

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) McConnell Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 5/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
5b. ☐ Amended Report		
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer and Board Member	Crossroads RI
2. Member	Marthas Vineyard Colonial Inn LLC
3. Board Member	Institute for the Study & Practice of NonViolence
4. Emeritus Board Member	Trinity Repertory Company
5. Custodian	Alliance Capital 529 College Fund #2
6. Trustee	Family Trust #1
7. Board Member	Downtown Providence Parks Conservancy
8. Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship
9. Board Member	Federal Judges' Association

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)

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3. 5/13/2011 Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2018	Deferred Compensation, MRRM PA	\$2,456,993.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Diners Club	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	B	Interest	N	T					
3. --Affiliated Managers Group	A	Dividend	M	T	Buy (add'l)	12/17/18	L		
4. --Apple Inc	B	Dividend	M	T					
5. --Applied Materials Inc	B	Dividend	M	T	Buy (add'l)	12/17/18	L		
6. --CBOE Holdings Inc	B	Dividend	M	T					
7. --Celgene Corp		None			Sold	12/17/18	K		
8. --Charles Schwab Corp	A	Dividend	L	T					
9. --CME Group	D	Dividend	M	T					
10. --Danaher Corp	A	Dividend			Sold	12/17/18	M	E	
11. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	K	T					
12. --Fedex Corp	B	Dividend	L	T					
13. --Fireeye Inc		None	J	T					
14. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T					
15. --Global X	A	Dividend	K	T					
16. --Hologic Inc		None	L	T	Buy	08/01/18	L		
17. --Intel Corp	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Invesco Golden Dragon China ETF (formerly Powershares)	A	Dividend	L	T					
19. --Invesco Tr II	A	Dividend	K	T	Buy	12/17/18	K		
20. --IQVIA Hldgs Inc		None	M	T	Buy (add'l)	01/24/18	K		
21. --Ishares China	A	Dividend			Sold	12/17/18	K	C	
22. --Ishares NASDAQ	A	Dividend	K	T					
23. --Ishares TRMSCI Emerging Mkts Index Fd	B	Dividend	K	T					
24. --Ishares Tr Russell 2000 Index Fd	C	Dividend	M	T					
25. --Ishares Transportation Average ETF	B	Dividend	M	T					
26. --Ishares US Broker Dealers	A	Dividend	K	T					
27. --Johnson & Johnson	B	Dividend	L	T					
28. --Lam Research Corp	C	Dividend	K	T	Sold (part)	12/17/18	L		
29. --Lattice Semiconductor Corp		None			Sold (part)	01/12/18	K		
30.					Sold	01/16/18	J		
31. --Morgan Stanley	B	Dividend	L	T					
32. --Proshares Trust ETF		None			Buy	01/25/18	L		
33.					Sold	06/19/18	L	B	
34. --Qualcomm Inc	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --SPDR Gold Trust		None	K	T					
36. --SPDR S&P Biotech ET	A	Dividend	M	T					
37. --SPDR S&P Midcap 400 Trust Series N	C	Dividend	N	T					
38. --SPDR S&P 500 Trust	D	Dividend	N	T	Sold (part)	01/25/18	L	E	
39. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	M	T					
40. --SPDR S&P Semiconductor ETF	A	Dividend	L	T					
41. --Stryker Corp	B	Dividend	M	T					
42. --Thermo Fisher Scientific Inc	A	Dividend	M	T					
43. --United Natural Foods Inc		None			Sold	07/27/18	J		
44. --Vanguard Mid Cap ETF	A	Dividend	K	T					
45. --Vanguard S&P 500	C	Dividend	M	T					
46. --Wisdomtree Investments	A	Dividend	K	T	Sold (part)	12/17/18	J		
47. --Xilinx Inc	D	Dividend	N	T					
48.									
49. Family Trust #1 (H)									
50. --Wells Fargo Money Fund	C	Interest	P1	T					
51. --Affiliated Managers Group	A	Dividend	M	T	Buy (add'l)	12/17/18	L		

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52. --Apple Inc	C	Dividend	M	T					
53. --Applied Materials Inc	B	Dividend	M	T	Buy (add'l)	12/17/18	L		
54. --CBOE Holdings Inc	B	Dividend	M	T					
55. --Celgene Corp		None			Sold	12/17/18	K		
56. --Charles Schwab Corp	A	Dividend	L	T					
57. --CME Group	D	Dividend	M	T					
58. --Danaher Corp	A	Dividend			Sold	12/17/18	M	E	
59. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	L	T					
60. --Fedex Corp	B	Dividend	L	T					
61. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T					
62. --GlobalX	A	Dividend	K	T					
63. --Hologic Inc		None	L	T	Buy	08/01/18	L		
64. --Intel Corp	B	Dividend	L	T					
65. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	L	T					
66. --Invesco Tr II		None	K	T	Buy	12/17/18	K		
67. --IQVIA Hldgs I(nc)		None	M	T	Buy (add'l)	01/24/18	K		
68. --Ishares China	A	Dividend			Sold	12/17/18	K	C	

