

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pym, Sheri	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 3470 12th Street Riverside, CA 92501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Board Member	Federal Bar Association, Inland Empire Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Pomona College -- salary

2. 2018

Claremont Graduate University -- fee for dissertation committee work

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market Fund	A	Int./Div.	J	T					
2. Vanguard Rollover IRA									
3. -- Vanguard Capital Opportunity Fund Admiral Shares	E	Dividend	M	T					
4. -- Vanguard Growth Index Fund Admiral Shares	B	Dividend	L	T					
5. -- Vanguard STAR Fund	C	Dividend	L	T					
6. -- Vanguard U.S. Value Fund	D	Dividend	L	T					
7. Vanguard Roth IRA #1									
8. -- Vanguard Explorer Fund Investor Shares	B	Dividend	K	T					
9. -- Vanguard Small-Cap Index Fund Admiral Shares	A	Dividend	K	T					
10. Vanguard Roth IRA #2									
11. -- Vanguard Total Stock Market Index Fund Admiral Shares	A	Dividend	J	T					
12. -- Vanguard Windsor II Fund Investor Shares	B	Dividend	J	T					
13. Pomona College Academic Retirement Plan									
14. -- CREF Growth Fund		None	J	T					
15. -- CREF Equity Index Fund		None	L	T					
16. -- CREF Global Equities Fund		None	J	T					
17. -- Vanguard Total Stock Market Index Institutional Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Vanguard Institutional Target Retirement 2030 Fund	C	Dividend	M	T					
19. -- Vanguard Institutional Target Retirement 2035 Fund	D	Dividend	N	T					
20. -- Vanguard Federal Money Market Investor Fund	A	Dividend	J	T					
21. Northwestern Mutual Variable Life Insurance #1									
22. -- Northwestern Mutual Index 500 Stock Fund (MSA)	A	Dividend	K	T					
23. -- Northwestern Mutual Mid Cap Growth Stock Fund (MSA/Wm Blair Co)	A	Dividend	K	T					
24. Northwestern Mutual Variable Life Insurance #2									
25. -- Northwestern Mutual Growth Stock Fund (MSA/The Boston Company)	A	Dividend	K	T					
26. -- Northwestern Mutual Index 400 Stock Fund (MSA)	A	Dividend	K	T					
27. Vanguard UGTMA Account #1									
28. -- Vanguard LifeStrategy Moderate Growth Fund	A	Dividend	K	T					
29. Vanguard UGTMA Account #2									
30. -- Vanguard LifeStrategy Moderate Growth Fund	A	Dividend	K	T					
31. Vanguard Education Savings Account #1									
32. -- Vanguard Explorer Fund Investor Shares	A	Dividend	J	T					
33. -- Vanguard STAR Fund	A	Dividend	J	T					
34. Vanguard Education Savings Account #2									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -- Vanguard Small-Cap Growth Index Fund Investor Shares	A	Dividend	J	T					
36. College Savings Iowa 529 Plan #1									
37. -- Vanguard Growth Age-Based Track: Moderate Growth Portfolio		None			Sold	04/04/18	M		
38. -- Vanguard Growth Age-Based Track: Conservative Growth Portfolio		None	M	T	Buy	04/04/18	M		
39. College Savings Iowa 529 Plan #2									
40. -- Vanguard Growth Age-Based Track: Growth Portfolio		None			Sold	03/05/18	M		
41. -- Vanguard Growth Age-Based Track: Moderate Growth Portfolio		None	M	T	Buy	03/05/18	M		
42. University of California Retirement Savings Program									
43. -- UC Savings Fund	A	Int./Div.	J	T					
44. Putnam UGTMA Account #1									
45. -- Putnam Dynamic Asset Allocation Balanced Fund	A	Dividend	J	T					
46. -- Putnam Dynamic Asset Allocation Growth Fund	A	Dividend	J	T					
47. Putnam UGTMA Account #2									
48. -- Putnam Dynamic Asset Allocation Balanced Fund	A	Dividend	J	T					
49. -- Putnam Dynamic Asset Allocation Growth Fund	A	Dividend	J	T					
50. Franklin Templeton 529 College Savings Plan #1									
51. -- Templeton Growth 529 Portfolio		None	J	T					

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52. -- Franklin Growth 529 Portfolio		None	J	T					
53. -- Franklin Small Mid Cap Growth 529 Portfolio		None	J	T					
54. -- Franklin Mutual Shares 529 Portfolio		None	J	T					
55. -- S&P 500 Index 529 Portfolio		None	J	T					
56. Franklin Templeton 529 College Savings Plan #2									
57. -- Franklin Founding Funds 529 Portfolio		None	K	T					
58. Michigan 529 Education Savings Program #1									
59. -- TIAA-CREF Aggressive Age-Based Allocation Option		None	K	T					
60. Michigan 529 Education Savings Program #2									
61. -- TIAA-CREF Aggressive Age-Based Allocation Option		None	K	T					
62. Vanguard Balanced Index Fund Admiral Shares	C	Dividend	M	T	Sold (part)	05/04/18	J	B	
63.					Sold (part)	05/30/18	K	B	
64.					Sold (part)	10/04/18	J	B	
65. Chase bank account #2	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sheri Pym

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544