

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mann, Margaret M.	2. Court or Organization United States Bankruptcy Court Southern District of CA	3. Date of Report 05/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article I	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court Southern District of California 325 West F. Street San Diego CA 92106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	National Non-profit CARE Advisory Board of Directors
2. Trustee	Family Trust 1 - See Additional Information.
3. Co-Trustee	Family Trust 2 - See Additional Information.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Income From Law Offices of Michael T. O'Halloran
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. California Bankruptcy Forum	05/17/2018 to 05/20/2018	Lake Tahoe, CA	Speaking at the Conference	meals and hotel
2. National Conference of Bankruptcy Judges	10/27/2018 to 10/31/2018	Las Vegas, NV	Speaking at the Conference	meals, airfare and hotel
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Genworth Variable Annuity Contract Janus Henderson Enterprise Port.	A	Int./Div.	K	T					
2. Genworth Variable Annuity Contract Janus Henderson Global Res.Port.	A	Int./Div.	K	T					
3. Genworth Variable Annuity Contract Janus Henderson Research Port.	A	Int./Div.	K	T					
4. AMERICAN FUNDS GROWTH FUND OF AMERICA F2	A	Dividend			Sold	04/05/18	N	E	
5. AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A	A	Dividend			Sold	04/05/18	M	D	
6. AMERICAN FUNDS EUROPACIFIC FUNDS CLASS F@	A	Dividend			Sold	04/05/18	N	E	
7. Oppenheimer International Small Company Fund Class Y	A	Interest			Sold (part)	04/05/18	N	E	
8. Oppenheimer International Small Company Fund Class Y	A	Interest			Sold (part)	05/25/18	J	A	
9. Oppenheimer International Small Company Fund Class Y	A	Interest			Sold	10/24/18	J	A	
10. Principal Small Mid Cap Dividend Fund Class P	C	Dividend			Sold	04/05/18	M	E	
11. GUGGENHEIM S&P GLOBAL WATER INDEX ETF	B	Dividend	M	T					
12. Coachella Vall CA USD Assur OID 98.053	A	Interest	K	T					
13. DELANO CA JT UN High Sch Build SR A	B	Interest	L	T					
14. Turlock CA IRR DIST 2014	B	Interest	L	T					
15. Genworth Variable Annuity Contract Janus Henderson Balanced Port.	A	Int./Div.	K	T					
16. Wells Fargo Checking	A	Interest	K	T					
17. Wells Fargo Savings	A	Interest	O	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. UBS Bank Deposit Account	A	Interest	L	T					
19. COHEN & STEERS CLASS C REAL ESTATE SECURITIES FUND	C	Dividend			Sold	04/06/18	L	B	
20. COCA COLA CO COM	A	Dividend			Sold	04/15/18	J	A	
21. COLGATE PALMOLIVE CO	A	Dividend			Sold	04/05/18	K	D	
22. DIAGEO PLC NEW GB SPON ADR	A	Int./Div.			Sold	04/05/18	K	D	
23. INVESCO LTD	A	Dividend			Sold	04/05/18	J	A	
24. INTEL CORP	A	Dividend			Sold	04/05/18	K	A	
25. MEDTRONIC	A	Dividend			Sold	04/05/18	K	D	
26. Microsoft	A	Dividend			Sold	04/05/18	K	E	
27. NEXTERA ENERGY INC COM	A	Dividend			Sold	04/05/18	K	E	
28. SPDR S&P 500 ETF TR	B	Dividend			Sold	04/11/18	N	F	
29. Union Pacific Corp	A	Dividend			Sold	04/05/18	K	D	
30. UNTD TECHNOLOGIES CORP	A	Dividend			Sold	04/05/18	K	D	
31. VF Corp	A	Dividend			Sold	04/05/18	K	D	
32. NOVARTIS AG SPON ADR	A	Dividend			Sold	04/05/18	J	C	
33. PRIME PLUS INVESTMENTS TISHMAN SPEYER LLP	A	Dividend	J	U					
