

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parsons, Marcia P.	2. Court or Organization USBC Eastern District of Tennessee	3. Date of Report 08/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 200 West Depot Street Suite 321 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Walters State Community College--teaching
2. 2018	Wolters Kluwer Law & Business Publishers--book royalties
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/27 to 10/31	San Antonio, Texas	Meeting	Transportation, meals, room
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SoFi Lending Corp.	Guaranty of note for student loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Tennessee Bank Checking	A	Interest	K	T					
2. TN Energy Acqu. Rev. Bond	B	Interest	K	T					
3. U.S. Savings Bonds	A	Interest	M	T					
4. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	J	T					
5. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	J	T					
6. IRA #2 (UBS Cus: Bank USA Salt Lake City CD)	A	Interest	K	T	Buy	08/22/18	K		
7. IRA #2 (UBS Cus: Fed Nat'l Ass Med Term Zeros)	A	Interest	K	T	Matured (part)	08/22/18	K	B	
8. IRA #2 (UBS Cus: Bank USA Deposit Account)	A	Interest	J	T					
9. IRA #1 (UBS Cus: Safra Nat'l Bank CD)	A	Interest	J	T	Buy	02/16/18	J		
10. IRA #1 (UBS Cus: Fin'ing Corp. Fed Cpn Zero Coupon Bonds)	A	Interest	L	T					
11. IRA #1 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest			Matured	01/16/18	K	B	
12. IRA #1 (UBS Cus: Fin'ing Corp Strip Zero Coupon Bonds)	A	Interest	K	T					
13. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Med Trm Zero Coup Bonds)	A	Interest			Matured	02/12/18	J	A	
14. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Zero Coupon Bonds)	A	Interest	L	T					
15. IRA #1 (UBS Cus: Resol Funding Corp. Zero Coupon Bonds)	A	Interest	J	T					
16. IRA #1 (UBS Cus: TN Vally Zero Coupon Bonds)	A	Interest	K	T					
17. IRA #1 (UBS Cus: USA Bank Deposit Account)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19. IRA #1 (UBS Cus; FNMA Zero Coupon Bonds)	A	Interest	J	T					
20. IRA #1 (UBS Cus; FNMA Zero Coupon Bonds)	A	Interest	K	T					
21. IRA #1 (UBS Cus; FNMA Zero Coupon Bonds)	A	Interest	J	T					
22.									
23. IRA #1 (UBS Cus; American Balanced Fund)	A	Dividend	K	T	Buy	02/02/18	K		
24.					Buy (add'l)	09/27/18	J		
25. Hartford Fixed Annuity	C	Distribution	L	T					
26. Great West IRA (100% in Secure Foundation Balanced L Account)	D	Distribution	M	T					
27. NY Life Fixed Annuity	D	Distribution	L	T					
28. TIAA-CREF Retirement Annuity #1 (ASL)	E	Distribution	N	T					
29. UBS Bank USA Deposit Account	A	Interest	J	T					
30. Capital One 360 Checking Account	C	Interest			Closed	01/22/18	N		
31. Purepoint Financial Online Savings Acct	B	Interest	N	T	Open	01/23/18	N		
32. Purepoint Financial CD	A	Interest	K	T	Buy	04/09/18	K		
33. Synchrony Bank CD	A	Interest	K	T	Buy	07/03/18	K		
34. Northern Bank & Trust Money Market Account	A	Interest	K	T	Open	06/12/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. CIT Bank CD	A	Interest	K	T	Buy	07/14/18	K		
36. CIT Bank CD	A	Interest	K	T	Buy	08/09/18	K		
37. Citizen's Access Bank CD	A	Interest	K	T	Buy	07/17/18	K		
38. Synchrony Bank CD	A	Interest	J	T	Buy	02/02/18	J		
39. Synchrony Bank CD	A	Interest	K	T	Buy	10/14/18	K		
40. Commercial Bank CD	A	Interest	K	T	Buy	11/29/18	K		
41. Synchrony Bank CD	A	Interest	J	T	Buy	11/29/18	J		
42. TIAA Bank CD	A	Interest	J	T	Buy	12/04/18	J		
43. Purepoint Financial CD	A	Interest	J	T	Buy	12/10/18	J		
44. VOYA Variable Annuity #3 Intermediate Bond Portfolio	A	Interest	K	T					
45. VOYA Variable Annuity #3 Growth & Income Portfolio	A	Interest	K	T					
46. VOYA Variable Annuity #3 Large Cap Growth Portfolio	A	Interest	K	T					
47. Ally Bank Savings Account	A	Interest			Closed	04/12/18	J		
48. Ally Bank CD	A	Interest			Matured	02/02/18	L	C	
49. UBS Bank USA Salt Lake Utah CD	A	Interest			Matured	08/22/18	M	B	
50. Synchrony Bank CD	A	Interest	K	T	Buy	08/22/18	K		
51. VOYA Annuity #1 (Fixed payment)	C	Distribution	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. VOYA Annuity #2 (Fixed payment)	C	Distribution	L	T					
53. IRA #2 (UBS Cus: HSBC Bank USA CD)	A	Interest	K	T					
54. IRA #1 (UBS Cus: HSBC Bank USA CD)	A	Interest	K	T					
55. Ally Bank CD	A	Interest	K	T					
56. VOYA Annuity #3 (WSCC) (Variable) Vanguard Wellington Fund Adm	A	Dividend	J	T					
57. VOYA Annuity #3 (WSCC) (Variable) Vanguard Target Retire 2020 Fnd	A	Dividend	J	T					
58. VOYA Annuity #3 (WSCC) (Variable) Vanguard Target Retire Income Fnd	A	Dividend	J	T					
59. VOYA Annuity #3 (WSCC) (Variable) VOYA Fixed Plus Account III	A	Dividend	J	T					
60. IRA #1 Jackson National Annuity JNL M-M Small Cap Fund	A	Dividend	J	T					
61. IRA #1 Jackson National Annuity JNL/ Amer Global Bonds Fund	A	Dividend	J	T					
62. IRA #1 Jackson National Annuity JNL/ Amer Funds Growth Allocation Fund	A	Dividend	J	T					
63. IRA #1 Jackson National Annuity JNL/ Amer Funds Moderate Growth Fund	A	Dividend	K	T					
64. IRA #1 Jackson National Annuity JNL/ Oppenheimer Global Growth Fund	A	Dividend	J	T					
65. IRA #1 Jackson National Annuity JNL/ TRPrice Mid-Cap Growth Fund	A	Dividend	J	T					
66. IRA #1 Jackson National Annuity JNL/ WMC Balanced Fund	A	Dividend	K	T					
67. IRA #1 Ohio Nat'l Annuity PIMCO Real Return Portfolio	A	Dividend	J	T					
68. IRA #1 Ohio Nat'l Annuity PIMCO Total Return Portfolio	A	Dividend	J	T					

