

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reade, Linda R.	2. Court or Organization District Court ND Iowa	3. Date of Report 08/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 111 7th Ave SE Cedar Rapids, IA 52401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 08/01/93	State of Iowa and filer-Iowa Judicial Retirement Plan. The state is paying me retirement income as of February 2013.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	State of Iowa judicial retirement annuity	\$31,958.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	May 5-6, 2018	Washington DC	Board Meeting	transportation, lodging and food
2. US Dept of Justice	May 14-17, 2018	Colombia SC	teaching trial advocacy	transportation, lodging and food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Accounts	A	Interest	M	T					
2. CSCO Stock	A	Dividend	J	T					
3. Medtronic Inc. Stock	A	Dividend	J	T					
4. Deferred Comp Plan									
5. -Stock Index Fund-Valic	A	Dividend	J	T					
6. -Science & Technology Fund-Valic	A	Dividend	J	T					
7. -Putnam New Opportunity Fund-Valic	A	Dividend	J	T					
8. -Putnam Global Gr Fund-Valic	A	Dividend	J	T					
9. -American Cent Ultra Fund-Valic	A	Dividend	J	T					
10. Retirement Account (H)									
11. -Schwab money market	A	Interest	K	T					
12. -AT&T	D	Dividend	L	T					
13. -Altria Group, Inc (MO)	C	Dividend	K	T					
14. -American Airlines (AAL)	A	Dividend	K	T					
15. -American Int'l Grp (AIG)	B	Dividend	K	T					
16. -Annaly Capital Mgmt Reit (NLY)	E	Dividend	M	T					
17. -Apple (APPL)	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Alamos Gold (AGI)	A	Dividend	J	T					
19. -Axon Enter (AAXN) formerly Taser		None			Sold	03/05/18	J	A	
20. -Applied Materials (AMAT)	A	Dividend	K	T					
21. -Barrick Gold Corp (ABX)	A	Dividend	J	T					
22. -Blackrock Health Sciences Fund (SHSAX)	D	Distribution	M	T					
23. -Boeing (BA)	B	Dividend	M	T					
24. -Brightview Holdings (BV)		None			Buy	08/20/18	J		
25.					Sold	09/14/18	J	A	
26. -British Petro (BP)	B	Dividend	K	T					
27. -Carlyle Grp (CG)	C	Dividend	L	T					
28. -Caterpillar (CAT)	A	Dividend	J	T	Buy	09/24/18	K		
29. -Cytokinetics Inc (CYTK)		None	K	T					
30. -DDR Corp	A	Dividend			Sold	04/25/18	J		
31. -Delta Airlines (DAL)	A	Dividend			Sold	11/26/18	K	B	
32. -Doubleline Inc (DSL)	D	Dividend	L	T					
33. --Enbridge Energy (EEP)	B	Dividend			Merged (with line 34)	12/20/18	J		
34. -Enbridge Inc. (ENB)		None	J	T					

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35. -Ford (F)	A	Dividend			Sold (part)	01/23/18	J		
36.					Sold	12/19/18	J		
37. -First Data Corp (FDC)		None	K	T					
38. -General Electric Co (GE)	B	Dividend	K	T					
39. -Goldcorp Inc. F (GG)	A	Dividend	J	T					
40. -Glaxosmith (GSK)	B	Dividend			Buy	01/03/18	K		
41.					Sold	11/26/18	K	D	
42. -High-Crush Partners LP(HCLP)	D	Dividend	J	T	Buy	01/22/18	K		
43. -Hovnanian (HOV)		None	J	T					
44. -Icalm Ent (IEP)	C	Dividend	K	T					
45. -Lam Research (LRCX)	B	Dividend	K	T	Buy	01/24/18	L		
46. -Lumentum Holdings (LITE)		None	K	T					
47. -JP Morgan Chase (JPM)	C	Dividend	M	T					
48. -Microsoft (MSFT)	B	Dividend	M	T					
49. -Morgan Stanley (MS)	A	Dividend	K	T	Buy (add'l)	12/04/18	K		
50. -Novartis (NVS)		None			Sold	01/24/18	L	E	
51. -Orion(OEC)	B	Dividend	L	T					

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52. -Ovascience (OVAS)		None			Merged (with line 53)	12/10/18	J		
53. -Millendo Therapeutics (MLND)		None	J	T					
54. -Performance Food Group (PFGC)		None	K	T					
55. -Pepsico (PEP)	B	Dividend			Sold	11/26/18	K	D	
56. -Pfizer (PFE)	B	Dividend	L	T					
57. -Pimco (PTY)	D	Dividend	M	T					
58. -Principal Financial (PFG)	D	Dividend	M	T	Sold (part)	08/21/18	L	E	
59. -Royal Dutch Shell (RDSA)	C	Dividend	K	T					
60. -Safe Bulkers (SB)		None	J	T					
61. -Schlumberger (SLB)	A	Dividend	K	T					
62. -Tsakos Energy (TNP)	A	Dividend	J	T					
63. -VISA	A	Dividend	M	T					
64. -Vodafone (VOD)	B	Dividend	K	T					
65. -Xerox (XRX)	B	Dividend	K	T					
66. -Yamana Gold Incoo (AVY)	A	Dividend	J	T					
67. McVean Trading and Investment	A	Distribution	J	T					
68. Farm 1 Dallas Co., IA	D	Distribution	M	W					

