

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 03/28/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/01/18	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation	A	Dividend	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account		None	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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35. SHIRE PLC SPON ADR DE	A	Dividend			Sold	02/16/18	J	A	
36. XL GROUP PLC SHS DE	A	Dividend			Sold	01/22/18	J	B	
37. ALPHABET INC CL A DE	A	Dividend			Sold	09/27/18	J	B	
38. MARKEL CORP (HOLDING CO) DE	A	Dividend	J	T					
39. PPG INDUSTRIES INC DE	A	Dividend			Sold	09/27/18	J	A	
40. ALTRIA GROUP INC DE	A	Dividend			Sold	09/27/18	J	B	
41. THERMO FISHER SCIENTIFIC INC DE	A	Dividend			Sold	08/31/18	J	B	
42. ORACLE CORP DE	A	Dividend			Sold	09/27/18	J	A	
43. ARROW ELECTRONICS INC DE	A	Dividend			Sold	09/27/18	J	A	
44. TECK RESOURCES LTD CL B ORD CAD DE	A	Dividend			Sold	09/27/18	J	A	
45. WHIRLPOOL CORP DE	A	Dividend			Sold	07/24/18	J	A	
46. AMERICAN TOWER CORP REIT DE	A	Dividend			Sold	09/27/18	J	A	
47. FACEBOOK INC CL A DE	A	Dividend	J	T					
48. MEDTRONIC PLC DE	A	Dividend			Sold	09/27/18	J	A	
49. VISA INC CL A DE	A	Dividend			Sold	09/27/18	J	A	
50. CUMMINS INC DE	A	Dividend			Sold	09/27/18	J	A	
51. EOG RESOURCES INC DE	A	Dividend	J	T	Buy (add'l)	11/02/18	J		

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52. MCKESSON CORP DE	A	Dividend			Sold	05/17/18	J	B	
53. CONSTELLATION BRANDS INC CL A DE	A	Dividend			Sold	09/27/18	J	A	
54. ALEXANDRIA REAL ESTATE EQUITIES DE	A	Dividend			Sold	09/27/18	J	A	
55. ALPHABET INC CL DE	A	Dividend			Sold	09/27/18	J	B	
56. CONS ENERGY INC DE	A	Dividend	J	T					
57. FLEX LTD DE	A	Dividend			Sold	09/27/18	J	A	
58. IMPERIAL BRANDS PLC SPON ADR DE	A	Dividend			Sold	09/27/18	J	A	
59. LOCKHEED MARTIN CORP DE	A	Dividend	J	T					
60. MALLINCKRODT PUB LTD CO DE	A	Dividend			Sold	04/24/18	J	A	
61. CNOOC LTD SPON ADR DE	A	Dividend			Sold	02/02/18	J	B	
62. FITBIT INC CL A DE	A	Dividend			Sold	08/02/18	J	B	
63. FIRST SOLAR INC DE	A	Dividend			Sold	06/05/18	J	B	
64. PDC ENERGY INC COM DE	A	Dividend			Sold	08/07/18	J	B	
65. DEERE AND CO DE	A	Dividend			Sold	02/16/18	J	B	
66. SKECHERS USA INC CL A DE	A	Dividend			Sold	02/09/18	J	B	
67. FIRST DATA CORP CL A DE	A	Dividend			Sold	05/03/18	J	B	
68. CATERPILLAR INC DE	A	Dividend			Sold	10/23/18	J	B	

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69. CHINA LIFE INSURANCE CO LTD ADS SPON ADR DE	A	Dividend			Sold	04/27/18	J	B	
70. CHINA MOBILE LTD SPON ADR DE	A	Dividend			Sold	03/23/18	J	B	
71. KILROY REALTY CORP DE	A	Dividend			Sold	02/01/18	J	A	
