

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 07/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 40 Foley Square Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Cuti Hecker Wang -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Aggressive Growth NY 529 Portfolio Class A	D	Int./Div.	M	T					
2.									
3. IRA #1 Rollover; XXX67 (H)									
4. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Sold (part)	01/02/18	J		
5.					Sold (part)	04/02/18	J		
6.					Sold (part)	07/02/18	J		
7. - iShares S&P 500 Value ETF	B	Dividend	J	T	Sold (part)	01/02/18	J		
8.					Buy (add'l)	04/02/18	J		
9.					Buy (add'l)	07/02/18	J		
10. - iShares U.S. Healthcare ETF	A	Dividend			Sold (part)	01/02/18	J		
11.					Sold (part)	01/02/18	J		
12.					Sold	01/02/18	J		
13. - Vanguard FTSE All World Ex-US ETF	B	Dividend	J	T	Buy (add'l)	01/02/18	J		
14.					Buy (add'l)	04/02/18	J		
15.					Buy (add'l)	07/02/18	J		
16. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
17.					Buy (add'l)	07/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	04/02/18	J		
19. - Vanguard Index Funds Vanguard Mid Cap ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
20.					Sold (part)	04/02/18	J		
21.					Sold (part)	07/02/18	J		
22. - Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
23.					Sold (part)	04/02/18	J		
24.					Sold (part)	07/02/18	J		
25. - Energy Select Sector SPDR Fund ETF	A	Dividend			Buy (add'l)	01/02/18	J		
26.					Buy (add'l)	04/02/18	J		
27.					Sold (part)	07/02/18	J		
28.					Sold	12/20/18	J		
29. - iShares U.S. Financials ETF	A	Dividend	J	T	Buy	12/20/18	J		
30. - Vanguard Energy ETF		None	J	T	Buy	12/20/18	J		
31. - Financial Select Sector SPDR Fund ETF	A	Dividend			Buy	04/02/18	J		
32.					Buy (add'l)	07/02/18	J		
33.					Sold	12/20/18	J		
34. - iShares MSCI China ETF DE	A	Dividend			Buy	01/02/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	04/02/18	J		
36.									
37. Account XXX68 (H)									
38. UBS Bank USA Deposit Account	A	Interest	K	T					
39.									
40. ROTH IRA # 2; XXX57 (H)									
41. - UBS Bank USA Deposit Account	A	Interest	J	T					
42. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					
43.									
44. IRA #3 ETF4; XXX56 (H)									
45. - iShares Floating Rate Bond ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
46.					Sold (part)	04/02/18	J		
47.					Buy (add'l)	07/02/18	J		
48. - iShares IBOXX High Yield Corporate Bond ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
49.					Buy (add'l)	04/02/18	J		
50.					Buy (add'l)	07/02/18	J		
51. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Sold (part)	01/02/18	J		

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52.					Sold (part)	04/02/18	J		
53.					Sold (part)	07/02/18	J		
54. - iShares S&P 500 Value ETF	A	Dividend	J	T	Sold (part)	01/02/18	J		
55.					Buy (add'l)	04/02/18	J		
56. - iShares U.S. Healthcare ETF	A	Dividend			Sold	01/02/18	J		
57. - iShares U.S. Treas Bond ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
58.					Buy (add'l)	04/02/18	J		
59.					Buy (add'l)	07/02/18	J		
60. - iShares 1-3 Treas Bond	A	Dividend	J	T	Sold (part)	01/02/18	J		
61.					Sold (part)	04/02/18	J		
62.					Buy (add'l)	07/02/18	J		
63. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Sold (part)	01/02/18	J		
64.					Sold (part)	04/02/18	J		
65.					Buy (add'l)	07/02/18	J		
66. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
67.					Sold (part)	07/02/18	J		
68. - Vanguard Index Funds Vanguard Small Cap ETF DE Sol	A	Dividend	J	T	Buy (add'l)	01/02/18	J		

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69.					Sold (part)	07/02/18	J		
70. - Vanguard FTSE All World Ex-US ETF	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
71.					Buy (add'l)	04/02/18	J		
72.					Buy (add'l)	07/02/18	J		
73. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T	Sold (part)	01/02/18	J		
74.					Buy (add'l)	07/02/18	J		
75. - Energy Select Sector SPDR Fund ETF	A	Dividend			Buy (add'l)	01/02/18	J		
76.					Buy (add'l)	04/02/18	J		
77.					Sold (part)	07/02/18	J		
78.					Sold	12/20/18	J		
79. - iShares U.S. Financials		None	J	T	Buy	12/20/18	J		
80. - First Trust Enhanced Short Mat Fund	A	Dividend	J	T	Buy	01/02/18	J		
81.					Sold (part)	04/02/18	J		
82.					Buy (add'l)	07/02/18	J		
83.									
84. IRA #4 (H)									
85. - JRHOX	D	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.									
87.									
88.									
89.									
90.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In the 2017 Report, under Account IRA #3 ETF4; XXX56 (H), I listed "Vanguard Intl Equity Index Funds Inc. FSTE ALL." This asset is actually known as "Vanguard FTSE All World Ex-US ETF," and is listed as such in this 2018 Report. These assets are the same. In the 2018 Report, I list only Vanguard FTSE All World Ex-US ETF. In the 2017 Report, under Account IRA #3 ETF4; XXX56 (H), I indicated that I partially sold "FlexShares IBOXX 5YR Target Duration TIPS." In fact, this sale was a total sale as of 4/3/17. I did not hold this asset at the end of 2017 or in 2018. In the 2017 Report, under Account IRA #3 ETF4; XXX56 (H), I indicated that I partially sold "Vaneck Vectors Oil Service ETF." In fact, this sale was a total sale as of 10/4/17. I did not hold this asset at the end of 2017 or in 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah Netburn

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544