

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mashburn, Randal S.	2. Court or Organization Bankruptcy Court - Middle District - Tennessee	3. Date of Report 04/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 220 Customs House 701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	10/28/18 to 10/31/18	San Antonio, TX	Attendance at meetings/ seminars at annual conference of bankruptcy judges.	Portion of lodging expense.
2. Tennessee Bar Association	04/27/18 to 04/29/18	Gatlinburg, TN	Participate in panel of judges making presentations at CLE program on bankruptcy.	Transportation, food and lodging.
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS (H) -- Lines 2-10									
2. -Bank of America (Accounts/CDs)	A	Interest	L	T					
3. -Synovus Bank (Accounts/CDs)	A	Interest	L	T					
4. -Franklin Synergy Bank (formerly Civic Bank & Trust) (Accounts/CDs)	D	Interest	N	T					
5. -Reliant Bank (formerly Community First Bank & Trust) (Accounts/CDs)	B	Interest	M	T					
6. -Pinnacle National Bank (Accounts/CDs)	A	Interest	L	T					
7. Fifth Third Bank	B	Interest	M	T					
8. -Insbank (Accounts/CDs)	D	Interest	N	T					
9. -Legends Bank (Accounts/CDs)	B	Interest	M	T					
10. -First Citizens National Bank (Account)	A	Interest	K	T					
11. BROKERAGE ACCT (H) -- Items 12 to 53 below									
12. -America Movil S.A.B. De C.V. (Common Stock)	A	Dividend	J	T					
13. -Anheuser Busch InBev Spon ADR (Common Stock)	A	Dividend	J	T					
14. -Apple Inc. (Common Stock)	A	Dividend	K	T					
15. -Bristol Myers Squibb Co. (Common Stock)	A	Dividend	J	T					
16. -EOG Resources Inc. (Common Stock)	A	Dividend	K	T					
17. -EQT Corp (Common Stock)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Equitrans Midstream Corp. (Common Stock)		None	J	T	Spinoff (from line 17)	11/13/18	J		
19. -Express Scripts Holding Co. (Common Stock)		None			Sold	10/24/18	J	C	
20. -Exxon Mobil Corp. (Common Stock)	A	Dividend	K	T					
21. -Fortinet Inc. (Common Stock)		None	K	T					
22. -Genuine Parts Co. (Common Stock)	A	Dividend	J	T					
23. -Home Depot Inc. (Common Stock)	A	Dividend	K	T					
24. -Merck & Co. Inc. New Com (Common Stock)	A	Dividend	J	T					
25. -Microsoft Corp. (Common Stock)	A	Dividend	K	T					
26. -Pepsico Inc. (Common Stock)	A	Dividend	K	T					
27. -Pfizer Inc. (Common Stock)	A	Dividend	J	T					
28. -Walmart de Mexico S.A.B. de CV (Common Stock)	A	Dividend	J	T					
29. -Walgreen Co. (Common Stock)	A	Dividend	J	T					
30. -Alger Spectra Fund Class C (Mutual Fund)	D	Dividend	L	T					
31. -American Funds Euro Pacific Growth Fun (Mutual Fund)	C	Dividend	L	T					
32. -FT-Franklin Federal Fund (Mutual Fund)	B	Dividend	L	T					
33. -John Hancock Preferred Income Fund	B	Dividend	K	T					
34. -Nuveen PFD & Income Sec. Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Vertus Global Multi Sector (Common Stock)	B	Dividend	J	T					
36. -Metro Govt. Nashville/Davidson Bonds #1 (Govt. Bonds)	B	Interest	L	T					
37. -Metro Govt. Nashville/Davidson Bonds #2 (Govt. Bonds)	B	Interest	K	T					
38. -Blount County Tenn. Public Bonds (Govt. Bonds)	B	Interest	L	T					
39. -State of Texas Bonds #1 (Govt. Bonds)	C	Interest			Sold	08/02/18	K	A	
40. -Hamilton County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T					
41. -State of Texas Bonds #2 (Govt. Bonds)	B	Interest			Sold	08/02/18	K	A	
42. -Metro Govt. Nashville/Davidson Bonds #3 (Govt. Bonds)	B	Interest	L	T					
43. -New York Municipal Water Fin. Auth. (Govt. Bonds)	B	Interest	K	T					
44. -Tennessee State School Bonds #2 (Govt. Bonds)	B	Interest	K	T					
45. -Texas A&M Univ. (Govt. Bonds)	B	Interest	K	T					
46. -Memphis Airport Bonds (Govt. Bonds)	B	Interest	K	T					
47. Metro Govt. nashville/Davidson Bonds #4 (Govt. Bonds)	B	Interest	K	T					
48. Loudon County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T					
49. Franklin Tenn. Spl Sch District Bonds (Govt. Bonds)	B	Interest	K	T					
50. -Southern Company Bonds	B	Interest	K	T					
51. -Metro Govt. Nashville/Davidson Bonds #5 (Govt. Bonds)	A	Interest	L	T	Buy	08/16/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -White House Utility District Bonds (Govt. Bonds)	A	Interest	K	T	Buy	08/16/18	K		
53. -UBS Money Market Account	A	Interest	K	T					
54. ROTH IRA (H) #1 -- Lines 55-67									
55. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 57-58)		None	M	T					
56. -- NW AMFDS NVIT AsstAlloc. II -- 51.8%									
57. -- NW NVIT Inv. Dest. Mod II - 48.2%									
58. - Ohio National Oncore Value Annuity (Variable) (%s on lines 60-67)		None	L	T					
59. -- Ohio National Bond Fund - 15%									
60. -- Ohio Small Cap GRW Fund - 5%									
61. -- Janus Henderson Overseas VIT - 5%									
62. -- JPMorgan Mid Cap Value Fund - 5%									
63. -- PIMCO Total Return Fund - 15%									
64. -- Franklin Founding Funds Allocation Fund - 25%									
65. -- Ivy Science & Technology Fund - 5%									
66. -- Janus Henderson Low Volatility VIT - 25%									
67. - First Eagle Global Fund (Mutual Fund)	B	Dividend	L	T					