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69. IRA #1 Ohio Nat'l Annuity Fidelity VIP Contrafund Portfolio	A	Dividend	J	T					
70. IRA #1 Ohio Nat'l Annuity Ivy VIP Asset Strategy Fund	A	Dividend	J	T					
71. IRA #1 Ohio Nat'l Annuity Clearbridge Var. Large Cap Value Portfolio	A	Dividend	J	T					
72. IRA #1 Ohio Nat'l Annuity Fidelity VIP Mid-Cap Portfolio	A	Dividend	J	T					
73. IRA #1 Ohio Nat'l Annuity Janus Henderson Global Rsch Portfolio	A	Dividend	J	T					
74. IRA #1 Ohio Nat'l Annuity Ivy VIP Natural Resources Fund	A	Dividend	J	T					
75. IRA #1 Ohio Nat'l Annuity Lazard Retirement Emerging Markets Fund	A	Dividend	J	T					
76. IRA #1 Ohio Nat'l Annuity Royce Small-Cap Portfolio	A	Dividend	J	T					
77.									
78.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Line 1 of Part VII of this report, the asset previously reported as "Capital Bank Checking Account" is renamed "First Tennessee Bank Checking Account" reflecting the fact that First Tennessee Bank has purchased that asset from Capital Bank.

For Amended Report:

In Part VII, Line 34, the asset listed as Northern Bank & Trust Money Market" in the original report has been changed to "Northern Bank & Trust Money Market Account."

The asset listed in Part VII, Line 18 of the original report as "IRA #1 (UBS Cus: Jackson National Annuity)" is now reported on Lines 60-66 of Part VII of the Amended Report to reflect specific fund investments. Line 18 in Part VII of the Amended Report is now left blank.

The asset listed in Part VII, Line 22 of the original report as "IRA #1 (UBS Cus: Ohio Nat'l Annuity)" is now reported on Lines 67-76 of Part VII of the Amended Report to reflect specific fund investments. Line 22 in Part VII of the Amended Report is now left blank.

The income type reported for the assets listed on Lines 31-34 and 55 of the original report has been changed from "Int./Div." to "Interest."

The income type reported for the assets listed on Lines 56-59 of the original report has been changed from "Int./Div." to "Dividend."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marcia P. Parsons**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544