

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Polster, Dan A.	2. Court or Organization U.S. Dist. Ct., ND Ohio	3. Date of Report 10/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Judge 18B Carl B. Stokes U.S. Court Cleveland, Ohio 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lifetime Trustee	Mandel Jewish Day School (formerly Agnon School)
2. Trustee	Siegal Lifelong Learning Center/CWRU
3. Secretary and Trustee	Jewish Education Center of Cleveland
4. Trustee	Jewish Federation of Cleveland
5. Trustee	Bellefaire Jewish Children's Bureau
6. Trustee	family trust
7. Board of Directors	Federal Bar Assn (Cleveland chapter)
8. Vice Chairman and Board of Directors	Mt. Sinai Health Care Foundation
9. Board of Directors	Global Cleveland
10. Board of Directors	William K. Thomas Inn of Court
11. Trustee	Mandel Foundation
12. Trustee	Mandel Supporting Foundation
13. Trustee	Yanowitz Supporting Foundation
14. Treasurer and Board of Directors	Federal Judges Association

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐

NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 1998	U.S. Civil Service Retirement System (CSRS) vested pension for Dept. of Justice service (1976-1998); to be paid upon retirement from judicial service
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2.	
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3.	
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Park Synagogue (Stipend for teaching 9th and 10th grade classes)	\$1,180.00
2. 2018	Cleveland Marshal School of Law (compensation for teaching one class)	\$2,800.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Coleman Law LLC (sole practice)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Ohio Supreme Court	3/12--3/13/2018	Columbus, Ohio	Speak at conference	hotel, meals, mileage
2.	Federal Judges Association	5/5--5/6/2018	Washington, D.C.	Attend board meeting	hotel, meals
3.	NYU Law School	3/22--3/23/2018	New York City, NY	Teach class	Air fare, hotel, meals
4.	Harvard Law School	4/19--4/21/2018	Cambridge, Mass.	Speak to students as part of Bicentennial	Air fare, hotel, meals
5.	Emory University	6/2--6/2018	Berkeley, CA	Participate in seminar	Air fare, hotel, meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Merrill Lynch Bank Deposit Program (Bank of America NA)	A	Interest	J	T					
2. Oakmark Intl Fund	A	Dividend	J	T					
3. Baron Growth Fd	A	Dividend	J	T					
4. GNM P41107 bonds	B	Dividend	J	T					
5. Cohen & Steers Reit Fd	B	Dividend	K	T	Sold (part)	03/12/18	K	B	
6. Mainstay Large Cap Fd	A	Dividend			Sold	10/26/18	K	B	
7. Mainstay Floating Rate Fd	A	Dividend	J	T	Buy	08/22/18	J		
8. Amer.Funds Wash Fd	A	Dividend	J	T					
9. Cohen & Steers Pref	A	Dividend	J	T					
10. Blackrock Multi Asset Fd	A	Dividend	J	T					
11. Nuveen Real Asset Income Fd	A	Dividend	J	T					
12. Oppenheimer Senior Floating Rate Fd	A	Dividend	K	T					
13. James Alpha Global Real Estate Invest Fd	A	Dividend	J	T					
14. Pimco Income Fd	A	Dividend	K	T					
15. Principal Global Diversified Inc. Fd	A	Dividend			Sold	08/22/18	J	A	
16. Allianzgi Dividend Fd	A	Dividend	J	T	Buy	08/22/18	J	A	
17. US Treasury Bill	A	Interest	J	T	Buy	11/05/18			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19. Liberty Acorn Tr Fd	A	Dividend			Sold	12/12/18	K	A	
20. Celgene Corp	A	Dividend	K	T					
21. Chevron/Texaco	B	Dividend	K	T					
22. Intel	A	Dividend	K	T					
23. Wells Fargo Bank Deposit Sweep Program	A	Dividend	K	T					
24. Costco Wholesale Corp	A	Dividend	K	T					
25. Vanguard Mid-Cap Fd	A	Dividend	K	T					
26. Microsoft Corp.	A	Dividend	L	T					
27. FedEx Corp.	A	Dividend	K	T					
28. Du Pont De Nemours (now DowDupont)	A	Dividend	K	T					
29. Facebook Inc.	A	Dividend	L	T					
30. Walt Disney Co. Corporate Bond	A	Interest			Redeemed	09/17/18	K	A	
31. Ralph Lauren Corp. Bond	A	Interest			Redeemed	09/26/18	K	A	
32. US Treasury Bill	A	Interest	L	T	Buy	12/11/18	L		
33.									
34. Tenneco common	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Embark (spinoff)	A	Dividend	J	T					
36. Windstream (spinoff)	A	Dividend	J	T					
37. CS&L Reit	A	Dividend	J	T					
38. Nomura Partners Japan Fund Class S	A	Dividend	J	T					
39. Unicom (formerly Comm. Ed. Preferred)	A	Dividend	J	T					
40. Roulston Growth Fd	A	Dividend	J	T					
41. PNC Bank (formerly National City)--check	A	Interest	J	T					
42. PNC Bank-check	A	Interest	J	T					
43. PNC Bank--CD	A	Interest	J	T					
44. PNC Bank--CD	A	Interest	J	T					
45. Ohio Savings - savings	A	Interest	J	T					
46. IRA-Ohio Savings Bank (Amcap Class A Fd)	B	Dividend			Distributed	04/25/18	K	A	
47. IRA-Ohio Savings Bank (CD)	B	Interest			Distributed	04/25/18	K	A	
48. IRA-Prudential Equity Fund	C	Dividend	K	T					
49. IRA-Prudential Equity Fd.	C	Dividend	K	T					
50. SEP IRA (Securities America) lines 51-72)	E	Dividend	P1	T					
51. American Gr. Fd of America	B	Dividend	M	T					

