

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	2. Court or Organization U.S. District Court	3. Date of Report 5/2/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Justice Advocacy Africa
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Preg O'Donnell & Gillett - Hourly fee (consulting)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Judicial Institute of Canada	2/26/18 - 3/2/18	Victoria, British Columbia, Canada	Faculty member at conference of Canadian judges	Transportation, lodging, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit card	J
2.	Capital One	Credit card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -- Amgen, Inc (AMGN)	A	Int./Div.	K	T					
3. -- Bank Midwest (CD)	A	Interest			Buy	01/23/18	K		
4.					Redeemed	09/28/18	K	A	
5. -- Bank of Baroda (CD)			L	T	Open	11/28/18	L		
6. -- Discovery Bank Greenwood (CD)			K	T	Open	11/02/18	K		
7. -- Dodge & Cox Income (DODIX)	B	Int./Div.	K	T					
8. -- Europacific Growth Fund - Amer Funds (AEPFX)	A	Int./Div.	J	T					
9. -- Exxon/Mobil Corp. (XOM)	A	Int./Div.	J	T					
10. -- Fifth 3rd Bank Cincinnati (CD)	A	Interest			Open	05/16/18	L		
11.					Redeemed	11/16/18	L		
12. -- FMI Large Cap Fund (FMIHX)	B	Int./Div.	J	T					
13. -- Guggenheim S&P Mid Cap 400 (EWMC)	A	Int./Div.			Closed	04/09/18	K		See notes @ Part VIII
14. -- Harbor Bond Fund (HABDX)					Sold	02/07/18	K	A	See notes @ Part VIII
15. -- Harris Assoc Inv Tr Oakmark Intl Fund (OAKIX)	A	Int./Div.	K	T					
16. -- Harris Assoc Inv Tr - Oakmark Eq&Inc Fund (OAKBX)	C	Int./Div.	K	T	Sold (part)	07/16/18	J	B	
17. -- Invesco S&P 500 (EWMC)	A	Int./Div.	K	T	Open	06/05/18	K		See notes @ Part VIII

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Ishares Tr Russell 1000 ETF (IWM)	A	Int./Div.	J	T	Buy	07/18/18	K		
19. -- Johnson & Johnson (JNJ)	B	Int./Div.	K	T					
20. -- JP Morgan (JVAIX)	C	Int./Div.	K	T	Sold (part)	07/16/18	J	B	
21. -- Metropolitan Funds (MWLIX)	A	Int./Div.			Sold	02/23/18	J	B	
22. -- Parnassus Core Equity (PRBLX)	C	Int./Div.	K	T	Sold (part)	07/16/18	J	B	
23. -- Principal Funds Inc (PCBIX)	C	Int./Div.	K	T	Sold (part)	02/07/18	J	B	
24. -- RJ Bank Deposit Program	A	Interest	L	T					
25. -- T Rowe Price Growth Stock Fund Inc. (PRGFX)	D	Int./Div.	L	T					
26. -- U.S. Treasury Bills			N	T					
27.					Redeemed	01/11/18	M	A	
28.					Buy	01/22/18	L		
29.					Redeemed	01/25/18	K	A	
30.					Buy	02/14/18	L		
31.					Buy	02/14/18	L		
32.					Buy	02/23/18	M		
33.					Buy	02/23/18	M		
34.					Redeemed	04/12/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Redeemed	04/13/18	K	A	
36.					Redeemed	05/10/18	M	A	
37.					Buy	05/11/18	K		
38.					Buy	05/23/18	L		
39.					Redeemed	05/24/18	L	A	
40.					Buy	06/26/18	K		
41.					Buy	06/26/18	K		
42.					Redeemed	06/28/18	L	A	
43.					Buy	08/08/18	M		
44.					Buy	08/08/18	M		
45.					Redeemed	08/09/18	N	A	
46.					Buy	09/20/18	K		
47.					Redeemed	09/20/18	K	A	
48.					Buy	10/02/18	K		
49.					Redeemed	11/01/18	K	A	
50.					Buy	11/16/18	M		
51.					Redeemed	11/23/18	L	A	

