

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Martinotti, Brian R.	2. Court or Organization U.S. District Court for the District of New Jersey	3. Date of Report 05/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Clarkson S. Fisher Courthouse 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Chair	Emory University School of Law/Institute for Complex Litigation and Mass Claims Working Group
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2001	NJ Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	NJ State Defined Benefit Pension	\$54,622.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Board of Education, Cliffside Park - Salary
2. 2018	Borough of Cliffside Park - Salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Emory University School of Law	Jan. 17-19, 2018	Atlanta, GA	Institute for Complex Litigation and Mass Claims (speaker)	Transportation, lodging, and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank (cash)	A	Interest	K	T					
2. Connect One Bank (cash)	A	Interest	L	T					
3. IRA #1 (H)									
4. Bank of America, NA RASP	A	Interest	J	T					
5. ML Pfd. Capital Trust III	A	Interest			Redeemed	06/06/18	J		
6. Apple Common Stock (AAPL)	A	Dividend	K	T					
7. Dunkin' Brands Stock (DNKN)	A	Dividend	J	T					
8. Facebook Stock (FB)		None	J	T					
9. General Electric Stock (GE)	A	Dividend	J	T					
10. American Funds Fundamental Investors Class A (ANCFX)	C	Dividend	K	T					
11. American Funds Income Fund of America Class A (AMECX)	A	Dividend	J	T					
12. Columbia Dividend Income Fund Class B (LBSAX)	B	Dividend	K	T					
13. Ivy Large Cap Growth Fund CL B (WLGBX)	B	Dividend	J	T					
14. Lord Abbett Value Opportunities Fund Class A (LVOAX)	A	Dividend	J	T					
15. New York Community Bancorp (649445103)	A	Dividend	J	T					
16. SP500 CLIRN Issuer BOFA (MLTDO)		None			Redeemed	12/21/18	L	D	
17. SP500 CLIRNS Issuer BNS (MLVMG)		None	L	T	Buy	12/28/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2 (H)									
19. Bank of America, NA RASP (cash) (Y)									
20. Abbvie Inc SHS (ABBV)	A	Dividend			Buy	03/23/18	J		
21.					Sold	11/01/18	J		
22. Air Products & Chem (APD) (X)	A	Dividend	J	T					
23. Amgen Inc Com (AMGN) (X)	A	Dividend	J	T					
24. AMN Elec Power Co (AEP)	A	Dividend	J	T					
25. Astrazeneca PLC Sponsored ADR (AZN)	A	Dividend	J	T					
26. AT&T Inc (T)	A	Dividend	J	T					
27. BB&T Corporation (BBT)	A	Dividend	J	T					
28. Boeing Company (BA)	A	Dividend	J	T	Buy	01/12/18	J		
29.					Buy (add'l)	02/09/18	J		
30.					Sold (part)	08/08/18	J	A	
31. Broadcom Ltd (AVGO)	A	Dividend	J	T	Buy	12/12/18	J		
32. Carnival Corp Paired SHS (CCL)	A	Dividend	J	T					
33. Chevron Corp (CVX) (X)	A	Dividend	J	T	Buy (add'l)	11/08/18	J		
34. Chubb Ltd (CB) (X)		None	J	T					

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35. Cisco Systems Inc Com (CSCO)	A	Dividend	J	T					
36. CME Group Inc (CME)	A	Dividend	J	T					
37. Coca Cola Com (KO)	A	Dividend	J	T					
38. Crown Castle Reit Inc (CCI) (X)	A	Dividend	J	T					
39. Digital Realty TR Inc (DLR)	A	Dividend	J	T					
40. Duke Energy Corp (DUK) (X)	A	Dividend	J	T					
41. Dominion Energy Inc (formerly Dominion Res Inc New VA) (D) (Y)									
42. Emerson Electric Co (EMR) (Y)									
43. Exelon Corporation (EXC) (X)	A	Dividend	J	T					
44. Exxon Mobile Corp (XOM) (X)	A	Dividend	J	T					
45. General Motors Co (GM) (Y)									
46. HSBC HLDG PLC (HSBC)	A	Dividend			Sold	10/19/18	J		
47. Illinois Tool Works Inc (ITW) (X)	A	Dividend			Sold	10/22/18	J		
48. Intel Corp (INTC)	A	Dividend			Sold (part)	01/12/18	J	A	
49.					Sold (part)	08/24/18	J	A	
50.					Sold	08/27/18	J	A	
51. International Business Machines (IBM)	A	Dividend			Sold (part)	10/25/18	J		

