

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKee, Theodore A.	2. Court or Organization U.S. COURT OF APPEALS FOR THE THIRD CIRCUIT	3. Date of Report 09/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
5b. ☒ Amended Report		
7. Chambers or Office Address 20614 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA. 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	GENERAL PARTNER	PUJKEE ASSOCIATES (see section viii for information)*
2.	TRUSTEE	SYRACUSE UNIVERSITY
3.	DIRECTOR	VERA INSTITUTE OF JUSTICE
4.	BOARD OF VISITORS	TEMPLE UNIVERSITY COLLEGE OF LAW
5.	BOARD OF VISITORS (honorary member)	SYRACUSE UNIVERSITY COLLEGE OF LAW

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	ADMINISTRATIVE OFFICE OF THE PENNSYLVANIA COURTS	\$12,000.00
2. 2017	Pujkee Associates Rental Property	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Rental Property in New York
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. US COURTS	01/17 TO 01/120/17	ST THOMAS, U.S. VIRGIN ISLANDS	DIST COURT OF THE VI ANNUAL CONFERENCE	TRAVEL AND LODGING
2. AMERICAN CONSTITUTION SOCIETY	01/26 TO 0127/17	WASHINGTON, D.C.	ATTEND ACADEMIC DISCUSSION	TRAVEL
3. SYRACUSE UNIVERSITY	3/2 TO 3/3/17	SYRACUSE NEW YORK	MOOT COURT	TRAVEL AND LODING
4. VERA INSTITUTE OF JUSTICE	3/9/17	NEW YORK CITY	BOARD MEETING	TRAVEL

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5.	NEW YORK UNIVERISTY LAW SCHOOL	3/31/17	NEW YORK CITY	PARTICIPATE IN SELECTION OF PUBLIC INTEREST FELLOWSHIOPS AT LAW SCHOOL	TRAVEL AND LODIGNG
6.	US COURT OF APPEALS	4/19 TO 4/20/17	LANCASTER PA.	ATTEND CIRCUIT CONFERENCE	TRAVEL AND LODING
7.	ADMINISTRATIVE OFFICE OF THE US COURTS	5/8/ TO 5/19	MINNEAPOLIS MINN	PARTICIPATE IN NE CHIEF JUDGE SEMINAR	TRAVEL AND LODGING
8.	SAME AS ABOVE	6/12 TO 6/13/17	WASHINGTON D.C.	SAME AS ABOVW	TRAVEL AND LODGNG
9.	VERA INSTITUTE OF JUSTICE	6/29/17	NEW YORK CITY	BOARD MEETING	TRAVEL
10.	THIRD CIRCUIT COURT	10/12//17	PITTSBURGH, PA	COURT MEETING FOR OFFICIAL PORTRAIT CERTEMONY FOR CHIEF JUDGE	TRAVEL
11.	SYRACUSE UNIVERSITY COLLEGE OF LAW	10/20/17	SYRACUSE NEW YORK	RECEIVE LAW SCHOOL AWARD	TRAVEL
12.	FJC -	11/1TO 3/17	WASHIHNGTON D.C.	MEETING OF FJC	TRAVEL AND LODGING
13.	VERA INSTITUTE OF JUTICE	12/6 TO 12/8/17	NEW HAVEN CT	BOARD MEETING AND SITE VISIT	TRAVEL AND LODGING

