

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, Cynthia A.	2. Court or Organization U.S. Bankruptcy Court, W.D. of Missouri	3. Date of Report 06/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 400 E. 9th St. Room 6462 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.	2013	Agreement with Steven R. Rebein, former co-owner/co-member of Grimes & Rebein, L.L.C., to collect and divide equally the remaining earned accounts receivable X
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

06/11/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Missouri Bar Association	Mar. 8-9, 2019	Springfield, MO	Attend and teach CLE at annual bankruptcy seminar	Transportation, meals, hotel, registration
2.	National Assoc. Bankruptcy Trustees	Feb. 22-23, 2018	Las Vegas, NV	Attend and teach CLE at annual NABT meeting	Transportation, meals, hotel, registration
3.	American College of Bankruptcy	Mar. 17-18, 2018	Washington, DC	Attend and teach CLE at annual meeting	Transportation, meals, hotel, registration
4.	Wisconsin Bar Association	Feb. 28 - Mar. 3, 2018	Kohler, WI	Attend and teach CLE at annual meeting	Transportation, meals, hotel, registration
5.	National Conference of Bankruptcy Judges	Oct. 27-31, 2018	San Antonio, TX	Attend and monitor CLE sessions as member of the NCBJ education committee	Transportation, meals, hotel, registration

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting Norton, Cynthia A.	Date of Report 06/11/2019
---	---

6.	All Iowa Bankruptcy Bar Association	Sept. 26-28, 2018	Cedar Rapids, IA	Attend and teach CLE at bankruptcy seminar	Transportation, meals, hotel, registration
----	-------------------------------------	-------------------	------------------	--	--

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

06/11/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank Southwest VISA	Credit Card	J
2.	USAA Federal Savings Bank	Credit Card	K
3.	Exchange National Bank of Atchison	Personal Loan	K
4.	Hilton Grand Vacations Properties Ownership Interest	Time Share Loan	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

06/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual Whole Life Policies	C	Int./Div.	K	T					
2. TIAA-CREF 403(b) (Investments Listed Below)									
3. a. TIAA-CREF Stock R3 Fund	A	Int./Div.	L	T					
4. b. TIAA-CREF Bond Market R3 Fund	A	Int./Div.	J	T					
5. c. TIAA-CREF Money Market	A	Int./Div.	J	T					
6. Exchange National Bank Accounts	A	Int./Div.	J	T					
7. Commerce Bank Accounts	A	Int./Div.	J	T					
8. Navy Federal Credit Union Accounts	A	Int./Div.	J	T					
9. Ivy Cash Management (Formerly Waddell & Reed) Accounts	A	Int./Div.	J	T					
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

06/11/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Liabilities incurred in 2018 were due to our [REDACTED] wedding, unexpected medical costs, uncertainty over government shutdowns and [REDACTED] and funeral. As of June 2019, all liabilities reported for 2018 have been liquidated as a result of the ongoing settlement of [REDACTED] estate.

Also, the agreement with Steve Rebein reported in Part II I will no longer report after this year; the statute of limitations to collect the two remaining receivables has expired and we deem the receivables uncollectible.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

06/11/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia A. Norton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544