

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Ruth	2. Court or Organization District Court, U.S. Virgin Islands	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Ron de Lugo Federal Building 5500 Veterans Drive, 3rd Floor St. Thomas, USVI 00802-0802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Royal Bermuda Yacht Club	June 19-24, 2018	Hamilton, Bermuda	The Newport-Bermuda Race Jury	Transportation, lodging, partial meals
2. Chicago Yacht Club	July 18-26, 2018	Chicago, Illinois and Mackinac Island, Michigan	Chicago Race to Mackinac Protest Committee	Transportation, lodging, some meals
3. Chicago Yacht Club	October 3-11, 2018	Chicago, Illinois	Farr 40 World Championships Jury	Transportation, some meals
4. Santa Barbara Yacht Club	Cancelled	Santa Barbara, California	International Women's Keelboat Championships	Reimbursement for change fee for air tickets for cancelled event
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Third Bank	Mortgage on property in Chicago, IL	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property, Chicago, IL	C	Rent	N	W					
2. Banco Popular de Puerto Rico account		None	K	T					
3. LPL Financial Inherited IRA account (H)									
4. -VZ common	A	Dividend			Sold	04/25/18	J	A	
5. -LPL Financial cash account	A	Interest			Closed	08/24/18	K		
6. -JP Morgan US Gov't Money Market Fund	A	Dividend	K	T	Buy	08/24/18	K		
7. -MO common	A	Dividend			Sold	04/25/18	J		
8. -AEP common	A	Dividend			Sold	04/25/18	J	A	
9. -AAPL common	A	Dividend	J	T					
10. -BRK/B common		None			Sold	04/25/18	K	D	
11. -KO common	A	Dividend			Sold	04/25/18	J		
12. -EMR common	A	Dividend			Sold	04/25/18	J	B	
13. -GIS common	A	Dividend			Sold	04/25/18	J		
14. -JNJ common	A	Dividend			Sold	04/25/18	J	B	
15. -PM common	A	Dividend			Sold	04/25/18	J		
16. -PG common	A	Dividend			Sold	04/25/18	J		
17. -O common	A	Dividend			Sold	04/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -SO common	A	Dividend			Sold	04/25/18	J		
19. -UPS common	A	Dividend			Sold	04/25/18	J	A	
20. -WFC common	A	Dividend			Sold	04/25/18	J	A	
21. -HCN common	A	Dividend			Sold	04/25/18	J		
22. -Doubleline Total Return Bond Cl N	A	Dividend			Sold	04/25/18	K		
23. -JP Morgan Core Bond Cl A	A	Dividend			Sold	04/25/18	J		
24. -HSBC common	A	Dividend			Sold	04/25/18	J	C	
25. -LNT common	A	Dividend			Sold	04/25/18	K	B	
26. -PFE common	A	Dividend			Sold	04/25/18	J	B	
27. -PPL common	A	Dividend			Sold	04/25/18	J		
28. -ENB common	A	Dividend			Sold	04/25/18	J		
29. -CMP common	A	Dividend			Sold	04/25/18	J		
30. -D common	A	Dividend			Sold	04/25/18	J		
31. -DUK common	A	Dividend			Sold	04/25/18	J		
32. -iShares MSCI Emerging Markets ETF		None			Sold	04/25/18	K		
33. -Mainstay Short Duration High Yield Cl A	A	Dividend			Sold	04/25/18	K		
34. -BND Vanguard Total Bond Market ETF	A	Dividend	K	T	Buy	04/25/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Miller, Ruth