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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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69. --Ishares NASDAQ	A	Dividend	K	T					
70. --ISHRS Russell 2000 Index	C	Dividend	M	T					
71. --Ishares TR MSCI Emergin Mkts Index Fd	A	Dividend	K	T					
72. --Ishares Transportation Average ETF	B	Dividend	M	T					
73. --Ishares US Broker Dealers	A	Dividend	K	T					
74. --Johnson & Johnson	B	Dividend	L	T					
75. --Lam Research Corp	C	Dividend	K	T	Sold (part)	12/17/18	L		
76. --Lattice Semiconductor Corp		None			Sold (part)	01/12/18	K		
77.					Sold	01/16/18	J		
78. --Morgan Stanley	B	Dividend	L	T					
79. --Proshares Trust ETF		None			Buy	01/25/18	L		
80.					Sold	06/15/18	L	B	
81. --Qualcomm Inc	D	Dividend	M	T					
82. --SPDR Gold Trust		None	K	T					
83. --SPDR S&P 500 Trust	C	Dividend	M	T	Sold (part)	01/25/18	L	E	
84. --SPDR S&P Biotech	A	Dividend	M	T					
85. --SPDR S&P Midcap 400	C	Dividend	M	T					

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86. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	M	T					
87. --SPDR S&P Semiconductor ETF	A	Dividend	L	T					
88. --Stryker	B	Dividend	M	T					
89. --Thermo Fisher Scientific Inc	A	Dividend	M	T					
90. --United Natural Foods Inc		None			Sold	07/27/18	J		
91. --Vanguard Mid Cap ETF	A	Dividend	K	T					
92. --Vanguard S&P 500 ETF	C	Dividend	M	T					
93. --Wisdomtree Investments	A	Dividend	K	T	Sold (part)	12/17/18	J		
94. --Xilinx Inc	D	Dividend	N	T					
95.									
96. IRA #1 (H)									
97. --Wells Fargo Cash	A	Interest	J	T					
98. --Affiliated Managers Group	A	Dividend	J	T					
99. --Apple Inc	A	Dividend	J	T					
100. --Applied Materials Inc	A	Dividend	J	T					
101. --CBOE Holdings Inc	A	Dividend	J	T					
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104. --Danaher Corp	A	Dividend	J	T					
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107. --Hologic Inc		None	J	T	Buy	08/01/18	J		
108. --Intel Corp	A	Dividend	J	T					
109. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	J	T					
110. --Invesco S&P 500 Equal Wghtd Index Fd (formerly Guggenheim)	A	Dividend	J	T					
111. --IQVIA Hldgs Inc		None	J	T					
112. --iShares Core MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	06/05/18	J		
113. --iShares Russell Microcap Index Fund	A	Dividend	J	T					
114. --iShares TR MSCI Emerging Mkts Index Fd					Sold	06/05/18	J		
115. --iShares NASDAQ Biotech	A	Dividend	J	T					
116. --iShares Transportation Average ETF	A	Dividend	J	T					
117. --iShares US Broker Dealers	A	Dividend	J	T					
118. --Johnson & Johnson	A	Dividend	J	T					
119. --Lam Research Corp	A	Dividend	J	T	Buy (add'l)	01/22/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Morgan Stanley	A	Dividend	J	T					
121. --Qualcomm Inc	A	Dividend	J	T					
122. --SPDR S&P Biotech	A	Dividend	J	T					
123. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
124. --Stryker	A	Dividend	J	T					
125. --Thermo Fisher Scientific Inc	A	Dividend	J	T					
126. --Vanguard Mid Cap	A	Dividend	J	T					
127. --Vanguard MSCI ETF Emerging	A	Dividend	J	T					
128. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
129. --Vanguard Russell 2000 Value	A	Dividend	J	T					
130. --Vanguard S&P 500	A	Dividend	J	T					
131. --Walmart	A	Dividend	J	T					
132. --Wisdomtree Investments	A	Dividend	J	T					
133.									
134. IRA #2 (H)									
135. --Wells Fargo Money Fund	A	Interest	J	T					
136. --Affiliated Managers Group	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Apple Inc	A	Dividend	J	T					
