)	09/24/18	J		
132.					Buy (add'l)	03/01/18	J		
133.					Buy (add'l)	03/28/18	J		
134. IRA: ISHARES TR S&P SMALL CAP 600 GROWTH	A	Int./Div.	J	T	Sold (part)	08/24/18	J	A	
135.					Buy (add'l)	09/24/18	J		
136.					Buy (add'l)	03/01/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	03/28/18	J		
138. IRA: S&R INCOME FUND II LP	B	Int./Div.	M	T	Buy (add'l)	11/19/18	M		
139. IRA: HSR INCOME AND VALUE REIT INC	B	Int./Div.	M	T	Buy (add'l)	11/02/18	M		
140. IRA: S&R INCOME FUND I LP	B	Int./Div.	M	T	Buy (add'l)	11/19/18	J		
141. IRA: S&R REAL ESTATE FUND III LP	A	Int./Div.	M	T	Buy (add'l)	11/06/18	M		
142. IRA: FID CONSERVATIVE INCOME BOND	A	Int./Div.			Buy	09/21/18	M		
143.					Sold	11/16/18	J	A	
144. IRA: APPLE HOSPITALITY REIT	A	Int./Div.			Buy	09/24/18	J		
145.					Sold	11/08/18	J	A	
146. IRA: CAMDEN PPTY TR	A	Int./Div.			Buy	09/24/18	J		
147.					Sold	11/08/18	J	A	
148. IRA: CAPITAL ONE FINANCIAL	A	Int./Div.			Buy	09/24/18	J		
149.					Sold	11/08/18	J	A	
150. IRA: CITIGROUP INC	A	Int./Div.			Buy	09/24/18	J		
151.					Redeemed	10/22/18	J	A	
152. IRA: DOWDUPONT INC	A	Int./Div.			Buy	09/24/18	J		
153.					Sold	11/08/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IRA: DUKE ENERGY CORP	A	Int./Div.			Buy	09/24/18	J		
155.					Sold	11/08/18	J	A	
156. IRA: FIDELITY DIVIDEND ETF	A	Int./Div.			Buy	09/24/18	J		
157.					Sold	11/08/18	J	A	
158. IRA: FIRST TR EXCHANGE TRADED	A	Int./Div.			Buy	09/24/18	J		
159.					Sold	11/08/18	J	A	
160. IRA: GLOBAL X FDS GLOBAL	A	Int./Div.			Buy	09/24/18	J		
161.					Sold	11/08/18	J	A	
162. IRA: GOLDMAN SACHS GROUP	A	Int./Div.			Buy	09/24/18	J		
163.					Sold	11/08/18	J	A	
164. IRA: INVESCO EXCHNG TRADED	A	Int./Div.			Buy	09/24/18	J		
165.					Sold	11/08/18	J	A	
166. IRA: ISHARES U S REAL ESTATE	A	Int./Div.			Buy	09/24/18	J		
167.					Sold	11/08/18	J	A	
168. IRA: ISHARES TR GLB INFRASTR	A	Int./Div.			Buy	09/24/18	J		
169.					Sold	11/08/18	J	A	
170. IRA: ISHARES TR INTL SEL DIV	A	Int./Div.			Buy	09/24/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	11/08/18	J	A	
172. IRA: TR JP MOR EM MK	A	Int./Div.			Buy	09/24/18	J		
173.					Sold	11/08/18	J	A	
174. IRA: JPMORGAN CHASE	A	Int./Div.			Buy	09/24/18	J		
175.					Sold	11/08/18	J	A	
176. IRA: MORGAN STANLEY	A	Int./Div.			Buy	09/24/18	J		
177.					Sold	11/08/18	J	A	
178. IRA: PFIZER	A	Int./Div.			Buy	09/24/18	J		
179.					Sold	11/07/18	J	A	
180. IRA: PUBLIC STORAGE	A	Int./Div.			Buy	09/24/18	J		
181.					Sold	11/08/18	J	A	
182. IRA: RENAISSANCERE HOLDINGS	A	Int./Div.			Buy	09/24/18	J		
183.					Sold	11/08/18	J	A	
184. IRA: SCE TR II	A	Int./Div.			Buy	09/24/18	J		
185.					Sold	11/08/18	J	A	