72. BARCLAYS PLC ADR DE	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
73. COGNIZANT TECH SOLUTIONS CRP DE	A	Dividend			Sold	09/27/18	J	A	
74. BLUCORA INC DE	A	Dividend			Sold	02/23/18	J	B	
75. CORPORATE OFFICE PPTYS NEW SBI DE	A	Dividend			Sold	09/27/18	J	B	
76. SCANA CORP NEW DE	A	Dividend	J	T					
77. CK HUTCHISON HLDGS LTD UNSPONSORED ADR DE	A	Dividend			Sold	09/27/18	J	A	
78. BANK OF AMER CORP DE	A	Dividend			Sold	09/27/18	J	A	
79. WALT DISNEY CO (HOLDING CO) DISNEY COM DE	A	Dividend	J	T					
80. FIRSTENERGY CORP DE	A	Dividend	J	T	Buy (add'l)	10/29/18	J		
81. EQT CORP DE	A	Dividend	J	T	Buy	01/04/18	J		
82.					Sold	06/25/18	J	B	
83. NOKIA CORP SPONS ADR FINLAND ADR	A	Dividend	J	T	Buy	01/04/18	J		
84.					Sold	04/27/18	J	B	
85. MORGAN STANLEY DE	A	Dividend	J	T	Buy	01/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Sold	09/27/18	J	B	
87. SUNTRUST BANKS INC DE	A	Dividend	J	T	Buy	01/22/18	J		
88.					Sold	09/27/18	J	A	
89. VERIFONE SYSTEMS INC DE	A	Dividend	J	T	Buy	01/25/18	J		
90.					Sold	04/10/18	J	B	
91. FREEPORT-MCMORAN INC DE	A	Dividend	J	T	Buy	01/29/18	J		
92.					Sold	09/27/18	J	A	
93. PROLOGIS INC COM DE	A	Dividend	J	T	Buy	01/31/18	J		
94.					Sold	09/27/18	J	A	
95. AK STEEL HOLDING CORP DE	A	Dividend	J	T	Buy	02/01/18	J		
96.					Sold	07/31/18	J	B	
97. UNITED STATES STEEL CORP NEW DE	A	Dividend	J	T	Buy	02/01/18	J		
98. ALBEMARLE CORP DE	A	Dividend	J	T	Buy	02/02/18	J		
99. DOWDUPONT INC DE	A	Dividend	J	T	Buy	02/02/18	J		
100. LAUDER ESTEE COS CL A DE	A	Dividend	J	T	Buy	02/06/18	J		
101.					Sold	09/27/18	J	A	
102. GENERAL MOTORS CO DE	A	Dividend	J	T	Buy	02/09/18	J		

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103.					Sold	09/12/18	J	B	
104. COCA COLA CO COM DE	A	Dividend	J	T	Buy	02/16/18	J		
105.					Sold	09/27/18	J	A	
106. MICHAEL KORS HLDGS LTD DE	A	Dividend	J	T	Buy	02/16/18	J		
107.					Sold	04/23/18	J	B	
108. BANK OF NEW YORK MELLON CORP DE	A	Dividend	J	T	Buy	02/21/18	J		
109.					Sold	09/27/18	J	A	
110. PRUDENTIAL FINANCIAL INC DE	A	Dividend	J	T	Buy	02/21/18	J		
111. SYNOPSIS INC DE	A	Dividend	J	T	Buy	02/23/18	J		
112.					Sold	09/27/18	J	A	
113. CABOT CORP DE	A	Dividend	J	T	Buy	03/14/18	J		
114.					Sold	08/10/18	J	B	
115. DISCOVERY COMMUNICATIONS INC CL A DE	A	Dividend	J	T	Buy	03/23/18	J		
116. SINOPEC SHANGHAI PETROCHEMICAL CO LTD SPON ADR DE	A	Dividend	J	T	Buy	04/02/18	J		
117.					Sold	04/27/18	J	B	
118. LEIDOS HLDGS INC DE	A	Dividend	J	T	Buy	04/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Sold	09/27/18	J	A	
120. CARTER'S INC DE	A	Dividend	J	T	Buy	04/23/18	J		
