

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manning, Julie A.	2. Court or Organization U.S. Bankruptcy Court, Connecticut	3. Date of Report 06/28/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge--Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court, District of Connecticut 915 Lafayette Boulevard 1st Floor Bridgeport, CT 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Pre 2000	Shipman & Goodwin LLP Profit Sharing Retirement Plan (former law firm) with Vanguard
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	---salary and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Judiciary	01/12/18	New Haven, Connecticut	Court governance meeting	Transportation
2. Judiciary	02/01/18	New Haven, Connecticut	Court governance meeting	Transportation
3. Judiciary	03/01/18	North Haven, Connecticut	Activity of Professional Association	Transportation
4. Judiciary	04/13/18	New Haven, Connecticut	Court governance meeting	Transportation
5. Judiciary	04/26/18	Hartford, Connecticut	Court governance meeting	Transportation
6. Judiciary	04/30/18-05/02/18	San Antonio, Texas	Non-FJC Educational Seminar	Transportation, Lodging

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7.	Judiciary	05/10/18-05/11/18	Washington, D.C.	FJC Educational Seminar	Transportation, Lodging
8.	Judiciary	06/13/18-06/15/18	Saratoga Springs, New York	Second Circuit Judicial Conference	Transportation, Lodging
9.	Judiciary	06/25/18	New Haven, Connecticut	Court governance meeting	Transportation
10.	Judiciary	06/26/18	North Haven, Connecticut	Court governance meeting	Transportation
11.	Judiciary	08/20/18	New Haven, Connecticut	Court governance meeting	Transportation
12.	Judiciary	09/07/18	Hartford, Connecticut	Court governance meeting	Transportation
13.	Judiciary	09/12/18-09/14/18	Malibu, California	FJC Educational Seminar	Transportation, Lodging
14.	Judiciary	10/02/18	Westbrook, Connecticut	Non-FJC Educational Seminar	Transportation
15.	Judiciary	10/03/18	New Haven, Connecticut	Court governance meeting	Transportation
16.	Judiciary	10/04/18	Westbrook, Connecticut	Non-FJC Educational Seminar	Transportation
17.	Private--American Bar Association at NCBJ Conference	10/27/18-10/30/18	San Antonio, Texas	Non-FJC Educational Seminar	Transportation, Lodging
18.	Judiciary	11/14/18-11/16/18	New York, New York	FJC Educational Seminar	Transportation, Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. People's United Bank accounts--2017	B	Interest	N	T					
2. CHET 529 account 2018-									
3. --529 Principal Plus Interest Option	A	Interest	K	T					
4. --529 Principal Plus Interest Option					Buy	06/26/18	J		
5. --529 Principal Plus Interest Option					Sold	07/16/18	J	A	
6. --529 Principal Plus Interest Option					Sold	12/13/18	J	A	
7. --529 Moderate Allocation age 17	A	Interest		T					
8. --529 Moderate Allocation age 17					Buy	06/26/18	J		
9. --529 Moderate Allocation age 17					Sold	07/16/18	J	A	
10. --529 Moderate Allocation age 17					Sold	09/20/18	J	A	
11. --529 Moderate Allocation age 18 & over	A	Interest		T					
12. --529 Moderate Allocation age 18 & over					Buy	09/20/18	J		
13. --529 Moderate Allocation age 18 & over					Sold	12/13/18	J	A	
14. --529 Principal Plus Interest Option-	A	Interest	L	T					
15. --529 Principal Plus Interest Option					Buy	06/26/18	J		
16. --529 Principal Plus Interest Option					Sold	07/16/18	K	A	
17. --529 Principal Plus Interest Option					Sold	12/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. --529 Moderate Managed Allocation age 18+	A	Interest	J	T					
19. --529 Moderate Managed Allocation age 18+					Sold	01/05/18	J	A	
20. Vanguard Account 2018									
21. --Vanguard Small -Cap Growth Index Fund	B	Int./Div.	M	T					
22. --Retirement Savings Trust III fna Retirement Savings Trust	A	Interest	J	T					
23. --Vanguard Selected Value Fund	E	Int./Div.	M	T					
24. --Vanguard Target Retirement 2025	D	Int./Div.	M	T					
25. --Vanguard Wellington Fund	E	Int./Div.	M	T					
26. --Vanguard Total Bond Market Index Fund	C	Int./Div.	M	T					
27. Voya 401(k) Savings Plan - 2018									
28. -- Met West Tot Bd Fd	A	Int./Div.	K	T	Sold	12/07/18	J		
29. --NT Coll. Agg. Bond Idx fka Vanguard Total Bond Market Investment Fund	A	Interest	J	T	Sold	12/07/18	J		
30. --Voya Stable Value Option	B	Int./Div.	M	T	Buy	12/07/18	M		
31. --Equity Index Non-Lendable Fund M, nka NT Coll S&P 500 Idx Fund DC	A	Int./Div.	K	T	Sold	12/07/18	K		
32. --SSgA Russ Sm/Md Cap Index Fund nka NT Coll Ext Mkr Idx Fund DC	C	Int./Div.	J	T	Sold	12/07/18	K		
33. --Voya Small Cap Opportunites nka Voya Small Cap Growth Tr 2	A	Int./Div.	K	T	Sold	12/07/18	K		
34. --T Rowe Price Ins. Large Cap Growth	A	Int./Div.	J	T	Sold	12/07/18	L		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. Fidelity Rollover IRA - 2018									
36. --Stocks-General Electric Company	A	Dividend	J	T					
37. --Stocks--General Electric Company					Sold	02/03/10	J	A	
38. --Stocks-SPDR S&P 500 ETF Fund	B	Dividend	J	T					
39. --Stocks SPDR S&P 500 ETF Fund					Sold (part)	03/20/18	K	D	
40. --Stocks SPDR S&P 500 ETF Fund					Sold (part)	04/13/18	M	D	
41. --Stocks-SPDR SER TR S&P Dividend	A	Dividend	J	T					
42. --Stocks-SPDR SER TR S&P Dividend					Sold (part)	03/20/18	K	D	
43. --Stocks-SPDR SER TR S&P Dividend					Sold	04/13/18	L	C	
44. --Stocks-United Parcel Service	B	Dividend	K	T					
45. --Mutual Funds-Pimco Total Return Investment Fund	B	Dividend	K	T					
46. --Mutual Fund-Pimco Total Return Investment Fund					Sold (part)	03/19/18	L	E	
47. --Fidelity Cash Reserves (FDRXXX)	C	Int./Div.	N	T					
48. --Fidelity Cash Reserves (FDRXXX)					Buy	03/20/18	M	A	
49. --Fidelity Cash Reserves (FDRXXX)					Buy	04/14/18	M	A	
50. --Fidelity Cash Reserves (FDRXXX)					Buy	10/14/18	K	A	
51. --Stocks-Vanguard Sector Index FDS	A	Interest	J	T					

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52. --Stocks--Vanguard Sector Index FDS					Sold (part)	03/20/18	J	B	
53. --Stocks--Vanguard Sector Index FDS					Sold (part)	04/13/18	J	B	
54. --Stocks--Amazon.com,inc	A	Dividend	K	T					
55. --Stocks--Powerhouse QQQ Tr Unit Ser 1	D	Dividend	K	T					
56. --Stocks--Powerhouse QQQ Tr Unit Ser 1					Sold (part)	03/20/18	K	C	
57. --Stocks--Powerhouse QQQ Tr Unit Ser 1					Sold	04/13/18	K	C	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Julie A. Manning**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544