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CARDINAL HEALTH	A	Dividend	J	T					
2. COLGATE PALMOLIVE	A	Dividend	J	T					
3. JOHNSON AND JOHNSON	A	Dividend	J	T					
4. VERIZON	A	Dividend	J	T	Sold	5/1/17	J	B	
5. CISCO	A	Dividend	J	T					
6. WASHINGTON MUTUAL FUND	A	Dividend	J	T	Sold	06/21/17	K	C	
7. HENRY SCHEIN STOCK	A	Dividend	J	T					
8. FRANKLIN FLTG RATE FAFRX (see section VIII)	A	Dividend	J	T					
9. CALVERT INCOME FUND (CIFCX)	A	Dividend	J	T	Buy (add'l)	11/08/17	J		
10. AMERICAN EURO PACIFIC (AEGFX)	A	Dividend	J	T					
11. CALVERT GLOBAL WATER FUND (CFWAX)	A	Dividend	J	T					
12. JOHN HANCOCK MUTUAL FUND (JCVCX) CORRECTED TO (PZFBX) See Section VIII	A	Dividend	J	T					
13. DREYFUS FUND STRUCTURED MID CAP FD CL C (DPSCX)	A	Dividend	J	T					
14. CARLISLE COMPANIES (CSL)	A	Dividend	J	T	Sold (part)	11/30/17	J	B	
15. SENTINEL FUNDS SS CORE A	A	Dividend	J	T					
16. SENTINEL FUNDS SS MID CAP A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. PNC MONEY MARKET ACCOUNT (SEE SECTION VIII)	A	Dividend	J	T					
18. INTEL (INTC)	A	Dividend	J	T					
19. AMERICAN BALANCED FUND (ABALX)	A	Dividend	J	T					
20. AMERICAN EURO PACIFIC FUND (AEPGX)	A	Dividend	J	T					
21. VECTRON CORP (V3S) (SEE PART VIII)	A	Dividend	J	T					
22. AMERICAN CENT MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T	Buy (add'l)	1/11/16	J		
23. JOHNSON & JOHNSON	A	Dividend	J	T					
24. GUIDESTONE GLBL BD FD INV (GGBFX)	A	Dividend	J	T			J		
25. COHEN & STEERS RE ALTY SHARES (CSRSX)	A	Dividend	J	Q	Buy (add'l)	2/27/17	J	B	
26. COHEB SHEERS REALTY SHARES	A	Dividend			Closed	2/27/17	J	C	
27. DELAWARE VALUE FUND (DDVIX)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
28. EATON VANCE FUND INSTITUTIONAL (EIGMX)	A	Dividend	J	T	Buy (add'l)	1/11/16	J		
29. FEDERATED TOTAL RETURN BOND FUND	A	Dividend	J	T	Buy	1/4/17	J		
30. FEDERATED TOTAL RETURNS (FTRBX)	A	Dividend			Sold (part)	12/8/17	J	C	
31. FEDERATED TOTAL RETURN BOND FUND	A	Dividend	J	T	Buy (add'l)	06/13/17	J		
32. FIDELITY ADVISERS FL RATE HIGH (FFRIX)	A	Dividend			Closed	03/27/17	J	C	
33. HARBOR CAPITAL APPR INSTL (HACAX)	A	Dividend	J	T	Buy	0/4/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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34. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	J	T					
35. MAINSTAY EPOCH GLOBAL EQUITY (EPSYX)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
36. METROPOLITAN WEST TOTAL RETURN CLASS I	A	Distribution			Open	01/04/17	J		
37. METROPOLITAN WEST TOATL RETURN CLASS I (MWTIX)	A	Dividend			Closed	6/13/17	K	C	
38. OPPENHEIMER DEV MARKETS (ODVYX)	A	Dividend	J	T	Buy (add'l)	1/11/16	J		
39. OPPENHEIMER INTL GROWTH FD Y (OIGYX)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
40. POWERSHARES FTS RAFI US 1000 ISIN (PRF)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
41. T. ROWE PRICE MID CAP GROWTH (RPMGX)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
42. T. ROW PRICE INTL DISCOVERY (PRIDX)	A	Dividend	J	T					
43. ROYCE OPPORTUNITY FD SVCS CL (RYOFX)	A	Dividend	J	T	Buy (add'l)	1/11/16	J		
44. TEMPLETON GLOBAL BOND ADVISOR CLASS (see section viii)	A	Dividend	J	T	Buy	01/04/17			
45. TEMPLETON GLOBAL BOND ADVISOR FD CLASS (TGBAX)	A	Dividend			Sold	1/4/17	J	B	
46. TEMPLETON GLOBAL BOND ADVISOR CLASS	A	Dividend			Closed	03/20/17	J	C	
47. AMERICAN CENTURY MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T					
48. AMERICAN BALANCED FUND (ABALX)	A	Dividend	J	T	Sold	6/17	J	B	
49. AMERICAN BOND FUND (ABNDX)	A	Dividend			Closed	6/26/17	J	A	
50. ISHARES RUSSELL 1000 GROWTH ETF (IWF)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		