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52.					Redeemed	12/27/18	K	A	
53. -- Vanguard Dividend Apprec (VIG)	B	Int./Div.	L	T					
54. -- Vanguard Prime Money Mkt Fund - Inv CI (VMMXX)	A	Int./Div.	L	T	Buy	05/23/18	K		
55.					Buy (add'l)	08/13/18	K		
56. -- Vanguard Short Term Corp Fund - Adm (VFSUX)	B	Int./Div.			Sold	02/23/18	M	A	See notes @ Part VIII
57. -- Wells Fargo & Co.	A	Int./Div.	J	T					
58.									
59. IRA #2									
60. -- Vanguard Emerging Mkts Stk Idx - Adm (VEMAX)	A	Int./Div.	J	T	Open	12/07/18	J		See notes @ Part VIII
61. -- Vanguard Emerging Mkts Stk Idx Inv (VEIEX)	A	Int./Div.			Closed	12/07/18	J		See notes @ Part VIII
62. -- Vanguard Inflation- Protected Sec Inv (VIPSX)	A	Int./Div.	J	T					
63. -- Vanguard International Growth Inv (VWIGX)	A	Int./Div.	K	T					
64. -- Vanguard Long-Term Invest-Gr Inv (VWESX)	B	Int./Div.	K	T					
65. -- Vanguard Mid-Cap Index Fund Adm (VIMAX)	A	Int./Div.	K	T					
66. -- Vanguard Prime Money Mkt Fund (VMMXX)	A	Int./Div.	J	T					
67. -- Vanguard REIT Index Fund Adm (VGSLX)	A	Int./Div.	J	T					
68. -- Vanguard S-T Investmt- Grade Inv (VFSTX)	A	Int./Div.	K	T					

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69. -- Vanguard Small-Cap Index Fund - Adm (VSMAX)	A	Int./Div.	J	T					
70. -- Vanguard Total Intl Bond Idx Adm (VTABX)	A	Int./Div.	J	T					
71. -- Vanguard Wellesley Income Fund Inv (VWINX)	B	Int./Div.	K	T					
72. -- Vanguard Wellington Fund Admiral (VWENX)	C	Int./Div.	K	T					
73. -- Vanguard 500 Index Fund Adm (VFIAX)	B	Int./Div.	L	T					
74.									
75. 401K									
76. -- American Funds Europacific R4	A	Int./Div.			Sold	01/31/18	K	B	
77. -- DFA U.S. Large Cap Value	A	Int./Div.			Sold	01/31/18	J	A	
78. -- DFA U.S. Small Cap Value	A	Int./Div.			Sold	01/31/18	J	A	
79. -- JHancock Displin Val Mid Cap	A	Int./Div.			Sold	01/31/18	J	A	
80. -- Morley Stable Value Fund	A	Int./Div.			Sold	01/31/18	J	A	
81. -- Oppenheimer Developing Mkts Y	A	Int./Div.			Sold	01/31/18	J	A	
82. -- Pimco Real Return Adm	A	Int./Div.			Sold	01/31/18	J	A	
83. -- T Rowe Price New American Growth	A	Int./Div.			Sold	01/31/18	J	A	
84. -- Vanguard 500 Index Admiral	A	Int./Div.			Sold	01/31/18	J	A	
85. -- Vanguard Inter Bond Index Signal	A	Int./Div.			Sold	01/31/18	J	A	

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86. -- Vanguard Mid Cap Growth Index Admiral	A	Int./Div.			Sold	01/31/18	J	A	
87. -- Vanguard Mid Cap Index Admiral	A	Int./Div.			Sold	01/31/18	J	A	
88. -- Vanguard Small Cap Growth Index	A	Int./Div.			Sold	01/31/18	J	A	
89. -- Vanguard Small Cap Index Admiral	A	Int./Div.			Sold	01/31/18	J	A	
90. -- Virtus Real Estate Fund I	A	Int./Div.			Sold	01/31/18	J	A	
91.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 13. Name change to POWERSHARES ETF TR S&P MID CAP 400 on 04/09/18

Line 14. Incorrectly reported as a complete sale in 2017 FDR (amended 2017 FDR has been submitted)

Line 17. Name change from POWERSHARES ETF TR S&P MID CAP 400 on 06/05/18

Line 56. Incorrectly reported as a complete sale in 2017 FDR (amended 2017 FDR has been submitted)

Line 60. Converted from Vanguard Emerging Mkts Stk Idx Inv (VEIEX)

Line 61. Converted to Vanguard Emerging Mkts Stk Idx Adm (VEMAX)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marsha J. Pechman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544