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35. -VVO Vanguard FTSE Emerg Markets ETF	A	Dividend	J	T	Buy	04/25/18	J		
36. -VBK Vanguard Sm Cap Growth ETF	A	Dividend	J	T	Buy	04/25/18	J		
37. -VBR Vanguard Sm Cap Value ETF	A	Dividend	K	T	Buy	04/25/18	K		
38. -VUG Vanguard Growth ETF	A	Dividend	L	T	Buy	04/25/18	L		
39. -VTV Vanguard Value ETF	A	Dividend	K	T	Buy	04/25/18	K		
40. -VOT Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy	04/25/18	K		
41. -VOO Vanguard S&P 500 Index ETF	A	Dividend	L	T	Buy	04/25/18	L		
42. LPL Financial Investment account (H)									
43. -AAPL common	A	Dividend			Sold	04/25/18	K	C	
44. -T common	B	Dividend			Sold	04/25/18	K	B	
45. -DUK common	A	Dividend			Sold	04/25/18	J	B	
46. -PFE common	A	Dividend			Sold	04/25/18	K	C	
47. -VZ common	A	Dividend			Sold	04/25/18	J	A	
48. -LPL Financial Insured Cash Account	A	Interest			Closed	08/20/18	L		
49. -JP Morgan US Gov't Money Market Fund	A	Dividend	J	T	Buy	08/20/18	L		
50.					Redeemed (part)	09/11/18	J		
51.					Buy (add'l)	10/04/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

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52.					Redeemed (part)	11/05/18	K		
53.					Redeemed (part)	11/28/18	J		
54.					Redeemed (part)	12/11/18	J		
55.					Buy (add'l)	12/31/18	J		
56. -LNT common	A	Dividend			Sold	04/25/18	K	B	
57. -MO common	A	Dividend			Sold	04/25/18	J		
58. -AEP common	A	Dividend			Sold	04/25/18	J	A	
59. -BRK/B common		None			Sold	04/25/18	K	C	
60. -KO common	A	Dividend			Sold	04/25/18	J		
61. -CMP common	A	Dividend			Sold	04/25/18	J		
62. -D common	A	Dividend			Sold	04/25/18	K		
63. -EMR common	A	Dividend			Sold	04/25/18	J	A	
64. -ENB common	A	Dividend			Sold	04/25/18	J		
65. -GIS common	A	Dividend			Sold	04/25/18	J		
66. -HSBC common	A	Dividend			Sold	04/25/18	J	B	
67. -JNJ common	A	Dividend			Sold	04/25/18	J	A	
68. -PM common	A	Dividend			Sold	04/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

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69. -PPL common	A	Dividend			Sold	04/25/18	J		
70. -PG common	A	Dividend			Sold	04/25/18	J		
71. -O common	A	Dividend			Sold	02/08/18	J		
72. -SO common	A	Dividend			Sold	04/25/18	J		
73. -UPS	A	Dividend			Sold	04/25/18	J	A	
74. -WFC common	A	Dividend			Sold	04/25/18	J		
75. -HCN common	A	Dividend			Sold	04/25/18	J		
76. -Doubleline Total Return Bond CI N	A	Dividend			Sold	04/25/18	K		
77. -JP Morgan Core Bond CI A	A	Dividend			Sold	04/25/18	K		
78. -iShares MSCI Emerging Markets ETF	A	Dividend			Sold	04/25/18	K		
79. -Mainstay Short Duration High Yield CI A	A	Dividend			Sold	04/25/18	K		
80. -AMZN common		None	K	T	Buy	02/05/18	K		
81. -CSCO common	A	Dividend	K	T	Buy	02/05/18	K		
82. -BND Vanguard Total Bond Market ETF	A	Dividend	K	T	Buy	04/25/18	K		
83. -VBK Vanguard Sm Cap Growth ETF	A	Dividend	J	T	Buy	04/25/18	J		
84. -VBR Vanguard Sm Cap Value ETF	A	Dividend	K	T	Buy	04/25/18	K		
85. -VOO Vanguard S&P 500 Index ETF	B	Dividend	L	T	Buy	04/25/18	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Miller, Ruth

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05/09/2019

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86. -VOT Vanguard Mid Cap Growth ETF	A	Dividend	L	T	Buy	04/25/18	L		
87. -VTV Vanguard Value ETF	B	Dividend	L	T	Buy	04/25/18	L		
88. -VUG Vanguard Growth ETF	B	Dividend	L	T	Buy	04/25/18	M		
89. -VVO Vanguard FTSE Emerg Markets ETF	A	Dividend	K	T	Buy	04/25/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Miller, Ruth

Date of Report

05/09/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ruth Miller

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544