

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Perkin, Henry S.	2. Court or Organization Pennsylvania-Eastern District	3. Date of Report 04/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 504 Hamilton Street Suite 4401 Allentown, PA 18101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2018	Lehigh County Employee Retirement Plan with Lehigh County, Pennsylvania, former employer
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Service Electric Cable T.V., Inc.; Value of cable service for permitting access to investment properties formerly owned.	\$1,696.56
2. 2018	County of Lehigh Pension	\$35,218.80
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association	3/23/2018 and 3/24/2018	Ritz Carlton, New York, NY	Annual Dinner	Lodging New York City and transport for the annual dinner honoring the Federal Judiciary
2. U.S. Magistrate's Judges Workshop	July 30 to August 3, 2018	Denver, CO	Judicial Conference	Lodging, dinner, conference cost and airfare
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Bank (Bank Accounts and CDs)	A	Interest	J	T					
2. BB&T - Bank Accounts	A	Interest	K	T					
3. PNC - Bank Accounts & CDs	A	Interest	J	T					
4. American Heritage Federal Credit Union (Bank Accounts and CDs)	C	Interest	M	T					
5. Provident Bank	A	Interest	L	T					
6. Brokerage Account #1									
7. -Intel Corporation Common Stock	A	Dividend	J	T					
8. -Orasure Common Stock	A	Dividend	J	T					
9. Pfizer Common Stock	A	Dividend	J	T					
10. Abercrombie & Fitch Common Stock	A	Dividend	J	T					
11. Microsoft Corp. Common Stock	A	Dividend	J	T					
12. IBM Corporation Common Stock	A	Dividend	J	T					
13. Carnival Corporation Common Stock	A	Dividend	J	T					
14. General Electric Company Common Stock	A	Dividend			Sold	09/12/18	J	A	
15. The Walt Disney Company Common Stock	A	Dividend	K	T					
16. Merck & Company, Inc. Common Stock	A	Dividend	J	T					
17. Intel Corporation Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Xerox Corp. Common Stock	A	Dividend	J	T					
19. American Bank Inc. Common Stock	A	Dividend	J	T					
20. American Capital Trust	A	Dividend	J	T					
21. Vanguard Value Index Fund	B	Dividend	L	T					
22. Vanguard GNMA Fund Investors Shares	B	Dividend	K	T					
23. Vanguard Intermediate Term Tax Exempt Bond Fund	A	Dividend	L	T					
24. Dodge & Cox Balanced Fund	B	Dividend	M	T	Open	04/13/18	K		
25. Harbor International Fund	B	Dividend	M	T					
26. Lehigh County Agricultural Society Common Stock		None	J	T					
27. IRA #1									
28. - Fidelity Asset Manager 50%	D	Dividend	M	T					
29. IRA #3									
30. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
31. IRA #4									
32. - Dodge & Cox Balanced Fund	A	Dividend	J	T					
33. IRA #5									
34. - TR Price Midcap Growth Fund	B	Dividend	K	T	Redeemed (part)	06/04/18	K		

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35. - TR Price Global Bond	B	Dividend	K	T	Buy	06/06/18	K		
36. - Ultra Short Term Bond	B	Dividend	K	T	Buy	06/06/18	J		
37. IRA #6									
38. - Vanguard Wellseley Fund	B	Dividend			Sold	01/29/18	N		
39. Mass Mutual Life Insurance Whole Life	A	Dividend	K	T					
40. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
41. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
42. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
43. Mass Mutual Life Insurance Whole Life	A	Dividend	J	T					
44. New York Life Insurance Co. Universal Life	B	Interest	K	T					
45. New York Life Insurance Co. Universal Life	A	Interest	K	T					
46. United States Savings Bonds	B	Interest	M	T					
47. Citadel Broadcasting System Common Stock	A	Dividend	J	T					
48. Cigna Corporation formerly Express Scripts Holding Company Common Stock		None	J	T					
49. Fidelity Charitable Gift Fund	A	Dividend	J	T					
50. Santander Bank	A	Interest	J	T					
51. IRA #9									

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52. -Fidelity Gov't Money Market	A	Interest	K	T					
53. -Fidelity Low Priced Stock	A	Dividend	K	T					
54. -Spartan Total Market Index	A	Dividend	K	T					
55. VOYA Indexed Annuity	A	Interest	K	T					
56. Vanguard Tax Managed Balance Fund	A	Dividend	K	T					
57. Vanguard Prime Money Market	A	Interest	J	T					
58. Novartis Common Stock	A	Dividend	J	T					
59. VT Western Asset Core Plus	A	Dividend			Sold	01/18/18	J		
60. Conduent		None	J	T					
61. Firsttrust Savings Bank	C	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Vanguard Total Stock Market Index Fund was incorrectly reported in IRA #7 in my past financial disclosures. This was sold in 2015 for \$58,000 and invested in IRA #6 which set forth on line 38 of this report.
2. Line 48 identifies Cigma Corporation. This was formerly Express Scripts Holding Company which merged with Cigna Corporation.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry S. Perkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544