

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oxholm, Maria L.	2. Court or Organization U. S. Bankruptcy Court, E.D. Michigan	3. Date of Report 07/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 211 W. Fort Street Suite 1800 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	William Booth Legal Aid Clinic
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Pension, Wayne County
2. 2018	Employment, Wayne County Prosecutor's Office
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Western District of Michigan Federal Bar Association	7/26/18 - 7/28/18	Traverse City, Michigan	Moderator on Bankruptcy Panel	Lodging, Mileage and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Michigan State	Tuition Agreements	None
2.	Wayne State University	Tuition Agreements	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Dividend Reinvestment:									
2. ABX	A	Int./Div.	J	T					
3. BAX	A	Int./Div.	J	T					
4. GE	A	Int./Div.	J	T					
5. IBM	A	Int./Div.	J	T					
6. BP	A	Int./Div.	J	T					
7. PFE		None			Donated				
8. Shire PLC ADS (Tekeda in 2019)	A	Int./Div.	J	T					
9.									
10. Prudential Guaranteed Income Fund Benchmark (Wayne Cnty-mlo)	A	Int./Div.	M	T					
11.									
12. SOM (mlo)									
13. 457 Plan American Fund Euro Pacific	A	Int./Div.	M	T					
14. 401K Plan:Black Rock Gov Short Term Fund	B	Int./Div.	N	T					
15.									
16. Voya Financial #2 457 Plan:(Wayne Cnty Deferred mlo)									
17. Fixed Account 457/401 cash	A	Int./Div.	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. JP Morgan Large Cap Growth Fund (now CIR Berg)	A	Int./Div.	J	T					
19.									
20. Voya Financial #3: pgb									
21. Fixed Account 457/401	B	Int./Div.	M	T					
22. Mass Mutual Financial Grp: 457 FICA Alt.Ret.Plan (deferred inc pgb)	A	Int./Div.	K	T					
23. Mass Mutual Cash-stable value-SF guaranteed	A	Int./Div.	K	T					
24.									
25. Columbia Select Interntnl. Equity Fund-Z (vjbb)	A	Int./Div.	K	T					
26.									
27. Ameritrade IRA: (mlo)									
28. ADM	A	Int./Div.	J	T					
29. CCJ	A	Int./Div.			Sold	06/11/18	J	A	
30. GE	A	Int./Div.	J	T					
31.									
32. Public Service Credit Union:5 accounts	A	Interest	L	T					
33. Bank of America checking acct		None	J	W					
34. U of M Credit Union		None	J	T					

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35.									
36. Michigan Educational Trust	D	Distribution			Expired	12/31/18	J		
37.									
38. Morgan Stanley Roth IRA:(pgb inherited)									
39. GE	B	Int./Div.	K	T					
40. LYG	A	Int./Div.	J	T					
41. NOK	A	Int./Div.			Sold	01/12/18	J	A	
42. PREKF		None			Sold	01/12/18	J	A	
43. CWGIX	B	Distribution	K	T					
44. AIVSX	A	Int./Div.	J	T					
45. AMRMX	A	Int./Div.	J	T					
46.									
47. Morgan Stanley Account:(JNT)									
48. cash account	A	Int./Div.	J	W					
49. ARNC	A	Int./Div.			Sold	01/10/18	J	A	
50. ADM	A	Int./Div.			Sold (part)	08/02/18	K	D	
51.					Sold	08/10/18	K	D	

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52. CPB	A	Int./Div.	J	T	Buy	05/23/18	J		
53. CNQ	A	Int./Div.	J	T					
54. GIS	A	Int./Div.	J	T	Buy	12/04/18	J		
55. KO	A	Int./Div.	J	T	Buy	08/31/18	J		
56. NAT	A	Int./Div.			Sold	08/10/18	J	A	
57. PG	A	Int./Div.	J	T	Buy	04/24/18	J		
58. POT(now NTR)	A	Int./Div.			Sold	08/10/18	K	A	
59. SLB	A	Int./Div.	J	T	Buy	08/03/18	J		
60. SU	A	Int./Div.	J	T					
61. TCK(correct symbol TECK)	A	Int./Div.	J	T					
62. MOS	A	Int./Div.			Sold	08/02/18	J	A	
63. CCJ	A	Int./Div.	J	T					
64. QCOM	A	Int./Div.			Buy	04/24/18	J		
65.					Sold	09/13/18	J	C	
66. T	A	Int./Div.	J	T	Buy	06/13/18	J		
67.					Buy (add'l)	12/04/18	J		
68. AIVSX	A	Int./Div.	J	T					

