

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pannell, Charles A.	2. Court or Organization District Court-N.D.of Georgia	3. Date of Report 03/17/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 2367 U. S. Courthouse 75 Ted Turner Dr., S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Executor See Note	Estate No. 1
2.	Operator See Note	Farm #1
3.	Co-Executor See Note	Estate No. 2
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Association of County Commissioners of Georgia Pension Trust	\$1,347.72
2. 2018	Georgia Judicial Retirement System	\$93,603.84
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Teachers Retirement System of Georgia (defined benefits)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Timberland, [REDACTED], 1/3 Interest		None	O	W	Sold (part)	12/31/18	K	E	Hentz Forest Products
2. Rental Property #1 (y)									See Note
3. Estate #1 (H)									Closed
4. -Synovus (formerly Cohutta Bank Account)		None			Distributed	12/19/18	J		Closed See Note
5. Brokerage Account #3 (H)									
6. -Fidelity Investments Cash Account	A	Interest	J	T					
7. -Tyson Foods (TSN)	C	Dividend	M	T					
8. -Synovus Common Stock (SNV)	B	Dividend	K	T					
9. -Apple, Inc (AAPL)	A	Dividend	K	T					
10. -Costco Wholesale Corp. (COST)	A	Dividend	K	T					
11. -Middleby Corp. (MIDD)		None	J	T					
12. -Suntrust Bank (STI)	A	Dividend	J	T					
13. -Tractor Supply co. (TSCO)	A	Dividend	J	T					
14. -Align Tech (ALGN)		None	J	T					
15. -Disney (DIS)	A	Dividend	J	T					
16. -Tesla Motors (TSLA)		None	J	T					
17. -Cerner Corp (CERN)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Cummings (CMI)	A	Dividend	J	T					
19. -Fidelity Select Banking Portfolio (FSRBX)	B	Dividend	J	T					
20. -Merck (MRK)	A	Dividend	J	T					
21. -Natus Medical Inc. (BABY)		None	J	T					
22. -Total Systems Svcs (TSS)	A	Dividend	L	T					
23. -Fidelity Money Market Fund (SPRX)	B	Interest	L	T	Buy	02/27/18	L		
24.					Buy	08/15/18	K		
25.					Sold	10/03/18	M		
26. Farm #2, [REDACTED], limited interest	A	Rent	L	W					
27. Estate 2 (H)									Closed
28. -First National Bank (CDs and Checking)	A	Interest			Distributed	12/19/18	J		Closed
29. Rollover IRA (H)									
30. -Bank Deposit Sweep Program, The Bank of the West	A	Interest	J	T	Distributed (part)	01/12/18	J		See Note
31. -Mainstay Funds High Yield Bond (MHYIX)	A	Dividend	J	T					
32. -AMCAP CL F2 (AMCFX)	C	Dividend	K	T	Sold (part)	01/12/18	J	A	
33. -ARTISAN MID CAP FD (ARTMX)	A	Dividend	J	T					
34. -Oppenheimer Dev Markets (ODVYX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Templeton Intl Bond (FIBZX)	A	Dividend	J	T					
36. -Adirondack Small Cap (ADKSX)	A	Dividend	J	T					
37. -JP Morgan Equity Income (HLIEX)	A	Dividend	K	T	Sold (part)	01/11/18	J	A	
38. -Invesco Intl Small (GTSYX)	A	Dividend	J	T					
39. -John Hancock Val Midcap (JVMIX)	A	Dividend	J	T					
40. -Oakmark Intl (OAKIX)	A	Dividend	J	T					
41. -Columbia Balanced Fund (CBDRX)	A	Dividend	J	T					
42. -Doubleline Total RT Bond (DLTNX)	A	Dividend	J	T					
43. -Lazard Global (GLIFX)	A	Dividend	J	T					
44. -Nuveen Real Estate Class (FARCX)	A	Dividend	J	T					
45. -AB Global Bond (ANAYX)	A	Dividend	J	T					
46. -Metropolitan West Total Return Class I (MWTIX)	A	Dividend	J	T					
47. Wells Fargo Savings Account	A	Interest			Closed	10/30/18	J	A	
48. First National Bank, Accounts Checking and Money Market	A	Interest	L	T					
49. Synovus Bank Checking and MMKT (formerly Cohutta Bank)	A	Interest	L	T					See Note
50. Associated Credit Union Money Market and Checking	A	Interest	J	T					
51. Brokerage Account # 4 (H)									

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52. -Schwab checking account	A	Interest	J	T					
53. -Tyson Foods (TSN)	A	Dividend	J	T					
54. -Tesla Motors (TSLA)		None	J	T					
55. -Berkshire Hathaway B (BRK.B)		None	J	T					
56. -Schwab 1000 Index Fund (SNXFX)	A	Dividend	J	T					
57. -Schwab US Large CAP Growth ETF (SCHG)	A	Dividend	J	T					
58. Parcels 1 & 2- [REDACTED] -- Now Farm #1		None	O	W	Sold (part)	12/20/18	J	B	Matthew Harris See Note
59. Timberland, 2/3 of 36 acres [REDACTED] (Y)									See Note

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. The estates No. 1 and No. 2 were closed during 2018. I ceased being the operator of Farm No. 1 and have become the owner of Farm No. 1. Reported in part VII as Parcels 1 and 2.

Part VII. INVESTMENTS AND TRUSTS

Line 2: The property is now woodland. The house has been torn down. It is now part of Parcels 1 and 2 and is now part of Farm #1.

Line 4 and 28: There was a final distribution of these funds to the heirs of the estate. Account closed.

Line 30: IRA Required minimum distribution from IRA Account and management fee paid quarterly.

Lines 4 and 49: Cohutta Bank changed name to Synovus Bank

Line 59: On 10/31/18, I transferred property to my [REDACTED] as a gift. There was no gain to me.

Line 58: I now own this property. All the property adjoins and constitutes Farm #1. During this period, I sold hay to Matthew Harris for \$1,987. On 10/31/18, I transferred part of property to my [REDACTED] as a gift.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles A. Pannell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544