68. ROTH IRA (H) #2 -- Lines 69-86									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Genworth RetireeReady Annuity (Variable) (%s shown lines 71-72)		None	M	T					
70. -- Blk Global Allocation V.I -- 49.9%									
71. -- SSG Total Return - Class 3 - 50.1%									
72. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 74-75)		None	M	T					
73. -- NW AMFDS NVIT AsstAlloc. II -- 51.8%									
74. -- NW NVIT Inv. Dest. Mod II - 48.2%									
75. - Ohio National Oncore Value Annuity (Variable) (%s on lines 77-84)		None	M	T					
76. -- Ohio National Bond Fund - 15%									
77. -- Ohio Small Cap GRW Fund - 5%									
78. -- Janus Henderson Overseas VIT - 5%									
79. -- JPMorgan Mid Cap Value Fund - 5%									
80. -- PIMCO Total Return Fund - 15%									
81. -- Franklin Founding Funds Allocation Fund - 25%									
82. -- Ivy Science & Technology Fund - 5%									
83. -- Janus Henderson Low Volatility VIT - 25%									
84. - First Eagle Global Fund (Mutual Fund)	B	Dividend	L	T					
85. - American Funds New Perspective (Mutual Fund)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - UBS Bank Deposit Account	A	Interest	J	T					
87. 529 COLLEGE FUND #1(H) -- Lines 88-91									
88. - Growth Fund of America	A	Dividend	K	T					
89. - Bond Fund of America	A	Dividend	J	T					
90. - American Balanced Fund	A	Dividend	K	T					
91. - Capital Income Builder Fund	A	Dividend	J	T					
92. 529 COLLEGE FUND #2 (H) -- Lines 93-100									
93. - Growth Fund of America	A	Dividend			Sold (part)	08/08/18	J	A	
94.					Sold	12/14/18	J	A	
95. - Bond Fund of America	A	Dividend			Sold (part)	08/08/18	J	A	
96.					Sold	12/14/18	J	A	
97. - Capital Income Builder Fund	A	Dividend			Sold (part)	08/08/18	J	A	
98.					Sold	12/14/18	J	A	
99. -Intermediate Bond Fund of America	A	Dividend			Sold (part)	08/08/18	J	A	
100.					Sold	12/14/18	J	A	
101. NORTHWESTERN BROKERAGE ACCT (H) Lines 102-107									
102. -Northwestern Mutual Single Premium Whole Life Insurance Policy	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Northwestern Mutual General Money Market Fund C1 B	A	Int./Div.	J	T					
104. -EuroPacific Growth Fund	A	Dividend	J	T					
105. -Tax-Exempt Bond Fund of America	A	Dividend	J	T					
106. -Localshares Nashville Area ETF	A	Dividend			Sold	02/16/18	J	A	
107. -Vanguard Index Funds	A	Dividend	K	T	Buy (add'l)	06/05/18	J		
108. IRA #1 -- UBS (prior 401k) (H) -- Lines 109-126									
109. - Pace Money Market Investment Fund	A	Dividend	J	T					
110. - Alger Spectra Fund Class A	C	Dividend	L	T	Sold (part)	03/27/18	J	D	
111. -Delaware Value Fund	B	Dividend	L	T	Sold (part)	03/27/18	J	B	
112. - First Eagle Overseas Fund Class A	B	Dividend	L	T					
113. - Loomis Sayles Bond Fund Class Retail	B	Dividend	L	T					
114. - Oppenheimer Intl Bond Fund Class A	B	Dividend	K	T					
115. - Principal Preferred Securities Fund	B	Dividend	K	T	Buy (add'l)	03/27/18	J		
116. - Alliaanzgi Global Water Fund Class P	A	Dividend	K	T					
117. - Diamond Hill Small Mid Cap Fund Class I	A	Dividend	K	T					
118. - RMB Mendon Fin. Serv. Fund Class A	C	Dividend	K	T					
119. - Double Line Total Return Fund Instl	A	Dividend			Sold	03/26/18	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Gabelli Gold Fund	A	Dividend	J	T					
121. - Loomis Sayles Global Allocation Fund	A	Dividend	M	T					
122. - T CW Emerging Market Income Fund	B	Dividend	K	T					
123. - Victory Trivalent International Small Cap Fund	A	Dividend	K	T					
124. - Alpha Centric Income Opportunities Fund Class I	B	Dividend	L	T	Buy	03/27/18	K		
125.					Buy (add'l)	10/26/18	K		
126. - Vanguard Industrials Index Fund Admiral	A	Dividend	K	T	Buy	03/27/18	K		
127. IRA #2 -- UBS (prior 401k) (H) -- Lines 128-145									
128. - UBS Bank USA Deposit Account	A	Interest	J	T					
129. - Berkshire Hathaway Inc New CL B (Common Stock)		None	M	T					
130. - ConocoPhillips B/E Bonds	A	Interest	K	T					
131. - United Parcel Service DPB Inc B/E Bonds	B	Interest	K	T					
132. - Duke Energy Carolina Bonds	B	Interest	K	T					
133. - Coca Cola Co. Com NTS B/E Bonds	A	Interest	K	T					
134. - Anheuser-Busch Inbev WDR Bonds	A	Interest	K	T					
135. - Verizon Communications Bonds	B	Interest	K	T					
136. - Virtus Multi-Sector Short Term Bond Fund - Class C	C	Dividend			Sold (part)	04/03/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	10/22/18	K	A	
138. - CVS Health Corp.	A	Interest	K	T					
139. - Eaton Vance Senior Income Trust	A	Dividend	J	T					
140. - Kroger Company Bonds	A	Interest	K	T					
141. - Campbell Soup Company Bonds		None	K	T	Buy	10/24/18	K		
142. - Dr. Pepper Snapple Group Bonds	A	Interest	K	T	Buy	10/24/18	K		
143. - Heinz (HJ) Company Bonds		None	K	T	Buy	10/24/18	K		
144. - Kraft Heinz Foods Company Bonds		None	K	T	Buy	10/24/18	K		
145. - Tennessee State School Revenue Bonds	A	Interest	K	T	Buy	04/03/18	K		
146. OTHER MISC. INVESTMENTS (H) Lines 147-148			K	T					
147. -Maury County TN Farm	D	Rent	O	W					
148. -Center Hill Lake TN Undeveloped Real Estate		None	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: On line 4 of Part VII, last year's report showed Civic Bank & Trust, which has changed its name to Franklin Synergy Bank.