186. IRA: SOUTHERN CO	A	Int./Div.			Buy	09/24/18	J		
187.					Sold	11/08/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. IRA: US BANKCORP	A	Int./Div.			Buy	09/24/18	J		
189.					Sold	11/08/18	J	A	
190. IRA: VANECK VECTORS	A	Int./Div.			Buy	09/24/18	J		
191.					Sold	11/08/18	J	A	
192. IRA: VANGUARD INTL EQUITY	A	Int./Div.			Buy	09/24/18	J		
193.					Sold	11/08/18	J	A	
194. IRA: VANGUARD WORLD FDS	A	Int./Div.			Buy	09/24/18	J		
195.					Sold	11/08/18	J	A	
196. IRA: VERIZON COMMUNICATIONS	A	Int./Div.			Buy	09/24/18	J		
197.					Sold	11/08/18	J	A	
198. IRA: WELLS FARGO	A	Int./Div.			Buy	09/24/18	J		
199.					Sold	11/08/18	J	A	
200. IRA: WELLTOWER	A	Int./Div.			Buy	09/24/18	J		
201.					Sold	11/08/18	J	A	
202. IRA: FIDELITY DIVIDEND ETF FOR RISING	A	Int./Div.	J	T	Buy	10/15/18	J		
203. IRA: MERCK & CO	A	Int./Div.			Buy	11/07/18	J		
204.					Sold	11/08/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ROTH IRA: FIDELITY TREASURY MONEY MARKET FUND	A	Int./Div.	J	T					
206. ROTH IRA: ISHARES CORE GROWTH ALLOCATION	A	Int./Div.	J	T					
207. ROTH IRA: FIDELITY GOVERNMENT CASH RESERVES	A	Int./Div.	J	T					
208. ROTH IRA: MSCI CONSUMER DISCRETIONARY	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
209.					Sold (part)	12/10/18	J	A	
210. ROTH IRA: MSCI CONSUMER STAPLES INDEX ETF	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
211.					Buy (add'l)	12/10/18	J		
212. ROTH IRA: MSCI ENERGY INDEX ETF	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
213.					Sold (part)	12/10/18	J	A	
214. ROTH IRA: MSCI FINLS INDEX ETF	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
215.					Sold (part)	12/10/18	J	A	
216. ROTH IRA: MSCI HEALTH CARE INDEX	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
217.					Buy (add'l)	12/10/18	J		
218. ROTH IRA: MSCI INDL INDEX ETF	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
219.					Sold (part)	12/10/18	J	A	
220. ROTH IRA: MSCI INFORMATION TECHNOLOGY	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
221.					Sold (part)	12/10/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. ROTH IRA: MSCI REAL ESTATE INDEX	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
223.					Sold (part)	12/10/18	J	A	
224. ROTH IRA: MSCI UTILS INDEX	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
225. ROTH IRA: MSCI COMMUNICATION SERVICES	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
226.					Buy (add'l)	12/10/18	J		
227. ROTH IRA: MSCI MATLS INDEX	A	Int./Div.	J	T	Buy (add'l)	11/08/18	J		
228.					Buy (add'l)	12/10/18	J		
229. 401K: NEWPORT HEDGE FUND SUBACCT (BS BANYAN II INVESTMENTS LLLP)	A	Int./Div.	M	T					
230. RLS/TLH HUGHES-I, LLC	G	Distribution	N	W					