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52. Prudential Jennison Blend Rd	A	Dividend			Sold	09/22/18	L	B	
53. Vanguard Total Stock Market Index	C	Dividend			Sold	09/22/18	K	B	
54. Vanguard 500 Index Admiral	C	Dividend			Sold	09/22/18	K	B	
55. Pimco Income Fd	D	Dividend			Sold	03/12/18	M	A	
56. First Eagle Global Class I	B	Dividend	M	T					
57. T Rowe Price Global Technology	A	Dividend	L	T					
58. Vanguard Equity Inc Admiral	D	Dividend			Sold	03/12/18	N	C	
59. Vanguard World FDS Vanguard Consumer	B	Dividend			Sold	03/12/18	L	B	
60. Wisdomtree Emerging Markets	C	Dividend	L	T					
61. Wisdomtree US Largecap	C	Dividend			Sold	09/22/18	M	B	
62. Wisdomtree US Midcap	A	Dividend	L	T					
63. Wisdomtree US Smallcap	A	Dividend	L	T					
64. Vanguard Selected Value Inv	C	Dividend	N	T	Buy	09/22/18	N		
65. American Europacific Growth	A	Dividend	M	T	Buy	09/22/18	M		
66. Primecap Odyssey Growth	A	Dividend	N	T	Buy	03/12/18	N		
67. US Treasury Bills	B	Interest	M	T	Buy	10/10/18	M		
68. Goldman Sachs CD	A	Interest	K	T	Buy	09/22/18	K		

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69. Ally Bank CD	A	Interest	K	T	Buy	09/22/28	K		
70. Morgan Stanley Bank CD	B	Interest	L	T	Buy	09/22/18	L		
71. Compass Bank CD	A	Interest	K	T	Buy	03/12/18	K		
72. Wells Fargo Bank CD	B	Interest	L	T	Buy	03/12/18	L		
73.									
74. Mentor Property, LLC \$40,000--12/19/12	A	Distribution	K	R					
75. Norton Property, LLC \$30,000--11/17/14	A	Distribution	K	R					
76. Euclid KV, LLC \$38,281--11/28/17	A	Distribution	K	R					
77.									
78. Trust** (lines 79-137)	E	Int./Div.	P1	T					
79. --State of Israel Bond	B	Interest	K	T					
80. --Tenneco common	A	Dividend	J	T					
81. --JPMorgan Chase	A	Dividend	J	T					
82. Merrill Lynch Bank Deposit Program (Bank of America NA)	A	Interest	L	T					
83. -- Israel Preferred	A	Dividend			Sold	09/12/18	J	A	
84. --, Dev. Bank of Israel Pref.	A	Dividend	J	T					
85. Allianzgi Inc and Gr Fd	A	Dividend	K	T	Buy	10/02/18	K		

