

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEWEN, CATHERINE P.	2. Court or Organization Middle District of Florida	3. Date of Report 07/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 801 N. Florida Ave. Chambers 8B Tampa, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Salesian Youth Center (charitable organization)
2. Director at Large Board Member (advisory)	Hillsborough Association for Women Lawyers
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June 2015	Western Michigan University Cooley Law School and I have orally agreed that I will teach one semester or more per year, subject to Eleventh Circuit approval.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/2018	Western Michigan University Cooley Law School, compensation for teaching	\$4,125.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	1/18/18	Tampa, FL	Seminar speaker	Dinner
2. International Women's Insolvency & Restructuring Confederation (cont'd in Part VIII)	4/12-13/18	Atlanta, GA	Seminar speaker	Air fare, dinner, hotel, parking
3. Jacksonville Bankruptcy Bar Association	8/16-17/18	Ponte Vedra Beach, FL	Seminar speaker	Air fare, hotel
4. National Conference of Bankruptcy Judges	10/27-31/18	San Antonio, TX	Annual conference attendee/meetings	Air fare, hotel, ground transportation

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5.	National Conference of Bankruptcy Judges	12/10-11/18	Washington.D.C.	Meeting with Chief Justice	Hotel, ground transportation, lunch
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tampa Bay Rays Baseball	One-half suite and food (estimated cost)	\$480.00
2.	Ray Hyer, Gardner Asphalt, Sun Coatings	Six USF football tickets in sky box and food (estimated cost)	\$540.00
3.	The Florida Bar	Registration waivers for View from the Bench and Article 9 seminars	\$600.00
4.	Tampa Bay Bankruptcy Bar Association	Dues waiver, formal and consumer luncheons, holiday party, guest lunches, happy hours	\$580.00
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. WELLS FARGO DDA/CD/Savings/MMA Accounts	A	Interest	M	T					
2. State Farm Bank Account	A	Interest	J	T					
3. SCHWAB IRA (H) (4-49 BELOW)									
4. IQFD	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
5.					Sold (part)	06/07/18	J	A	
6.					Buy (add'l)	11/19/18	J		
7. MLPX	A	Int./Div.	J	T	Buy	07/26/18	J		
8. SNLN	A	Int./Div.			Buy (add'l)	01/30/18	J		
9.					Sold (part)	07/26/18	J	A	
10.					Sold	11/19/18	J	A	
11. EMB	A	Int./Div.	J	T	Buy	07/26/18	J		
12.					Buy (add'l)	11/19/18	J		
13. EFV	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
14.					Buy (add'l)	07/26/18	J		
15.					Buy (add'l)	11/19/18	J		
16. EWU	A	Int./Div.	J	T	Buy	01/30/18	J		
17.					Buy (add'l)	06/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	11/19/18	J		
19. QUAL	A	Int./Div.	J	T	Buy	01/30/18	J		
20.					Sold (part)	11/19/18	J	A	
21. FNDE	A	Int./Div.	J	T	Buy	01/30/18	J		
22.					Buy (add'l)	07/26/18	J		
23. SCHP	A	Int./Div.	J	T	Buy (add'l)	01/30/18	J		
24. JNK	A	Int./Div.			Sold	06/07/18	J	A	
25. SPIB	A	Int./Div.	J	T	Buy (add'l)	01/30/18	J		
26. MOAT	A	Int./Div.	J	T	Buy	01/30/18	J		
27. EMLC	A	Int./Div.	J	T	Buy (add'l)	06/07/18	J		
28. VDC	A	Int./Div.	J	T	Buy (add'l)	11/19/18	J		
29. VEA	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
30.					Sold (part)	06/07/18	J	A	
31.					Sold (part)	11/19/18	J	A	
32. VWO	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
33.					Sold (part)	06/07/18	J	A	
34.					Buy (add'l)	07/26/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/19/18	J		
36. VGIT	A	Int./Div.	J	T	Buy (add'l)	01/30/18	J		
37.					Sold (part)	11/19/18	J	A	
38. VO	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
39. VMBS	A	Int./Div.	J	T	Buy	06/07/18	J		
40. BSV	A	Int./Div.	J	T	Buy	06/07/18	J		
41.					Buy (add'l)	11/19/18	J		
42. VBR	A	Interest	J	T					
43. BND	A	Int./Div.	K	T	Buy (add'l)	01/30/18	J		
44.					Sold (part)	11/19/18	J	A	
45. VTI	A	Int./Div.	J	T	Sold (part)	01/30/18	J	A	
46.					Sold (part)	07/26/18	J	A	
47. VTV	A	Int./Div.	J	T	Buy (add'l)	07/26/18	J		
48. DBEF	A	Interest	J	T	Buy	06/07/18	J		
49.					Buy (add'l)	11/19/18	J		
50. MASSMUTUAL ANNUITY IRA (H) (51-55 BELOW)									
51. MML EQUITY	A	Int./Div.	L	T					

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52. MML GLOBAL	A	Int./Div.	K	T					
53. MML GROWTH & INCOME	A	Int./Div.	L	T					
54. MML MANAGED BOND	A	Int./Div.	M	T					
55. MML MID CAP GROWTH	A	Int./Div.	K	T					
56. BANK OF AMERICA CASH ACCOUNT (PARENTS)	A	Interest	L	T					
57. AMERICAN FUNDS ROTH IRA (H)									
58. AF GROWTH PORTFOLIO CL A	A	Int./Div.	J	T					
59.									
60.									
61.									
62.									
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Personal Information: I reentered my name correctly.

Part III. A. No. 1: This same payment was disclosed out of an abundance of caution in my 2017 FDR because the check was dated in 2017 but was not sent to me until 2018. I did teach a course in 2018, but the check was dated and sent to me in January 2019.

Part IV. No. 2: and Turnaround Management Association

Part VII. No. 56: I am a signer on my parents' regular and money market checking accounts. Although I am legally titled, I am not a beneficial owner.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CATHERINE P. McEWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544