

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Otazo-Reyes, Alicia M.	2. Court or Organization U.S. District Court, Southern District of Florida	3. Date of Report 03/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 301 N. Miami Avenue, 10th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Miami Dade College Paralegal Studies Program Advisory Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	N	T					
2. IRA #1 (H)									
3. Bank of America, NA, RASP (cash account)	A	Interest	K	T					
4. AB Large Cap Growth Fund (APGYX)		None	K	T					
5. AIG Focused Dividend Strategy (FDSWX)	A	Dividend	K	T					
6. Alger Small Cap Focus Fund Class Z (AGOZX)		None	J	T	Buy	09/18/18	J		
7. American Century Mid Cap Value Fund (AVUAX)	A	Dividend			Sold	10/25/18	J	A	
8. Blackrock Credit Strategies Income Fund (BSMIX)	A	Dividend	J	T					
9. Blackrock High Yield Bd. Portfolio Fund (BHYIX)	A	Dividend	J	T					
10. Columbia Large Cap Enhanced Core Fund (NMINX)	A	Dividend	K	T					
11. Delaware Value Fund (DDVIX)	A	Dividend	K	T					
12. Eaton Vance High Income Opportunities Fund (EIHIX)	A	Dividend	J	T					
13. Eaton Vance Floating Rate MF (EIBLX)	A	Dividend	J	T					
14. Fidelity Advisors Mid Cap Value Fund (FMPOX)	A	Dividend			Sold	04/18/18	J		
15. First TR Dorsey WRT (FV)	A	Dividend	J	T					
16. Glenmede Large Cap Growth Fund (GTILX)	A	Dividend	K	T					
17. Goldman Sachs Emerging Markets Debt Fund (GSDIX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Janus Henderson Global Equity Income Fund (HFQIX)	A	Dividend			Sold	10/10/18	J		
19. Hennessy Focus Fund (HFCIX)		None			Sold (part)	01/30/18	J	B	
20.					Sold	03/16/18	J	B	
21. Hotchkis and Wiley Small Cap Value Fund (HWSIX)	A	Dividend	J	T					
22. Invesco S&P High Income Low Volatility Fund (SPHD)	A	Dividend	J	T	Sold (part)	04/18/18	J	A	
23. iShares NASDAQ Biotech ETF (IBB)	A	Dividend	J	T					
24. iShares 20+ year Treasury Bond ETF (TLT)	A	Dividend	K	T					
25. iShares S&P Midcap 400 Growth (IJK)	A	Dividend	J	T	Buy	10/17/18	J		
26. iShares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
27. iShares S&P Smallcap 600 Growth (IJT)	A	Dividend	J	T	Buy	03/26/18	K		
28.					Buy (add'l)	05/02/18	J		
29.					Buy (add'l)	06/29/18	J		
30. iShares Core S&P US Growth ETF (IUSG)	A	Dividend	K	T					
31. iShares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					
32. iShares Inc Core MSCI Emerging Markets ETF (IEMG)	A	Dividend	J	T					
33. Ivy Emerging Markets (IPOIX)	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
34.					Buy (add'l)	04/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Janus Henderson Global Equity Income Fund Class I (HFQIX) (X)	A	Dividend	J	T					
36. Janus Henderson Enterprise Fund I (JMGRX)	A	Dividend	J	T	Buy (add'l)	09/18/18	J		
37. JOHCM International Select Fund (JOHIX)	A	Dividend	J	T					
38. JP Morgan Intrepid Mid Cap Fund (WOOPX)	A	Dividend	J	T					
39. Lord Abbett Bond Debenture Fund Cl F (LBDFX)	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
40. Lord Abbett Short Duration Income Fd Cl F (LDLFX)	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
41.					Buy (add'l)	04/02/18	J		
42. Mainstay Large Cap Growth Fund (MLAIX)		None	L	T					
43. Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	K	T					
44. MFS International Value Fund (MINIX)	A	Dividend	J	T					
45. MFS International Diversification Fund Cl I (MDIUX)	A	Dividend	J	T	Buy	09/18/18	J		
46. MFS Value Fd Cl I MF (MEIIX)	B	Dividend	L	T					
47. Oakmark Intl Fd Cl Adv (OAYIX) (X)	A	Dividend	J	T	Buy (add'l)	01/02/18	J		
48. Oppenheimer Developing Markets Fund Cl Y (ODVYX)	A	Dividend	J	T	Buy	09/18/18	J		
49. PGIM Short Term Corporate Bond Fd Cl Z (PIFZX)	A	Dividend	J	T					
50. PGIM Total Return Bond Fd Cl Z (PDBZX)	A	Dividend	J	T					
51. Pimco Global Bond Fund (PGNPX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Pimco Income Fund (PONPX)	A	Dividend	K	T					
53. Pioneer Strategic Income Fund (STRYX)	A	Dividend	J	T					
54. SPDR Gold Trust (GLD)		None	J	T	Buy	04/02/18	J		
55.					Sold	07/19/18	J		
56.					Buy	12/19/18	J		
57. SPDR Index SHS FDS (FEZ)	A	Dividend			Sold	08/17/18	J	B	
58. The Oakmark Fund (OAKIX) (Y)									
59. Thornburg Ltd Term Income Fund (THIIX)	A	Dividend	J	T					
60. Wells Fargo Large Cap Core Fund (EGOIX)	A	Dividend	K	T					
61. Western Asset Core Plus Bond Fund (WACPX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 13, 22, 30, 31, 36, 39, 40, 49, and 50: Corporate name changes and symbol corrections; see 2017, lines 12, 41, 23, 24, 27, 31, 32, 43, and 42, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

03/11/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alicia M. Otazo-Reyes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544