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52.					Sold	10/26/18	J		
53. JPMorgan Chase & Co (JPM)	A	Dividend	J	T					
54. Kimberly Clark (KMB)	A	Dividend			Sold	04/23/18	J		
55. Kraft (The) Heinz Co SHS (KHC)	A	Dividend			Sold	04/26/18	J		
56. Lockheed Martin Corp (LMT)	A	Dividend	J	T					
57. McDonalds Corp Com (MCD)	A	Dividend	J	T					
58. Metlife Inc. (MET)		None			Sold (part)	02/02/18	J		
59.					Sold	02/05/18	J		
60. Occidental Pete Corp Cal (OXY)	A	Dividend			Sold	03/09/18	J		
61. Paccar Inc (PCAR) (Y)									
62. Pepsico Inc (PEP) (X)	A	Dividend	J	T					
63. Pfizer Inc (PFE)	A	Dividend	J	T					
64. Philip Morris Intl Inc (PM)	A	Dividend			Sold (part)	04/20/18	J		
65.					Sold	04/23/18	J		
66. Proctor & Gamble Co (PG)	A	Dividend			Sold	04/24/18	J		
67. Royal Dutch Shell PLC (RDSB)	A	Dividend	J	T					
68. Simon Property Group Del (SPG) (X)	A	Dividend	J	T					

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69. Sysco Corporation (SYY) (X)	A	Dividend	J	T					
70. Target Corp (TGT) (X)	A	Dividend	J	T					
71. Texas Instruments (TXN)	A	Dividend			Sold (part)	10/25/18	J		
72.					Sold	10/26/18	J		
73. Toronto Dominion Bank (TD)	A	Dividend	J	T					
74. Transcanada Corp (TRP) (Y)									
75. United Techs Corp Com (UTX)	A	Dividend	J	T					
76. Ventas Inc REIT (VTR)	A	Dividend			Sold	03/14/18	J		
77. Verizon Communications Com (VZ)	A	Dividend	J	T					
78. Vodafone Group PLC SHS (VOD)	A	Dividend			Sold	09/11/18	J		
79. Walmart Inc (WMT)		None	J	T	Buy	10/26/18	J		
80. Wells Fargo & Co New Del (WFC)	A	Dividend	J	T	Buy	08/27/18	J		
81.					Buy (add'l)	08/28/18	J		
82.					Sold (part)	12/12/18	J		
83. BROKERAGE ACCOUNT #1 (H)									
84. Amazon Com Inc. Com. (AMZN)		None	K	T	Sold (part)	07/25/18	J	B	
85. JPMorgan Chase & Co. (JPM)	A	Dividend	J	T	Sold (part)	05/18/18	J	B	

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86. Splunk Inc (SPLK)		None	J	T	Sold (part)	05/18/18	J	B	
87. United Techs Corp Com. (UTX)	A	Dividend			Sold (part)	05/18/18	J	B	
88.					Sold	07/25/18	J	B	
89. BROKERAGE ACCOUNT #2 (H)									
90. Alphabet Inc Shs Cl C (GOOG)		None	K	T					
91. Nike Inc Cl B (NKE)	A	Dividend	J	T					
92. Tesla Mtrs Inc. (TSLA)		None	J	T					
93. United Techs Corp Com. (UTX)	A	Dividend	J	T					
94. Whirlpool Corp (WHR)	A	Dividend	J	T					
95. BROKERAGE ACCOUNT #3 (H)									
96. Coca-Cola Common Stock (KO)	A	Dividend	J	T					
97. Cracker Barrel Old Country Store, Inc. (CBRL)	A	Dividend			Sold	07/20/18	J	A	
98. Disney Common Stock (DIS)	A	Dividend	K	T					
99. Home Depot Inc. (HD)	A	Dividend	K	T					
100. Intel Stock (INTC)	A	Dividend	J	T					
101. Splunk Inc (SPLK)		None	J	T	Buy	07/18/18	J		
102. BROKERAGE ACCOUNT #4 (H)									

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103. Bank of America Common Stock	A	Dividend			Sold	05/14/18	J	D	
104. ConnectOne Bank Common Stock (CNOB)	A	Dividend	J	T					
105. Sirius Stock (SIRI)	A	Dividend	J	T					
106. MISC. (H)									
107. Bank of New Jersey Common Stock	A	Int./Div.	J	T					
108. Bank of New York Common Stock	A	Int./Div.	L	T					
109. Gillette Stock	A	Dividend	K	T					
110. Mattel Stock	A	Dividend	J	T					
111. Met Life Stock	A	Dividend	J	T					
112. Newell Rubbermaid Stock	A	Dividend	J	T					
113. Stanley Black and Decker Stock	A	Dividend	J	T					

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05/23/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Martinotti, Brian R.

Date of Report

05/23/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian R. Martinotti**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544