Note 2: On line 5 of Part VII, last year's report showed Community First Bank & Trust, which has changed its name to Reliant Bank.

Note 3: On line 17 of Part VII, EQT Corp. split into two companies -- the existing EQT and a spinoff company named Equitrans Midstream Corp. Therefore, a new line 18 has been inserted to reflect the new holding of Equitrans Midstream Corp. even though there was no actual purchase of new stock.

Note 4: Regarding lines 55 thru 66 and lines 69 thru 83: As requested by the Committee in a prior year, information is provided on these lines regarding the investment components of the annuities in the Roth IRA accounts. Filer is reporting this information in the same format as was reported for 2016 and 2017. The end-of-year reporting received by the filer reflects the gross values of each annuity -- not the individual amounts attributable to any particular investment (and the filer has no control over these investment decisions). The information available to filer shows only the percentages attributable to each investment vehicle in each annuity. Therefore the component investments and respective percentages have been reported on the lines immediately below each annuity to reflect the makeup of the investments in each annuity. Since the end-of-year information is limited to the gross values for each annuity as a whole, the lines under columns B and C have been left blank for the investment components of the annuity, while the lines next to the overall annuity have been filled in with the appropriate cumulative information about the respective annuity's value, etc. based on the year-end information provided to the filer. It should also be noted that the filer does not receive information about how individual investment vehicles in the annuities perform, and the ultimate value of the annuities is tied to contractual death benefits, lifetime annuity payments, surrender value and other factors rather than simply the performance of specific investment components. Since no dividends are made to filer, and since the information available to filer is primarily tied to the increase or decrease in overall value of the policies and the makeup of the investments, Column B-1 next to each annuity has been left blank, and Column B-2 has been marked None.

Note 5: Regarding lines 93 thru 100: Filer has listed dispositions of investments in a college 529, but it should be noted that these distributions were made directly to the beneficiary of the fund for college expenses, not to the filer. All funds in this 529 account were depleted in 2018 based on final distributions to the beneficiary.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Mashburn, Randal S.

Date of Report

04/29/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Randal S. Mashburn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544