

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NEUREITER, NORMAN R.	2. Court or Organization DISTRICT OF COLORADO	3. Date of Report 03/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 03/29/2019
7. Chambers or Office Address Byron G. Rogers United States Courthouse 1929 Stout Street Denver, Colorado 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Of counsel (employee-attorney)	Wheeler Trigg O'Donnell LLP (employment terminated on my appointment to position of Magistrate Judge.
2. Contributing Photojournalist	Greater Park Hill News (local neighborhood newspaper)
3. Trustee	Family Trust #1
4.	
5.	
6.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. July 2018	Team Evergreen, compensation for photographic services	\$500.00
2. 8/18-3/19	Various sales of photographic images	\$600.00
3. 2017	Wheeler Trigg O'Donnell--salary	\$280,000.00
4. 8/2018	Wheeler Trigg O'Donnell--salary	\$140,000.00
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Aide to Colorado State Representative Beth McCann
2. 2017	Zoeller Consulting LLC
3. 2018	Zoeller Consulting LLC
4. 2018-19	Self-employed consultant--NHN Consulting LLC--public engagement consultant for municipal projects.
5.	
6.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American Funds AF Moderate Growth and Income 529A	B	Dividend	M	T	Exempt				
2. American Funds College Enrollment Fund--529A	A	Dividend	M	T	Exempt				
3. American Funds--Short Term Bond Funds of America 529A	B	Dividend	L	T	Exempt				
4. Ameriprise Financial Brokerage Account -- Texas Instruments stock		None	K	T	Exempt				
5. [REDACTED] IRA--Putnam Sustainable Leaders-A		None	J	T	Exempt				
6. [REDACTED] IRA--Putnam Sustainable Future-A		None	J	T	Exempt				
7. [REDACTED] Roth IRA--Putnam Europe Equity Fund		None	J	T	Exempt				
8. [REDACTED] Roth IRA Putnam Growth Opportunities Fund	A	Dividend	J	T	Exempt				
9. [REDACTED] IRA--Putnam Sustainable Leaders-A		None	J	T	Exempt				
10. [REDACTED] IRA--Putnam Sustainable Future-A		None	J	T	Exempt				
11. [REDACTED] Roth IRA--Putnam Europe Equity Fund		None	J	T	Exempt				
12. [REDACTED] Roth IRA Putnam Growth Opportunities Fund	A	Dividend	J	T	Exempt				
13. WTO 401K Vanguard Retirement Plan Vanguard Inst Target Ret 2035 Fund		None	L	T	Exempt				
14. WTO 401K Vanguard Retirement Plan Inst Target Ret 2030 Fund		None	M	T	Exempt				
15. Husch Blackwell 401K Plan Vang Inst TR 2035		None	O	T	Exempt				
16. US Bank Premium checking	A	Interest	J	T	Exempt				
17. US Bank Maximum Money Market	A	Interest	L	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SEP IRA Plan Putnam Equity Income Fund-A		None	K	T	Exempt				
19. SEP IRA Plan-Putnam Sustainable Leaders-A		None	K	T	Exempt				
20. SEP IRA Plan-Putnam Europe Equity Fund-A		None	K	T	Exempt				
21. SEP IRA Plan-Putnam Multi-Cap Core Fund-A		None	K	T	Exempt				
22. US Bankcorp - Franklin Templeton Funds Fltg Rate Daily Access FD CL A	A	Dividend	K	T	Exempt				
23. Morgan Stanley Scholars Choice 529 Plan FBO [REDACTED]	D	Dividend	K	T	Exempt				
24. [REDACTED] PERA Retirement Account		None	J	T	Exempt				
25. Northwestern Mutual Life Insurance--Estate CompLife		None	L	T	Exempt				
26. NHN Consulting Business Account	A	Interest	K	T	Exempt				
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am not a beneficiary of Family Trust #1, and receive no income or payments from the trust. I am merely a trustee of [REDACTED] trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ NORMAN R. NEUREITER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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