

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawson, Jr., Roger H.	2. Court or Organization Middle District, Georgia	3. Date of Report 05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address P.O. Box 838 475 Mulberry Street Macon, Georgia 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/96	Georgia Retirement System for Superior Court Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Georgia Judicial Retirement System--Retirement	\$94,508.76
2. 2018	Judicial Retireess & Survivors Admin Office of the US Courts	\$201,855.29
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Teacher's Retirement System of Georgia--Retirement
2. 2018	First United Methodist Church--Wages
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	John Deere Credit	Tractor Loan	J
2.	GMAC	Auto Loan	J
3.	GMAC	Auto Loan	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sunmark Bancshares, Inc.	A	Dividend	J	U					
2. Merrill Lynch IRA									
3. --Merrill Lynch - Bank of America	A	Interest	J	T					
4. --Lincoln National Life Legacy III Plus		None	L	T					
5. Merrill Lynch									
6. --Merrill Lynch Bank USA		None	K	T					
7. --Transamerica Life Ins. Co.-Transamerica Landmark Annuity		None	L	T					
8. --Transamerica Life Ins. Co.- Transamerica Landmark Annuity		None	K	T					
9. 270.00 Acres, Hawkinsville, Pulaski County, GA		None	N	W					
10. Wells Fargo-High Yield Bond Fund A	A	Dividend	K	T					
11. Franklin Georgia Tax-Free Income Fund- Class A	A	Dividend	J	T					
12. Rental House, Watson St. Hawkinsville, Pulaski Co., GA.	C	Rent	K	W					
13. Subdivision, Pulaski County, Georgia Und. Interest		None	K	W					
14. Rental House, McCormick Avenue, Hawkinsville, GA. Und. Inter	D	Rent	K	W					
15. Merrill Lynch									
16. Merrill Lynch Deposit Account	A	Dividend	K	T					
17. --Regions Financial Corp.	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. --Pimco Income Fund C1 C	A	Dividend	K	T					
19. --Aralez Pharmaceuticals		None	J	T					
20. --Nuveen Georgia Muni Bond Fund	E	Dividend	O	T					
21. --Virnetx Hldg Corp		None	J	T					
22. Sunmark Community Bank-Accounts	A	Interest	J	T					
23. Merrill Lynch									
24. --Merrill Lynch Deposit Account	A	Interest	J	T					
25. --Abbott Laboratories Common	A	Dividend	J	T					
26. --American Intl Group Inc Common	A	Dividend	J	T					
27. --Abbvie Inc SHS	A	Dividend	J	T					
28. --AT&T Inc	A	Dividend	J	T					
29. --Automatic Data Processing Inc Common	A	Dividend	J	T					
30. --Chevron Texaco Corp Common	A	Dividend	J	T					
31. --Cintas Corp Common	A	Dividend	J	T					
32. --CDK Global Inc SHS	A	Dividend	J	T					
33. --Coca-Cola Co. Common	A	Dividend	J	T					
34. --General Electric Co., Common	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Walt Disney Co. Common	A	Dividend	K	T					
36. --Dowdupont Inc Com	A	Dividend	J	T					
37. --Pareteum Corp		None	J	T					
38. --Exxon Mobile Corp Common	A	Dividend	J	T					
39. --Illinois Tool Works Inc.	A	Dividend	J	T					
40. --Eli Lilly & Co. Common	A	Dividend	J	T					
41. --McDonald's Corp. Common	A	Dividend	J	T					
42. --Medtronic Inc. Common	A	Dividend	J	T					
43. --Pfizer Inc. Common	A	Dividend	J	T					
44. --Proctor & Gamble Co. Common	A	Dividend	J	T					
45. --Western Asset Managed Municipals Fd C	C	Dividend	M	T					
46. --Walgreens Boots Alliance Inc.	A	Dividend	J	T					
47. --Chemours Co	A	Dividend	J	T					
48. --Wells Fargo & Co. New Common	A	Dividend	J	T					
49. --WT 01 21American Intl		None	J	T					
50. --Western Assets Managed Municipals	C	Dividend	M	T					
51. Merck and Co., Inc.	A	Dividend	J	T					

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52. Merrill Lynch									
53. --Blackrock Strategic Income Opprts	A	Dividend	J	T					
54. --Blackrock Multi Asset	A	Dividend			Sold	01/02/18	J	A	
55. --Pimco Investment Grade Corp	A	Dividend			Sold	08/16/18	J	A	
56. --JP Morgan Global Fund	A	Dividend			Buy (add'l)	01/05/18	J		
57.					Sold	08/16/18	J	A	
58. --iShares IBOXX S	A	Dividend			Buy (add'l)	01/05/18	J		
59.					Sold	08/16/18	J	A	
60. --iShares MSCI EAFE Index	A	Dividend	J	T					
61. --iShares Select	A	Dividend	J	T					
62. --iShares Edge MSCI	A	Dividend	K	T					
63. --iShares S & P 100	A	Dividend	J	T					
64. --iShares Core High Dividend	A	Dividend	K	T					
65. --JP Morgan Income Builder	A	Dividend			Buy (add'l)	01/05/18	J		
66.					Sold	09/05/18	J	A	
67. --Lord Abbett Bond	A	Dividend	J	T					
68. --Pimco Income Fund	A	Dividend	K	T					

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69. --Powershares S & P 500 Low Volatility	A	Dividend	K	T	Distributed	06/04/18	J		
70. --iShares Inc Core MSCI Emerging Mkts	A	Dividend	J	T					
71. --Amer Funds Income Funds	A	Dividend	J	T					
72. --American Bond FD	A	Dividend	J	T	Buy	01/05/18	J		
73.					Sold	11/07/18	J	A	
74. --American Capital Income	A	Dividend	J	T					
75. --Vanguard Value ETF	A	Dividend	K	T					
76. --Vanguard Growth ETF	A	Dividend	K	T					
77. --Pimco Stockplus Small Fund	A	Dividend			Buy	09/05/18	J		
78.					Sold	12/13/18	J	A	
79. --American Growth Fund					Buy	01/05/18	J		
80.					Sold	12/03/18	J	A	
81. --First Trust Preferred	A	Dividend			Buy	08/16/18	J		
82.					Sold	12/13/18	J	A	
83. --First Trust Exchange Traded Fd	A	Dividend	J	T	Buy	08/16/18	J		
84. --JP Morgan Exchange ETF	A	Dividend	J	T	Buy	12/13/18	J		
85. --First Trust Low Duration	A	Dividend	J	T	Buy	08/16/18	J		

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86. --Lord Abbett Short Duration	A	Dividend	J	T	Buy	11/07/18	J		
87. --Pimco Low Duration	A	Dividend	J	T	Buy	09/05/18	J		
88. --Lord Abbett Ultra Short	A	Dividend	J	T	Buy	11/07/18	J		
89. --First Trust Enhanced			J	T	Buy	08/16/18	J		
90. --Ishares Core S&P Total			J	T	Buy	12/13/18	J		
91. --Ivesco S&P 500 Low Volatility	A	Dividend	K	T	Spinoff (from line 69)	06/04/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1 - E I Dupont De Nemours & Co were exchanged for Dow Dupont Inc

2 - On 06/04/18 349 shs of Powerhares S&P 500 Low Volatility ETF were exchanged for 349 shs of Invesco S&P 500 Low Volatility ETF

3 - Line 4 on last years return was Blackrock Global. Apparently, this was turned into Pimco Funds and when that occurred, Pimco was just added and Blackrock Global was not deleted. Hence, we are deleting it now as we do not have it any more.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roger H. Lawson, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544