34. Fidelity Adv. Consumer Staples -CLT	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CALIFORNIA ST TAX BE/R/ MAND SINK FND	B	Interest	L	T					
36. PIONEER STRATEGIC INCOME FUND CLASS C	A	Dividend			Sold	04/06/18	K	A	
37. Pioneer Strategic Income Y	D	Dividend			Sold	04/05/18	N	A	
38. Coachella CA WTR Auth.	B	Interest	L	T					
39. LEUCADIA NAT'L CORP B/E 5.55% NEW	A	Dividend	K	T					
40. ANGEL OAK MULTI STRATEGY INCOME FUND CLASS C NEW	D	Dividend			Sold	04/06/18	M	A	
41. Accenture PLC Ireland Class A	A	Dividend			Sold	04/05/18	J	C	
42. Crane Co.	A	Dividend			Sold	04/05/18	K	D	
43. Home Depot	A	Dividend			Sold	04/05/18	K	D	
44. Marsh & McLennan	A	Dividend			Sold	04/05/18	K	C	
45. McDonalds Corp	A	Dividend			Sold	04/05/18	J	C	
46. Pepsico	A	Dividend			Sold	01/09/18	J	C	
47. Rockwell Automation	A	Dividend			Sold	04/05/18	K	D	
48. Texas Instruments	A	Dividend			Sold	04/05/18	K	D	
49. T Rowe Price Global Tech Fund	D	Dividend	L	T					
50. California ST. BE/RA 5.0%	A	Interest	K	T					
51. Northern CA Transmission SRA 5.5%	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. California ST. BE/R 5.0% 09/01/35	B	Interest	K	T					
53. San Diego CA PUB FACS F1 5.0%	B	Interest	L	T					
54. Motorola Solutions, inc.	B	Interest			Sold	04/10/18	L	A	
55. Riverside Water CA RV	B	Interest			Sold	04/10/18	K	A	
56. Blackrock, Inc.	A	Dividend			Sold	04/05/18	K	D	
57. Hartford Midcap Fund Classic	A	Dividend			Sold	04/06/18	M	E	
58. Virtus Vontobel Emerging Markets Opportunities Classis	A	Dividend			Sold	04/03/18	L	B	
59. Oppenheimer Senior Floating Rate Class C	D	Dividend			Sold	04/06/18	L	A	
60. Chevron Corp	A	Dividend			Sold	04/05/18	J	A	
61. Johnson & Johnson Com.	A	Dividend			Sold	04/05/18	J	A	
62. Sunco Energy Inc. New Cad	A	Dividend			Sold	04/05/18	K	B	
63. Bain Capital Spec. Finance	A	Dividend	K	T					
64. British American Tobacco	A	Dividend			Sold	04/05/18	J	A	
65. Chubbs	A	Dividend			Sold	04/05/18	J	B	
66. Lockheed Martin Corp.	A	Dividend			Sold	04/05/18	K	D	
67. Praxair	A	Dividend			Sold	04/05/18	K	C	
68. Alerian MLP ETF	D	Dividend	L	T	Buy	05/25/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	10/24/18	J		
70.					Buy (add'l)	10/25/18	J		
71.					Buy (add'l)	10/31/18	J		
72. iShares Core S&P Mid Cap ETF	B	Dividend	L	T	Buy	04/05/18	L		
73.					Buy (add'l)	04/11/18	K		
74.					Buy (add'l)	10/24/18	J		
75.					Buy (add'l)	10/25/18	J		
76.					Buy (add'l)	10/31/18	J		
77. iShares Core S&P Small-Cap ETF	B	Dividend	L	T	Buy	04/05/18	L		
78.					Buy (add'l)	04/11/18	K		
79.					Buy (add'l)	10/24/18	J		
80.					Buy (add'l)	10/25/18	J		
81.					Buy (add'l)	10/31/18	J		
82. iShares Core MSCI EAFE ETF	D	Dividend	M	T	Buy	04/05/18	M		
83.					Buy (add'l)	04/11/18	K		
84.					Buy (add'l)	05/25/18	J		
85. Vanguard S&P 500 ETC	D	Dividend	N	T	Buy	04/05/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.					Buy (add'l)	04/11/18	L		
87. Columbia Global Tech Growth Fund Class Institutional	A	Dividend	K	T	Buy	04/05/18	J		
88.					Buy (add'l)	04/11/18	K		
89.					Buy (add'l)	10/25/18	J		
90.					Buy (add'l)	10/31/18	J		
91. Janus Henderson Small Cap Value Fund Class I	A	Dividend	L	T	Buy	04/05/18	L		
92.					Buy (add'l)	04/11/18	K		
93.					Buy (add'l)	10/24/18	J		
94.					Buy (add'l)	10/25/18	J		