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51. ISHARES RUSSELL 2000 GROWTH (IWO)	A	Dividend	J	T					
52. AMER CAPITAL GROWTH FUND (CWGIX)	A	Dividend	J	T					
53. TIAA-CREFF (VARIOUS FUNDS - SEE SECTION VIII)									
54. AMERICAN CENTRURY MID CAP VALUE INV SHS (ACMVX)	A	Dividend	J	T	Buy	1/1/16			
55. HARBOR CAPITAL APPRECIAT INSTL (HACAX)	A	Dividend			Closed	6/21/17	K	C	
56. DELAWARE VALUE FUND INST (DDVIX)	A	Dividend	J	T	Buy (add'l)	1/4/17	J		
57. CVS (CVS)	A	Dividend			Closed	8/14/17	J	B	
58. BYD CO. LTD (BYDDF)	A	Dividend	J	T	Buy	11/06/17	J		
59. BYD CP ;TD BYDDF	A	Dividend	J	T	Buy (add'l)	11/13/17	J		
60. ISHARES IBOXXS HIGH YEILD CORPORATE FUND (HYG)	A	Dividend	J	T	Open	06/26/17	J		
61. VANGUARD TELECOMM SRVCS ETF (VOX)	A	Dividend	J	T	Open	06/26/17	J		
62. SPDR S7P INSURANCE ETF (KIE)	A	Dividend	J	T	Open	06/26/17	J		
63. ISHARES IBOCXX \$ INVT GRAD CORP BD (LQD)	A	Dividend	J	T	Open	06/26/17	J		
64. ISHARESW MBS ETF ACTUAL PRICES (MBB)	A	Dividend	J	T	Open	06/26/17	K		
65. POWERSHARFES EM SOVEREIGN BOND USD (PCY)	A	Dividend	J	T	Open	06/26/17	J		
66. SPDR CONSUMER STPL ACTUAL (XLP)	A	Dividend	J	T	Open	06/26/17	J		
67. SPDR ENERGY (XLE)	A	Dividend	J	T	Open	06/26/17	J		

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68. spdr industrial (XLI)	A	Dividend	J	T	Open	06/26/17	J		
69. SPDR MATERIALS FUND (XLB)	A	Dividend	J	T	Open	06/26/17	J		
70. SPDR UTILITES (XLU)	A	Dividend	J	T	Open	06/21/17	J		
71. SPDR CONSUMER DISCRETIONARY (XLY)	A	Dividend	J	T	Open	06/21/17	J		
72. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T	Open	06/26/17	J		
73. VANGUARD FINANCIAL ETF (VFH)	A	Dividend	J	T	Open	06/26/17	J		
74. HEALTH CAFRE SELECT (XLV)	A	Dividend	J	T	Open	06/26/17	J		
75. VANGUARD INFORMATIN TECH ETF (VGT)	A	Dividend	J	T	Open	06/26/17	J		
76. ISHARES 3-7 YEAR TREAS BOND ETF (IEI)	A	Dividend	J	T	Open	06/26/17	J		
77. EVEFRSOURCE ENERGY COM ACUTAL (ES)	A	Dividend	J	T	Open	06/26/17	J		
78. ISHARES MSCI EAFE ACTUAL PRICES (ES)	A	Dividend	J	T	Open	06/26/17	J		
79. POWERSHARES PREFERRED PORTFOLIO	A	Dividend	J	T	Open	06/26/17	J		
80. VANGUARD SHORT TERM BOND (BSV)	A	Dividend	J	T	Open	06/26/17	J		
81. SPDR REAL ESTATE SELECT SECTOR (XLRE)	A	Dividend	J	T	Open	06/26/17	J		
82. VANGUARD INTERMED BOND FUND ETF (BIV)	A	Dividend	J	T	Open	06/26/17	K		
83. VANECK VECTORS J.P. MORGAN EM LOCAL (EMLC)	A	Dividend	J	T	Open	0/26/17	J		
84. ISHARES INC CORE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T	Open	06/26/17	J		

