

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Molzen, Karen B.	2. Court or Organization District Court - New Mexico	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Pete V. Dominici Federal Courthouse 333 Lomas Blvd NW, Ste 730 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	UNM Law School, teaching income	\$1,328.02
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - CD at Bank of the West	A	Interest	J	T					
2. Premier MMKT Shares (Roth)	A	Interest	K	T					
3. FEQIX (IRA)	B	Dividend	K	T					
4. HMDCX (IRA)		None			Sold	10/19/18	K	C	
5. HCACX (IRA)	A	Dividend			Sold	10/19/18	K	D	
6. Universal Life Ins. Policy (Penn Mutual)	A	Interest	J	T					
7. USAA (accounts)	A	Interest	K	T					
8. HFCCX (IRA)	A	Dividend			Sold	10/19/18	K	B	
9. WASAX (B/NR)	A	Dividend	J	T					
10. WASCX (N/BR)	A	Dividend	K	T					
11. HABCX (IRA)	A	Dividend			Sold	10/19/18	K	A	
12. LUV (N/BR)	A	Dividend	K	T					
13. BBVA Compass Account (personal checking)		None	M	T					
14. NMEFCU Accounts (now Nusenda)	A	Int./Div.	M	T					
15. Insured Cash (N/BR) (X)		None	J	T					
16. GSFTX (Roth)	C	Dividend	L	T	Buy (add'l)	05/31/18	J		
17. ODVYX (Roth)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. OTCFX (Roth)	B	Dividend	J	T					
19. PYSVX (Roth)	B	Dividend	J	T					
20. DODFX (Roth)	A	Dividend	K	T					
21. PTPPX (Roth)	A	Dividend	K	T					
22. LAVFX (Roth)		None			Sold	05/31/18	J	A	
23. JSOSX (Roth)	A	Dividend	J	T					
24. Navy FCU (savings)	B	Interest	M	T					
25. BBVA Compass (MM)	A	Interest	J	T					
26. BBVA Compass (Jnt Chk)		None	J	T					
27. VMVAX (Roth)	A	Dividend	J	T					
28. IJK MidCap 400 (Roth)	A	Dividend	J	T					
29. Jackson Elite Access Annuity									
30. - JNL/American Growth - Income		None	K	T					
31. - JNL MultiMgr Alt		None	K	T					
32. - JNL/T. Rowe Price - Short Term Bond		None	K	T					
33. - JNL Tact ETF Mod		None	L	T					
34. - JNL/AQR Lg Cap		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - JNL/Double Line Core		None	K	T					
36. VONG Growth Index (Roth)	A	Dividend	K	T					
37. HFMCX - IRA		None	K	T	Buy	10/19/18	K		
38. ITBAX - IRA	A	Dividend	K	T	Buy	10/19/18	K		
39. HDVAX - IRA	A	Dividend	K	T	Buy	10/19/18	K		
40. ITHAX - IRA	A	Dividend	L	T	Buy	10/19/18	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen B. Molzen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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