231. 401K: BLACKROCK EQUITY INDEX CLASS R FUND	A	Int./Div.			Buy	02/22/18	N		
232.					Sold	09/13/18	N	A	
233. 401K: VANGUARD EQUITY-INCOME ADM FUND	A	Int./Div.			Buy	02/22/18	N		
234.					Sold	09/13/18	N	A	
235. 401K: AMERICAN CENTURY MID-CAP VALUE R6 FUND	A	Int./Div.			Buy	02/22/18	N		
236.					Sold	09/13/18	N	A	
237. 401K: JANUS HENDERSON TRITON N FUND	A	Int./Div.			Buy	02/22/18	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.					Sold	09/13/18	N	A	
239. 401K: AMERICAN FUNDS EUROPACIFIC GROWTH R6 FUND	A	Int./Div.			Buy	02/22/18	N		
240.					Sold	09/13/18	N	A	
241. 401K: OPPENHEIMER INTERNATIONAL SMALL-MID COMPANY INSTL FUND	A	Int./Div.			Buy	02/22/18	N		
242.					Sold	09/13/18	N	A	
243. 401K: T. ROWE PRICE INSTITUTIONAL LARGE CAP GROWTH FUND	A	Int./Div.			Sold	02/21/18	N	A	
244. 401K: MASSMUTUAL SELECT MID CAP GROWTH INSTL FUND	A	Int./Div.			Sold	02/21/18	N	A	
245. 401K: VANGUARD MID-CAP INDEX ADMIRAL FUND	A	Int./Div.			Sold	02/21/18	M	A	
246. 401K: VANGUARD SMALL CAP INDEX ADMIRAL FUND	A	Int./Div.			Sold	02/21/18	M	A	
247. 401K: OPPENHEIMER DEVELOPING MARKETS INSTITUTIONAL FUND	A	Int./Div.			Sold	02/21/18	M	A	
248. 401K: VANGUARD TOTAL INTERNATIONAL STOCK INDEX ADMIRAL FUND	A	Int./Div.			Sold	02/21/18	L	A	
249. 401K: TEMPLETON GLOBAL BOND R6	A	Int./Div.			Sold	02/21/18	L	A	
250. 401K: COLUMBIA STRATEGIC INCOME	A	Int./Div.			Sold	02/21/18	L	A	
251. 401K: BLACKROCK HIGH YIELD BOND	A	Int./Div.			Sold	02/21/18	L	A	
252. 401K: VANGUARD TOTAL BD MKT IDX ADM	A	Int./Div.			Sold	02/21/18	M	A	
253. 401K: PRUDENTIAL TOTAL RETURN BD	A	Int./Div.			Sold	02/21/18	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
254. 401K: VANGUARD MID CAP GROWTH INV	A	Int./Div.			Sold	02/21/18	M	A	
255. 401K: PRINCIPAL REAL ESTATE SECS	A	Int./Div.			Sold	02/21/18	L	A	
256. 401K: VANGUARD S&P INDEX ADM	A	Int./Div.			Sold	02/21/18	N	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART III: NON-INVESTMENT INCOME
MINDY A. MORA OWNS 100% OF THE CAPITAL STOCK OF MINDY A. MORA, P.A. {PA}. THE PA WAS A PARTNER IN THE LAW FIRM OF BILZIN SUMBERG BAENA PRICE & AXELROD, LLLP {BSBPA}. ALL THE INCOME FROM BSBPA IS REPORTED BY THE PA. MINDY A. MORA REPORTS THIS INCOME AS SALARY AND S CORPORATION INCOME FROM MINDY A. MORA PA. THE 2018 S CORPORATION INCOME HAS BEEN ESTIMATED BASED UPON THE BEST INFORMATION AVAILABLE.

PART II: INVESTMENTS AND TRUST
GAINS AND LOSSES ON RETIREMENT ACCOUNTS ARE NOT REPORTED FOR INCOME TAX PURPOSES AND HAS BEEN ESTIMATED.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mindy A. Mora**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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