95.					Buy (add'l)	10/31/18	J		
96. Janus Henderson Global Equity Income Fund Class I	D	Dividend	M	T	Buy	04/05/18	M		
97.					Buy (add'l)	04/11/18	K		
98.					Buy (add'l)	05/25/18	J		
99.					Buy (add'l)	10/25/18	J		
100. JP Morgan Large Cap Growth Fund Class I	A	Dividend	M	T	Buy	04/05/18	M		
101.					Buy (add'l)	04/11/18	K		
102.					Buy (add'l)	10/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103.					Buy (add'l)	10/25/18	J		
104.					Buy (add'l)	10/31/18	J		
105. MFS Value Fund Class 1	C	Dividend	M	T	Buy	04/05/18	M		
106.					Buy (add'l)	04/11/18	K		
107.					Buy (add'l)	05/25/18	J		
108.					Buy (add'l)	10/24/18	J		
109.					Buy (add'l)	10/25/18	J		
110.					Buy (add'l)	10/31/18	J		
111. Oppenheimer International Small-Mid Company Fund Class Y	A	Dividend	L	T	Buy	04/05/18	K		
112.					Buy (add'l)	04/11/18	K		
113. Oppenheimer Developing Markets Class Y	A	Dividend	M	T	Buy	04/05/18	M		
114.					Buy (add'l)	04/11/18	K		
115.					Buy (add'l)	05/25/18	J		
116. Principal Midcap Fund Class 1	A	Dividend	L	T	Buy	04/05/18	L		
117.					Buy (add'l)	04/11/18	K		
118.					Buy (add'l)	10/24/18	J		
119.					Buy (add'l)	10/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	10/31/18	J		
121. Angel Oak Multi-Strategy Income Fund Class INSTL	D	Dividend	M	T	Buy	04/05/18	M		
122.					Buy (add'l)	04/11/18	K		
123.					Buy (add'l)	05/25/18	J		
124.					Buy (add'l)	10/31/18	J		
125. Double Line Total Return Fund INSTL	D	Dividend	N	T	Buy	04/05/18	M		
126.					Buy (add'l)	04/11/18	K		
127.					Buy (add'l)	05/25/18	J		
128.					Buy (add'l)	08/23/18	L		
129.					Buy (add'l)	10/24/18	J		
130.					Buy (add'l)	10/25/18	J		
131.					Buy (add'l)	10/31/18	J		
132. JP Morgan Core Bond Fund Class 1	D	Dividend	N	T	Buy	04/05/18	M		
133.					Buy (add'l)	04/11/18	L		
134.					Buy (add'l)	05/25/18	J		
135.					Buy (add'l)	08/23/18	L		
136.					Buy (add'l)	10/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Oppenheimer SR Floating Rate FD Class Y	D	Dividend	M	T	Buy	04/05/18	K		
138.					Buy (add'l)	04/11/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Family Trust 1

Since before I was appointed, my spouse and I have been co-trustees of our intervivos family trust. All assets of the intervivos trust are now, and have always been, included in these reports.

Family Trust 2

My father has a trust he established in which I am one of the four contingent beneficiaries. I have no current interest in the trust assets. Although I am a co-trustee with my sister, my father retains all decision making regarding the assets in the trust until his death. For this reason, none of the assets of the trust are included in this report or any earlier reports.

Name Changes

There were two name changes in 2018 to line 11:

April 2018 – from Guggenheim S&P Global Water ETF to Powershares S&P Global Water Index Portfolio

June 2018 – from Powershares S&P Global Water Index Portfolio to Invesco S&P Global Water Index ETF

Errors in Previous Reports

American Funds of Europacific Fund Class F was sold on 04/05/08.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Mann, Margaret M.

Date of Report

05/13/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Margaret M. Mann**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544