138. --Applied Materials	A	Dividend	J	T					
139. --CBOE Holdings Inc	A	Dividend	J	T					
140. --Celgene Corp		None	J	T					
141. --CME Group	A	Dividend	J	T					
142. --Danaher Corp	A	Dividend	J	T					
143. --Fedex Corp	A	Dividend	J	T					
144. --Global X	A	Dividend	J	T					
145. --Hologic inc		None	J	T	Buy	08/01/18	J		
146. --Intel Corp	A	Dividend	J	T					
147. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	J	T					
148. --Invesco TR S&P 500 Equal Weight (formerly Guggenheim)	A	Dividend	J	T					
149. --IQVIA Hldgs Inc		None	J	T					
150. --Ishares Core MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	06/05/18	J		
151. --Ishares NASDAQ	A	Dividend	J	T					
152. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
153. --Ishares TR MSCI Emerging Mkts Index Fd		None			Sold	06/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Ishares Transportation Average ETF	A	Dividend	J	T					
155. --Ishares US Brokers Dealers	A	Dividend	J	T					
156. --Johnson & Johnson	A	Dividend	J	T					
157. --Lam Research Corp	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
158. --Morgan Stanley	A	Dividend	J	T					
159. --Qualcomm Inc	A	Dividend	J	T					
160. --SPDR S&P Biotech	A	Dividend	J	T					
161. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
162. --Stryker Corp	A	Dividend	J	T					
163. --Thermo Fisher Scientific	A	Dividend	J	T					
164. --Vanguard Mid Cap	A	Dividend	J	T					
165. --Vanguard MSCI EAFE	A	Dividend	J	T					
166. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
167. --Vanguard Russell 2000 Value	A	Dividend	J	T					
168. --Vanguard S&P 500	A	Dividend	J	T					
169. --Walmart	A	Dividend	J	T					
170. --Wisdomtree Investments	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. IRA #3 (H)									
173. --Wells Fargo Money Fund	A	Interest	M	T					
174. --Affiliated Managers Group	A	Dividend	K	T					
175. --Apple Inc	B	Dividend	M	T					
176. --Applied Materials Inc	A	Dividend	K	T					
177. --CBOE Holdings Inc	A	Dividend	L	T					
178. --Celgene Corp		None			Sold (part)	01/26/18	K	C	
179.					Sold	06/05/18	K		
180. --CME Group	C	Dividend	L	T					
181. --Danaher Corp	A	Dividend			Sold	06/05/18	K	D	
182. --EFT Managers Tr	A	Dividend	K	T	Buy (add'l)	06/06/18	K		
183. --Fedex Corp	A	Dividend	K	T					
184. --Global X	A	Dividend	J	T					
185. --Hologic Inc		None	L	T	Buy	08/01/18	L		
186. --Intel Corp	B	Dividend	K	T					
187. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	K	T	Buy (add'l)	06/05/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Invesco Small Cap Health Care	A	Dividend	K	T	Buy	06/07/18	K		
189. --Invesco TR S&P 500 Equal Weight (formerly Guggenheim)	C	Dividend	M	T					
190. --IQVIA Hlds Inc		None	L	T					
191. --Ishares TRMSCI Emerging Mkts Index Fd		None			Sold	06/05/18	L	A	
192. --iShares Transportation Average ETF	A	Dividend	K	T					
193. --Johnson & Johnson	B	Dividend	K	T					
194. --Lam Research Corp	B	Dividend	K	T	Buy (add'l)	01/22/18	J		
195. --Morgan Stanley	B	Dividend	K	T					
196. --Proshares Trust ETF		None			Buy	01/26/18	K		
197.		None			Sold	06/19/18	K	A	
198. --Qualcomm Inc	B	Dividend	K	T					
199. --SPDR Gold Trust		None			Sold	06/05/18	K		
200. --SPDR S&P Bank ETF	A	Dividend	K	T	Buy	06/06/18	K		
201. --SPDR S&P Biotech ET	A	Dividend	L	T	Buy (add'l)	06/06/18	K		
202. --SPDR S&P Semiconductor ETF		None	K	T	Buy	06/06/18	K		
203. --Stryker Corp	A	Dividend	L	T					
204. --Thermo Fisher Scientific	A	Dividend	K	T					

