

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Douglas E.	2. Court or Organization United States District Court, Eastern District of Virginia	3. Date of Report 4/5/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court Eastern District of Virginia 600 Granby Street, Room 181 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Charles H. Taylor Memorial Library
2. Board of Managers Member	The Edward L. Williams and Mary B. Williams Foundation
3. Adjunct Faculty	William & Mary Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/6/2018	Adjunct Faculty Appointment at William & Mary Law School for 2 credit course for Fall 2018.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 8/18-12/18	Compensation Adjunct Faculty at William & Mary Law School	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office	5/30/18-6/1/18	Williamsburg, VA	Federal-State Jurisdiction Committee Meeting	Travel, lodging and meals
2. Virginia Bar Association (Labor & Employment Section)	9/13/18-9/14/18	Harrisonburg, VA	CLE Speaker	Travel, lodging and meals
3. VSB Construction Law Section	11/2/18-11/3/18	Charlottesville, VA	CLE Speaker	Travel, lodging and meals
4. Administrative Office	11/28/18-11/30/18	Washington, DC	Federal-State Jurisdiction Committee Meeting	Travel, lodging and meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property-Hampton VA (2018 Assessment: \$127,700)	D	Rent	M	S					
2. Merrill-Lynch Money Market Acct.	A	Interest	J	T					
3. Merrill-Lynch Mutual Funds:									
4. -AIG Focused Dividend Strategy	A	Dividend			Buy (add'l)	06/25/18	J		
5. -AIG Focused Dividend Strategy					Sold	08/17/18	K		
6. -Alger Small Cap Focus	A	Dividend	J	T	Buy	08/17/18	J		
7. -Blackrock Large Cap Fcs	A	Dividend	K	T	Buy	08/17/18	K		
8. -Blackrock Multi Asset Income Portfolio	A	Dividend			Sold	08/17/18	K		
9. -Calvert Short Duration Income Fund	A	Dividend			Sold	04/20/18	J		
10. -Delaware Emerging Markets Fund	A	Dividend			Sold	08/17/18	J		
11. -Delaware Value Fund	A	Dividend	K	T					
12. -Eaton Vance Floating Rate	A	Dividend	J	T	Buy (add'l)	08/17/18	J		
13. -Hartford World	A	Dividend	J	T	Buy	08/17/18	J		
14. -Hartford World	A	Dividend	J	T	Buy (add'l)	09/06/18	J		
15. -Ishares MSCI USA Minimum Volatility ETF	A	Dividend	K	T	Sold (part)	08/20/18	J		
16. -Janus Henderson Short	A	Dividend	J	T	Buy	04/23/18	J		
17. - Janus Henderson Strategic Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Loomis Sayles Growth Fund	A	Dividend	J	T	Sold (part)	06/25/18	J		
19. -MFS Emerging Markets Debt	A	Dividend			Sold	08/17/18	J		
20. -MFS International Diversification Fund	A	Dividend	K	T	Buy (add'l)	08/17/18	J		
21. -MFS Mid Cap Growth Fund	A	Dividend	J	T	Buy	08/17/18	J		
22. -Neuberger Berman Intl Select Fund	A	Dividend			Sold	08/17/18	J		
23. -Nuveen Small Cap Value Fund	A	Dividend			Sold	08/17/18	J		
24. -PGIM Absolute Return Bond Fund	A	Dividend	K	T	Buy	08/17/18	K		
25. -PGIM Short Dur High Yld Income (formerly Prudential Short Dur ...)	A	Dividend	J	T					
26. -Pimco Income Fund	A	Dividend	K	T					
27. -Principal Midcap Fund	A	Dividend			Sold	08/17/18	J		
28. -Touchstone Flexible Income Fund	A	Dividend	J	T	Sold (part)	09/06/18	J		
29. -Vanguard 500 Index Fund	A	Dividend	K	T	Buy (add'l)	08/20/18	J		
30. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
31. SunTrust Savings Acct.	A	Interest	K	T					
32. Newport News Municipal Employees C.U.	A	Interest	J	T					
33. ICMA-Retirement Corp. 503-B		None	M	T					
34. Rite Aid Corp.	A	Dividend			Sold	08/15/18	J		

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35. TIAA CREF-Retirement Acct:									
36. -TIAA Traditional		None	K	T					
37. -CREF Stock		None	K	T					
38. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	K	T					
39. VA Education Savgs Trust, Potomac Portfolio, FBO minor child		None	K	T					
40. Nelson's Grant Development LLC- Investment Membership		None	L	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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