

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 04/18/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

04/18/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. George Mason University	10/21/2018-10/23/2018	Arlington, VA	Educational Seminar	meals, lodging, transportation
2. Electronic Discovery Institute	11/13/2018-11/14/2018	Ft. Lauderdale, FL	Educational Seminar	meals, lodging, transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

04/18/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

04/18/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Security Bank of KC (savings & checking)	A	Interest	J	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3. - Fidelity Cash Reserves	A	Interest	J	T					
4. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	J	T	Sold (part)	01/23/18	J	A	
5. -Convergence Core Plus Fund Institutional C1		None	K	T	Sold (part)	01/23/18	J	B	
6. - Nuance Concentrated Value Fd Inst'l	A	Dividend	K	T	Sold (part)	01/23/18	J	A	
7. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	K	T	Sold (part)	01/23/18	J	A	
8. - Stone Ridge Reinsurance Risk Premium I		None	K	T	Buy	01/23/18	J		
9. - Walhausen Small Cap Value Fund	A	Dividend	J	T	Sold (part)	01/23/18	J	A	
10. - Hotchkis & Wiley High Yield	A	Dividend	J	T	Buy	01/23/18	J		
11. - Lazard Global	A	Dividend	J	T	Sold (part)	01/23/18	J	A	
12. -Cullen Emerging Market High Dividend C1 I	A	Dividend	J	T	Sold (part)	01/23/18	J	A	
13. -AQR Managed Futures Fund C1 I		None	J	T	Buy	01/23/18	J		
14. -Dodge & Cox Income	A	Dividend	K	T	Buy	01/23/18	J		
15. -Ishares Core S&P 500 ETF		None	K	T	Sold (part)	01/23/18	J	A	
16. -PrimeCap Oydessey Growth Fund	A	Dividend	K	T	Sold (part)	01/23/18	J	B	
17. -Stoneridge All Asset Variable Risk Premium I		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Vanguard FTSE Developed Market ETF		None	J	T	Sold (part)	01/23/18	J	A	
19. -Vanguard Market Neutral Fund Inv. Shares		None			Sold	01/23/18	J	A	
20. -Versus Capital Multi Manager Real Estate Income I	A	Dividend	K	T	Buy	01/23/18	J		
21. -Metropolitan West Total Return Class I	A	Dividend	K	T	Buy	01/23/18	J		
22. -AQR Equity Market Neutral Fund		None	J	T	Buy	01/23/18	J		
23. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
24. - Fidelity Cash Reserves	A	Interest	J	T					
25. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T	Buy	01/22/18	J		
26. - Fidelity 500 Index Intsitut Prem (f/k/a Fid 500 Index Prem Class)	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
27. - Fidelity Int'l Index Int Prem (f/k/a Fid Int'l Index Prem Class)	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
28. - Tortoise MLP & Pipeline Fd Investor Cl	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
29. - Nuance Concentrated Value Fund Class A	A	Dividend	J	T	Buy	01/22/18	J		
30. - Hotchkis & Wiley High Yield	A	Dividend	J	T					
31. - Lazard Global	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
32. - AQR Managed Futures		None	J	T	Buy	01/22/18	J		
33. - Cullen Emerging Market	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
34. - Harding Loevner Int'l Equity	A	Dividend	J	T	Sold (part)	01/22/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

O'HARA, JAMES P.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - John Hancock Global		None	J	T					
36. - Walther Small Cap	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
37. - iShares S&P 500 Growth		None	J	T	Sold (part)	01/23/18	J		
38. - Versus Capital	A	Dividend			Sold	01/23/18	J	A	
39. -AQR Equity Market Neutral Fund C1 N	A	Dividend	J	T	Buy	01/22/18	J		
40. -Fidelity U.S. Bond Index Fund (f/k/a Fid U.S. Bank Index Prem Class)	A	Dividend	J	T					
41. -Fidelity MSCI Real Estate Index Fund		None	J	T	Buy	01/23/18	J		
42. -iShares TR Int'l Dev. Re		None	J	T	Buy	01/23/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

04/18/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

O'HARA, JAMES P.

Date of Report

04/18/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544