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85. AMERICAN NEW PERSEPCTIVE FD INC CL (ANWPX)	A	Dividend	J	T	Sold	06/26/17	J	C	
86. AMERICAN WASHINGTON MUT INVESTORS FUND (AWSHX)	A	Dividend	J	T	Sold (part)	06/26/17	J	C	
87. AMERICAN CAPITAL WORLD GROWTH (CWGIX)	A	Dividend	J	T	Sold (part)	06/26/17	J	C	
88. AMERICAN BOND FUND OF AMERICA (ABNDX)	A	Dividend	J	T	Sold	06/26/17	J	D	
89. ISHARES MSC EAFE ACUTAL PRICES (ES)	A	Dividend	J	T	Sold (part)	7/20/17	J	A	
90. ISHARES INC COFE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T	Sold	07/20/17	J	A	
91. ISHARES INC CORE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T	Sold	07/20/17	J	A	
92. SPDR CONSMRS STPL ACTUAL (XLP)	A	Dividend	J	T	Buy (add'l)	07/21/17	J		
93. SPDR HEALTH CARE SELECT (XLV)	A	Dividend	J	T	Buy (add'l)	07/21/17	J		
94. REAL ESTATE SELECT SPDR (XLRE)	A	Dividend	J	T	Buy	07/21/17			
95. SPDR ENERGY BSP (XLE)	A	Dividend	J	T	Sold (part)	07/21/17	J	A	
96. VANGARD NTERMEDIATE BOND ETF (BIV)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
97. ISHARFES MBS ETF TRADE (MBB)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
98. HEALTH CARE SELECT SPDR (XLV)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
99. SPDR ENERGE (XLE)	A	Dividend	J	T	Sold	07/20/17	J	A	
100. REAL ESTATE SPDR (XLRE)	A	Dividend	J	T	Sold	07/20/17	J	A	
101. SPDR CONMRS STPLE (XLP)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McKee, Theodore A.