1. Income Gain Codes:	A --\$1,000 or less	B --\$1,001 - \$2,500	C --\$2,501 - \$5,000	D --\$5,001 - \$15,000	E --\$15,001 - \$50,000
(See Columns B1 and D4)	F --\$50,001 - \$100,000	G --\$100,001 - \$1,000,000	H1 --\$1,000,001 - \$5,000,000	H2 --More than \$5,000,000	
2. Value Codes	J --\$15,000 or less	K --\$15,001 - \$50,000	L --\$50,001 - \$100,000	M --\$100,001 - \$250,000	
(See Columns C1 and D3)	N --\$250,001 - \$500,000	O --\$500,001 - \$1,000,000	P1 --\$1,000,001 - \$5,000,000	P2 --\$5,000,001 - \$25,000,000	
	P3 --\$25,000,001 - \$50,000,000		P4 --More than \$50,000,000		
3. Value Method Codes	Q --Appraisal	R --Cost (Real Estate Only)	S --Assessment	T --Cash Market	
(See Column C2)	U --Book Value	V --Other	W --Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Vanguard Mid Cap	A	Dividend			Sold	06/05/18	M	F	
206. --Vanguard MSCIEAFE	B	Dividend	K	T					
207. --Vanguard Russell 2000 Growth	A	Dividend	M	T					
208. --Vanguard Russel 2000 Value	B	Dividend	L	T					
209. --Vanguard S&P 500	C	Dividend	M	T					
210. --Walmart	A	Dividend			Sold	06/05/18	K	C	
211. --Wisdomtree Investments	A	Dividend	K	T					
212. --Xilinx Inc	A	Dividend	L	T					
213.									
214. Bank RI Accounts #1	A	Interest	J	T					
215. Bank RI Accounts #2	A	Interest	K	T					
216. Bank RI Accounts #3	A	Interest	K	T					
217. Navigant Credit Union	A	Interest			Closed	09/01/18	J		
218. USAA Accounts #1	C	Interest	N	T					
219.									
220. Alliance Capital 529 College Fund #2 (H)									
221. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.									
223. Catalyst LLC	A	Interest	K	U					
224. DSF Capital Partners III LP	D	Distribution			Distributed	06/15/18	J		
225. DSF Capital Partners IV LP	G	Distribution	J	U					
226.									
227. MetLife Universal Life		None	N	T					
228. Sun Life Universal Life #1		None	O	T					
229. Sun Life Universal Life #2		None	O	T					
230. Sun Life Universal Life #3		None	O	T					
231.									
232. U.S. Savings Bonds	A	Interest	J	T					
233.									
234. Martha's Vineyard Colonial Inn LLC	F	Distribution	P1	U					
235.									
236. Brokerage # 2 (H)									
237. --Ameritrade Insured Deposit Account	A	Interest	J	T					
238. --Amazon.com Inc		None	K	T	Buy (add'l)	12/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --Annaly Capital Management Inc	A	Dividend	J	T					
240. --Apple Inc	A	Dividend			Sold (part)	07/25/18	J	B	
241.					Sold	08/02/18	J	A	
242. --AT&T Corp NFSC	A	Dividend	J	T					
243. --Cardinal Health Inc	A	Dividend	J	T					
244. --Coca Cola	A	Dividend	J	T					
245. --Exelon Corp	A	Dividend	J	T					
246. --Exxon Mobil	A	Dividend	J	T					
247. --Facebook Inc		None	J	T	Buy	08/01/18	J		
248.					Buy (add'l)	08/21/18	J		
249. --Ford Motor Co	A	Dividend	J	T	Buy (add'l)	11/15/18	J		
250. --General Electric	A	Dividend			Sold (part)	06/27/18	J		
251.					Sold (part)	07/05/18	J		
252.					Sold	07/06/18	J		
253. --General Mills Inc	A	Dividend	J	T					
254. --Intel Corp	A	Dividend	J	T					
255. --iShares Core S&P 500 ETF	A	Dividend	K	T	Buy (add'l)	12/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	12/06/18	J		
257. --JPMorgan Chase & Co	A	Dividend	J	T	Buy (add'l)	12/04/18	J		
258.					Sold (part)	08/06/18	J	C	
259. --Kinder Morgan Inc	A	Dividend	J	T					
260. --Microsoft Corp	A	Dividend	J	T					
261. --New York Community Bancorp Inc	A	Dividend	J	T					
262. --Pfizer Inc	A	Dividend	K	T					
263. --Procter&Gamble	A	Dividend	J	T					
264. --Symantec Corp	A	Dividend	J	T					
265. --Verizon	A	Dividend	J	T					
266. --Waste Management Inc	A	Dividend	J	T					
267.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. McConnell Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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