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69. Farm 3 Dallas Co. IA	C	Distribution	L	W					
70. Farm 5 Adair Co, IA	E	Rent	N	W					
71. Farm 7 Adair Co, IA	C	Distribution	L	W					
72. Farm 10 Adair Co, IA	E	Rent	N	W					
73. Farm 14 Adams Co, IA	E	Rent	M	W					
74. Farm 15 Adams Co, IA	D	Rent	L	W					
75. Farm 20 Decatur County, IA	B	Distribution	J	W					
76. Cincinnati Life Insurance Whole Life Policy		None	M	T					
77. Mineral Interest,Dallas County, IA (Y)	A	Royalty							
78. Scout Energy	A	Royalty	J	W					
79. Continental Resources (X)	B	Royalty	J	W					
80. Denbury(X)	A	Royalty	J	W					
81. US Savings Bond(y)		None			Redeemed	03/08/18	J	A	
82. Brokerage I									
83. -Schwab money market account	A	Interest	L	T					
84. -American Airlines Grp (AAL)	A	Dividend	L	T					
85. -At & T (T)	C	Dividend	L	T					

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86. -Agenius(AGEN)		None	K	T	Buy (add'l)	08/20/18	J		
87.					Buy (add'l)	09/21/18	J		
88. -Air Canada (ACDVF)		None	L	T					
89. -Apache Corp (APA)	A	Dividend	J	T					
90. -Applied Materials (AMAT)	A	Dividend	K	T	Buy (add'l)	05/25/18	K		
91. -Blackstone (BX)	C	Dividend	L	T	Buy (add'l)	03/23/18	K		
92. -Boeing (BA)	B	Dividend	L	T					
93. -Caterpillar Inc (CAT)	A	Dividend	L	T	Buy (add'l)	09/24/18	K		
94. -Carlyle Grp (CG)	C	Distribution	L	T	Sold (part)	01/02/18	J	C	
95.					Sold (part)	01/08/18	J	C	
96. --Cytokinetics (CYTK)		None	K	T					
97. -Delta (DAL)	B	Dividend	L	T	Buy (add'l)	06/05/18	K		
98. -Flextronics Int (FLEX)		None			Sold	12/19/18	K		
99. -Ford Motor (F)	A	Dividend			Sold	08/30/18	J		
100. -General Motors (GM)	B	Dividend			Sold (part)	05/31/18	K	C	
101.					Sold	11/26/18	K	B	
102. -Incyte (INCY)		None	K	T	Buy	01/02/18	K		

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103.					Buy (add'l)	03/20/18	K		
104. -Invesco (IVZ)	A	Dividend	K	T	Buy	05/11/18	K		
105. Microsoft (MSFT)	A	Dividend	J	T	Buy	04/02/18	J		
106. -Orion Eng ((OEC)	A	Dividend	K	T					
107. -Ovascience Inc (OVAS)		None			Sold	12/03/18	J		
108. -Pimco Opp Fund (PTY)	A	Dividend			Sold	12/03/18	J		
109. -Principal Finan (PFG)	A	Dividend	J	T					
110. -Royal Dutch Shell (RDSA)	C	Dividend	K	T					
111. Time Warner (TWX)	A	Dividend			Buy	03/26/18	J		
112.					Merged (with line 85)	06/15/18	J		
113. -Total SA (TOT)	C	Dividend	L	T					
114. -Vanguard (VTSMX)	C	Dividend	M	T	Buy	06/11/18	M		
115. -Veracyte(VCYT)		None	L	T					
116. -Verastem (VSTM)		None	L	T	Sold (part)	08/30/18	K	C	
117.					Buy (add'l)	09/25/18	J		
118. -Viewray (VRAY)		None	K	T					
119. -Vodafone (VOD)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 5-9 The dividend amounts (if there are any) are not detailed in the statement I receive

Line 77 The producer stopped mining in 2017 because gravel ran out; however in 2018 during reclamation some previously mined gravel was sold.

Line 68 The income is crops

Line 69 The income is crops and crop insurance

Line 71 The income is crops and crop insurance

Line 75 The income is CRP (\$1913)

Lines 78-80 This is my husband's income. In prior reports I listed all income under "Scout Energy...". I now am able to break out the royalties in more detail by producer

Line 81 This is a savings bond that belonged to my husband. I knew nothing about it so I did not previously report it. It was cashed in for face value of \$500 plus interest of \$100

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Reade, Linda R.

Date of Report

08/08/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Linda R. Reade**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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