Date of Report

09/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. SPDR CONSMRS (XLP)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
103. REAL ESTATE SELECT SPDR (XLRE)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
104. HEALTH CARE SELECT SPDR (XLV)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
105. ENERGY SECTOR SPDR (XLE)	A	Dividend	J	T	Closed	07/20/17	J	A	
106. REAL ESTATE SELECT SPDR (XLRE)	A	Dividend	J	T	Closed	07/20/17	J	A	
107. MATERIALS SELECT SECTOR SPDR (XLB)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
108. SECTOR UTILITIES SPDR (XLU)	A	Dividend	J	T	Buy (add'l)	07/20/17	J		
109. CONSUMER DISCRETIONARY SPDR (XLY)	A	Dividend	J	T	Open	6/20/17	J		
110. ISHARES TIP BOND ETF (TIP)	A	Dividend	J	T	Open	06/26/17	J		
111. MATERIALS SELECT SPDR (XLB)	A	Dividend	J	T	Buy (add'l)	09/27/17	J		
112. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T	Sold (part)	09/25/17	J	A	
113. CONSUMER DISCRETIONARY SPDR (XLY)	A	Dividend	J	T	Sold (part)	09/25/17	J	A	
114. VANGUARD FINANCIALS ETF ACTUL PRICE (VFH)	A	Dividend	J	T	Buy (add'l)	09/25/17	J		
115. ISHARES NASDAQ BIOTECH ETF (IBB)	A	Dividend	J	T	Buy	09/25/17	J		
116. HEALTH CARE SELECT SPDR (XLV)	A	Dividend	J	T	Closed	09/25/17	J	A	
117. FRANKLIN FLOATIG RATE DAILY ACCESS (FAFRX)	A	Dividend	J	T	Sold (part)	10/09/17	J	A	
118. VANGUARD TELECOM ETF (VOX)	A	Dividend	J	T	Buy (add'l)	10/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. SECTOR INDUSTRIAL SPDR (XLI)	A	Dividend	J	T	Sold (part)	10/24/17	J	A	
120. ISHARES INC CORE MSCI EMERGING MKTS (IEMG)	A	Dividend	J	T	Sold (part)	10/24/17	J		
121. VANGUARD INFORMATION TECH ETF (VGT)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
122. ISHARES IBOXX \$ INVT GRADE CORP BD LQD)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
123. ISHARES MSCI EAFE ACTUAL PRICES (EFA)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
124. ISHARES TIPS (TIP)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
125. VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
126. SECTOR SPDR CONSUMERS STIPL (XLP)	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
127. VANUARD SHORT TERM BOND (BSV)	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
128. ISHARFES 3-7 YEAR TREASURY BOND ETF (IEI)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
129. S&P INSURANCE ETF SPDR (KIE)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
130. ISHARES MBS ETF ACTUAL PRICE (MBB)	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
131. POWERSHARES EM SOVEREIGN BOND USD (PCY)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
132. VANGUARD FINANCIALS ETF (VFH)	A	Dividend	J	T	Buy (add'l)	12/07/17	J		
133. MATERIALS SELECG SECTOR SPDR (XLB)	A	Dividend	J	T	Sold (part)	12/07/17	J	A	
134. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T	Sold (part)	12/07/17	J	A	
135. VANGUARD TELECOM ETF (VOX)	A	Dividend	J	T	Sold (part)	12/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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McKee, Theodore A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. MATERIALS SELECT SECTOR SPDR FUND (XLB)	A	Dividend	J	T	Buy (add'l)	12/08/17			
137. VANGUARD ELECOM ETF (VOX)	A	Dividend	J	T	Sold (part)	12/08/17	J		
138. SPDR S&P INSURANCE ETF (KIE)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
139. VANGUARD FINANCIAL ETF ACTUAL (VFH)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
140. VANGUARD TELECOM (VOX)	A	Dividend	J	T	Sold (part)	12/08/17	J	A	
141. MATERIALS SELECT SPDR FUND A (XLB)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
142. VANGUARD INFORMATION TECH ETF (VGT)	A	Dividend	J	T	Buy (add'l)	12/08/17	J		
143. OPPENHEIMER INTL GROWTH FD Y	A	Dividend	J	T	Open	01/04/17	J		
144. T. ROWE PRICE REAL ESTATE FUND	A	Dividend	J	T	Open	02/27/17	J		
145. EATON VANCE GLOBAL MACRO ABSLE TR CL I	A	Dividend			Closed	03/20/17	J		
146. PIMCO REAL RETURN FUND CL 12	A	Dividend	J	T	Open	03/20/17	J		
147. LORD ABBETT TOTAL RETURNED CLASS F	A	Dividend	J	T	Open	06/13/17	J		
148. PGIM JENNISON GROWTH CL Z	A	Dividend	J	T	Open	06/13/17	J		
149. FEDERATED SHT INTER TOTAL RETURN B IS	A	Dividend	J	T	Open	12/08/17	J		
150. FEDERATED TOTAL RETURN BOND IS	A	Dividend	J	T	Closed	12/8/17	J		
151. LORD ABBETT TOTL RETURNED CLASS F	A	Dividend			Closed	12/08/17	J		
152. LORD ABBETT SHORT DURATION INCOME CL F	A	Dividend	J	T	Buy	12/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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McKee, Theodore A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

153. EATON VANCE GLOBAL MACRO ABSLTE RT CL I	A	Dividend	J	T	Buy	01/04/17	J		
154. TIAA-CREFF (VARIOUS FUNDS - SEE SECTION VIII)									
155.									
156.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part I. Positions

Part III - Non Investment Income

I netted approximately \$10,000 from a rental property we own. We have owned this property for many years but it was never really intended to produce income. Rather, it is a multi unit dwelling where [REDACTED] had an apartment prior to their death. We purchased it primarily for their support, not for income.