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69. CAIBX	A	Int./Div.	J	T					
70. CWGIX	A	Int./Div.	K	T					
71. AMRMX	B	Int./Div.	M	T					
72. FMITX	A	Dividend	J	T					
73. TESIX	A	Int./Div.	J	T					
74. Grand Rapids/Kent County Municipal Bond	A	Int./Div.	J	T					
75. Keno Hills, Mi Mun Bond	A	Interest	K	T	Buy	03/22/18	K		
76. MS Bank Salt Lake City Utah CD	A	Int./Div.	K	T	Buy	04/25/18	K		
77. BMO Harris Bank CD		None			Buy	05/03/18	K		
78.					Matured	09/10/18	K	A	
79. Texas Bank Cap CD		None			Buy	05/03/18	K		
80.					Matured	11/16/18	K	A	
81. First Rep Bank San Francisco CD (Matures 2019)	A	Int./Div.	K	T	Buy	08/02/18	K		
82. Inv. Savings Bank, Short Hills, NJ CD	A	Int./Div.			Buy	08/13/18	K		
83.					Matured	12/03/18	K	A	
84. Assoc. Bank Green Bay, Wis CD		None			Buy	08/31/18	J		
85.					Matured	12/07/18	J	A	

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86. Bank Hapoalim NY, NY CD (Matures 2019)	A	Int./Div.	K	T	Buy	09/12/18	K		
87. Mountain One Bank North Adams MA CD (matures 2019)	A	Int./Div.	J	T	Buy	09/14/18	J		
88. First Rep Bank San Francisco CD (Matures 2019)	A	Int./Div.	K	T	Buy	11/16/18	K		
89. Beal Bank Tx CD (Matures 2019)	A	Int./Div.	J	T	Buy	12/03/18	J		
90. Simmons Bank Ark CD (Matures 2019)	A	Int./Div.	J	T	Buy	12/03/18	J		
91.									
92. Farmers New World Life Ins.(whole)		None	J	U					
93.									
94. Morgan Stanley: Cash Account (flb)(Y)									
95.									
96. Ameriprise Account #1(JNT)									
97. ABB	A	Int./Div.	J	T	Buy	07/27/18	J		
98. ADM	A	Int./Div.	K	T					
99. BHP	A	Int./Div.			Sold	01/24/18	J	C	
100. BP	A	Int./Div.	J	T					
101. CPB	A	Int./Div.	J	T	Buy	06/08/18	J		
102. CF	A	Int./Div.			Sold	06/08/18	K	D	

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103. CSX	A	Int./Div.			Sold	07/24/18	J	D	
104. CVS	A	Int./Div.			Sold	01/09/18	K	A	
105. CX	A	Int./Div.			Sold	07/24/18	J	A	
106. EMR	A	Int./Div.	K	T					
107. FLR	A	Int./Div.	J	T	Sold (part)	09/19/18	J	A	
108. XOM	A	Int./Div.	K	T					
109. GE	A	Int./Div.	J	T					
110. GIS	A	Int./Div.	J	T	Buy	09/19/18	J		
111. GSK	B	Int./Div.	K	T					
112. HP	A	Int./Div.	J	T					
113. KO	A	Int./Div.	J	T					
114. MOS	A	Int./Div.			Sold	06/08/18	J	A	
115. NSC	A	Int./Div.	J	T	Sold (part)	07/27/18	J	C	
116. SLB	A	Int./Div.	J	T	Buy	09/19/18	J		
117.					Buy (add'l)	10/24/18	J		
118. SNY	A	Int./Div.	J	T					
119. TRP	A	Int./Div.	J	T					