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86. -- Amer.Fds New Persp Fd	A	Dividend	L	T					
87. --American Washington Mut Fd	A	Dividend	K	T					
88. -- Oakmark Intl Fund	A	Dividend	J	T					
89. --Baron Growth Fd	A	Dividend	J	T					
90. US Treasury Bond	A	Interest	K	T	Buy	11/05/18	K		
91. --US GNM Bonds	A	Interest	J	T					
92. Mainstay Large Cap Gr Fd	A	Dividend			Sold	10/26/18	K	D	
93. Cohen & Steers Pref	B	Dividend	K	T					
94. Cohen & Steers Reit	B	Dividend	K	T	Sold (part)	03/08/18	J	A	
95. Lord Abbett Short Duration Inc Fd	B	Dividend	K	T					
96. Blackrock Multi Asset Inc Fd	A	Dividend	J	T	Buy (add'l)	10/03/18	J		
97. James Alpha Global Real Estate Inv Fd	A	Dividend	J	T	Buy (add'l)	10/03/18	J		
98. Nuveen Real Asset Inc Fd	A	Dividend	K	T					
99. Pimco Inc Fd	B	Dividend	K	T					
100. Principal Global Diversified Fd	A	Dividend			Sold	08/22/18	J	A	
101. Mainstay Floating Rate Fd	A	Dividend	J	T	Buy	08/21/18	J		
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. Janney Advantage Preferred Sweep	A	Interest	K	T					
104. CPS Technologies Corp.	A	Dividend	J	T					
105. Senomyx Inc	A	Dividend			Sold	09/17/18	K	C	
106. --Enbridge Energy Mgt. LLC	A	Dividend			Sold	05/21/18	L	A	
107. Durect Corp.	A	Dividend			Sold (part)	03/22/18	K	A	
108. Durect Corp.	A	Dividend			Sold	05/21/18	L	D	
109. Vanguard Balanced Inc Fd	B	Dividend	M	T	Buy (add'l)	08/15/18	K		
110. Immucell Corp	A	Dividend	K	T					
111. Box Inc.	A	Dividend	K	T	Buy	06/07/18	K		
112. Perma-Fix Environmental Services Inc	A	Dividend	K	T	Buy	07/07/18	K		
113.									
114. Wells Fargo Bank Deposit Sweep Program	A	Interest	M	T					
115. US Treasury Bond	A	Interest	K	T	Buy	12/11/18	K		
116. CBS Corp	A	Dividend	L	T					
117. --Spire Inc. (formerly Laclede Gas) common	B	Dividend	L	T					
118. --Nuveen Ohio Munic. Fd	A	Dividend	J	T					
119. --Eaton Vance Tax Managed Gr. Fd (formerly E.V. Marath)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Cedar Fair	D	Dividend	L	T					
121. --American Express Co.	A	Dividend	K	T					
122. --Chevron/Texaco	B	Dividend	L	T					
123. --Enterprise Products	B	Dividend	K	T					
124. --IBM Corp.	B	Dividend	K	T					
125. --Emerson Electric Co.	A	Dividend	K	T					
126. --Costco Wholesale Corp	A	Dividend	K	T					
127. Hospitality Properties Reit Trust	B	Dividend	K	T					
128. --Vanguard Mid-Cap Fd	A	Dividend	K	T					
129. Vanguard Real Estate Index Fd	A	Dividend	K	T	Buy	09/15/18	K		
130. Cincinnati Ohio Urban Redevelopment Bd	A	Interest	K	T					
131. Microsoft Corp	A	Dividend	L	T					
132. Ishares ETF	C	Dividend	K	T	Buy (add'l)	09/05/18	J		
133. Kraft Heinz Co	A	Dividend			Sold	03/22/18	K	A	
134. Walt Disney Co. Bond	A	Interest			Redeemed	09/17/18	K	A	
135. Ralph Lauren Corp Bond	A	Interest			Redeemed	09/28/18	K	A	
136. --Embarq. (spinoff)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137. --Windstream (spinoff)	A	Dividend	J	T					
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									

1 Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IV.

6. Toledo Jewish Community Fdn. Toledo, Ohio 11/05--06/2018 Give introduction at memorial lecture mileage, hotel, meals

VII.

46 and 47 I rolled these IRA funds into my federal government Thrift Savings Plan. As I am not required to list this my TSP account as an asset, I could not use the "merged into" designation.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dan A. Polster**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544