LINE 43 PART VII - TEMPLETON GLOBAL BOND ADVISOR CLASS - I HAVE THIS LISTED AS BOTH A SALE AND PURCHASE ON 1/4. IT APPEARS THAT ONE IRA ACCOUNT SOLD THE FUND THAT DAY AND ANOTHER ACQUIRED IT. THUS, THE DOUBLE LISTING.

Part VII, Line 8: Note that I have again corrected the ticker symbol for this fund. It is FAFRX.

SECTION VII, LINE 154 TIAA-CREF: I HAVE NOT YET HEARD BACK FROM THE ADVISER AT TIAA-CREF IN RESPONSE TO A REQUEST FOR THE VARIOUS HOLDINGS HELD IN THIS IRA. I WILL INCLUDE THIS INFORMATION IN AN AMENDED REPORT ONCE I HAVE IT. HOWEVER, I WANT TO REITERATE THAT WE HAVE ABSOLUTELY NO CONTROL OVER THOSE INVESTMENTS. THE INVESTMENT ADVISOR WHOM WE WORK WITH SELECTS VARIOUS FUNDS FOR OUR RETIREMENT ACCOUNTS, WE DO NOT. WE ALSO DO NOT GIVE HIM ANY INSTRUCTIONS AS TO WHEN TO SELL ANY SUCH FUNDS OR ACQUIRE NEW ONES.

NOTE:

IN ADDITION TO THE ABOVE EXPLANATIONS, PLEASE NOTE THAT WHEN I FILE THE AMENDED FDR THAT INCLUDES INFORMATION FROM TIAA-CREF, I WILL TRY TO BETTER ORGANIZE THE INFORMATION REPORTED ON THIS FORM. FRANKLY, I HAVE FOUND SOME OF THE REQUESTED INFORMATION CONFUSING. FOR EXAMPLE, WHAT IS THE DIFFERENCE BETWEEN "CLOSED" AND "SOLD"? IN COLUMN D1? I ASSUME THAT IF AN INVESTMENT IS "SOLD" IT IS THEREBY "CLOSED" AS YOU ALSO HAVE AN OPTION FOR NOTING A PARTIAL SALE. THERE IS SIMILAR CONFUSION REGARDING "BUY" AS THERE IS AN OPTION FOR "OPEN" AS WELL AS "BUY ADDITIONAL" BUT THERE IS ALSO AN OPTION TO JUST LIST "BUY." BUT ANY PURCHASE WOULD EITHER BE TO OPEN A HOLDING OR TO BUY ADDITIONAL. I HAVE NO DOUBT THAT, BECAUSE OF THESE AMBIGUITIES, THERE MAY WELL BE SOME CONFUSING OR CONTRADICTIONARY ENTRIES ON THIS FORM.

The details of the holdings in TIAA CREF retirement accounts for 2017 for myself and/or my wife are as follows (the income for each item during 2017 was code A; the value at the end of 2017 was code J using method T:

Ticker Symbol Name of Investment

AGC - ISHARES TR-CORE US AGGBD ET
AVLX - AMERICAN CENTY CAP PORTFOLIO - VALUE FD INSTL
BSV - VANGUARD D INDEX FD INCSHORT TERM BOND
DPFFX - DELAWARE POOLED TR-DIVERS INCM I
HLMEX - HARDING LOEVNER FDS INCS-INST EMGRN I
IWN - ISHARES TR-RUS 2000 VAL ETF
IWS - ISHARES TR-RUS MDCP VAL ETF
JENIX - JENSEN FUNDS ENSN QLT GRW
MHYIX - MFS SER TR V - INTL NEW DIS I
PELBX - PINCO FDS - EMRGLCL BD INS
TCIEX - TIAA-CREF MUT FDS-INTL EQIDX ISTL
TILIX - TIAA-CREF MUT FDS-LGCP GWIDX INSTL
TIP - ISHARES TR-TIPS BE ETF
UISBX - USAA MUT FDS TR-SHORT-TERM BD

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Theodore A. McKee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544