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120. VZ	A	Int./Div.	J	T	Buy	06/08/18	J		
121. Berkshire Bank, MA CD		None			Buy	05/09/18	K		
122.					Matured	08/09/18	K	A	
123. UMB Bank Ks City, Missouri CD		None			Buy	06/13/18	J		
124.					Matured	08/13/18	J	A	
125. UMB Bank Ks City, Missouri CD		None			Buy	07/25/18	J		
126.					Matured	10/24/18	J	A	
127. Key Bank Cleveland, OH CD		None			Buy	08/22/18	J		
128.					Matured	11/29/18	J	A	
129. Capital One VA CD (Matures 3/5/19)	A	Int./Div.	J	T	Buy	12/05/18	J		
130. Hancock Whitney Bank-MS CD (Matures 6/5/19)	A	Int./Div.	J	T	Buy	12/03/18	J		
131.									
132. Ameriprise Account #2 (cgb)									
133. CLF	A	Int./Div.	J	T					
134. Ameriprise Roth IRA #1(cgb)									
135. BAC	A	Int./Div.	J	T					
136. POT/NTR	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. GE		None	J	T	Buy	12/10/18	J		
138.									
139. Ameriprise Roth IRA #2(mlo)									
140. ADM	A	Int./Div.	J	T					
141. GE	A	Int./Div.	J	T	Buy	01/19/18	J		
142. CCJ	A	Int./Div.			Sold	01/19/18	J	A	
143.									
144. Ameriprise Roth IRA#3:(pgb)									
145. ADM	A	Int./Div.	J	T	Sold (part)	05/07/18	J	A	
146.					Sold (part)	07/13/18	J	A	
147.					Sold (part)	08/21/18	J	A	
148. CVS		None			Sold	01/09/18	J	A	
149. DWDP(2019 co split to dow,dd, ctva)	A	Int./Div.	J	T	Buy	03/02/18	J		
150.					Buy (add'l)	10/24/18	J		
151. GE	A	Int./Div.	J	T	Buy (add'l)	01/19/18	J		
152. GIS	A	Int./Div.	J	T	Buy	12/13/18	J		
153. FLR	A	Int./Div.	J	T	Sold (part)	01/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	05/03/18	J	A	
155.					Buy	05/07/18	J		
156.					Sold	08/21/18	J	A	
157.					Buy	10/15/18	J		
158. HP	A	Int./Div.	J	T					
159. INT		None			Sold	08/21/18	J	A	
160. RDS-A	A	Int./Div.	K	T	Sold (part)	05/17/18	J	A	
161. SLB	A	Int./Div.	J	T	Buy	12/13/18	J		
162. SNY	A	Int./Div.			Buy	05/07/18	J		
163.					Sold	10/15/18	J	A	
164. EMR	A	Int./Div.			Sold	08/21/18	J	C	
165. ABB	A	Int./Div.	J	T	Buy	03/02/18	J		
166.					Buy (add'l)	06/08/18	J		
167. T	A	Int./Div.	J	T	Buy	07/13/18	J		
168.					Buy (add'l)	10/24/18	J		
169.					Buy (add'l)	12/13/18	J		
170. Key Bank Cleveland OH CD		None			Buy	08/22/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/24/18	J	A	
172. Synchrony Bank CD Utah		None			Buy	08/22/18	J		
173.					Sold	12/13/18	J	A	
174.									
175. American Century Investments IRA:(pgb)									
176. TWGGX	A	Int./Div.	K	T					
177. BGEIX		None	J	T					
178. BEQGX	A	Int./Div.	J	T					
179.									
180. Morgan Stanley: (cgb)									
181. American Century Investment Account #2 : TWGGX (cgb--Morgan Stan)	C	Distribution	K	T					
182. American Funds: New Perspective Fund- ANWPX (Morg Stanley--cgb)	A	Int./Div.	J	T					
183. American Funds: American Mutual Fund(AMRMX) (Morgan Stan--cgb)	A	Int./Div.	J	T					
184. Bank of San Francisco CD		None			Buy	05/23/18	J		
185.					Matured	12/03/18	J	A	
186. Cash Account	A	Int./Div.	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Maria L. Oxholm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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