121.					Sold	04/30/18	J	B	
122. EXPEDIA GROUP INC DE	A	Dividend	J	T	Buy	04/27/18	J		
123. HASBRO INC DE	A	Dividend	J	T	Buy	04/27/18	J		
124.					Sold	09/27/18	J	B	
125. LANDSTAR SYSTEMS INC DE	A	Dividend	J	T	Buy	04/27/18	J		
126. CALANESE CORP NEW SER A DE	A	Dividend	J	T	Buy	04/30/18	J		
127. SCOTTS MIRACLE-GRO CO CL A DE	A	Dividend	J	T	Buy	05/02/18	J		
128.					Sold	08/02/18	J	B	
129. CF INDUSTRIES HOLDINGS INC DE	A	Dividend	J	T	Buy	05/03/18	J		
130.					Sold	09/27/18	J	A	
131. WESTLAKE CHEMICAL CORP DE	A	Dividend	J	T	Buy	05/03/18	J		
132. TENCENT HLDGS LTD ADR DE	A	Dividend	J	T	Buy	05/17/18	J		
133.					Sold	09/27/18	J	A	
134. SUMMIT MATLS INC CL A DE	A	Dividend	J	T	Buy	06/05/18	J		
135.					Sold	09/27/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. SOFTBANK GROUP CORP ADR DE	A	Dividend	J	T	Buy	06/25/18	J		
137.					Sold	08/07/18	J	B	
138. MICROCHIP TECHNOLOGY INC DE	A	Dividend	J	T	Buy	07/13/18	J		
139.					Sold	09/27/18	J	A	
140. BOSTON SCIENTIFIC CORP DE	A	Dividend	J	T	Buy	07/24/18	J		
141.					Sold	09/27/18	J	A	
142. NORTHROP GRUMMAN CORP DE	A	Dividend	J	T	Buy	07/26/18	J		
143. ARCONIC INC DE	A	Dividend	J	T	Buy	07/31/18	J		
144.					Sold	09/27/18	J	A	
145. NASDAQ INC DE	A	Dividend	J	T	Buy	07/31/18	J		
146.					Sold	09/27/18	J	A	
147. CADENCE DESIGN SYSTEM DE	A	Dividend	J	T	Buy	08/02/18	J		
148.					Sold	09/27/18	J	A	
149. EDWARDS LIFESCIENCES CORP DE	A	Dividend	J	T	Buy	08/02/18	J		
150. TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR DE	A	Dividend	J	T	Buy	08/17/18	J		
151.					Sold	11/09/18	J	B	
152. PPL CORP DE	A	Dividend	J	T	Buy	08/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Sold	09/27/18	J	A	
154. ALIBABA GROUP HLDG LTD SPON ADR DE	A	Dividend	J	T	Buy	08/14/18	J		
155. BANCO SANTANDER S.A. SPON ADR DE	A	Dividend	J	T	Buy	08/31/18	J		
156.					Sold	09/27/18	J	A	
157. GOLDCORP INC NEW CAD DE	A	Dividend	J	T	Buy	08/31/18	J		
158.					Sold	10/25/18	J	A	
159.					Sold	09/27/18	J	A	
160. PVH CORP COM DE	A	Dividend	J	T	Buy	09/10/18	J		
161. AUTOLIV INC DE	A	Dividend	J	T	Buy	09/12/18	J		
162.					Sold	10/29/18	J	B	
163.					Sold	09/27/18	J	A	
164. DANA INC DE	A	Dividend	J	T	Buy	10/02/18	J		
165.					Sold	12/21/18	J	B	
166. CVS HEALTH CORP DE	A	Dividend	J	T	Buy	10/15/18	J		
167. MELLONOX TECHNOLOGIES LTD ILS DE	A	Dividend	J	T	Buy	10/17/18	J		
168. AMER EXPRESS CO DE	A	Dividend	J	T	Buy	10/23/18	J		
169. BIG LOTS INC DE	A	Dividend	J	T	Buy	10/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. CENTENE CORP DE	A	Dividend	J	T	Buy	10/23/18	J		
171. CONOCOPHILLIPS DE	A	Dividend	J	T	Buy	10/25/18	J		
172. POSCO SPON ADR DE	A	Dividend	J	T	Buy	10/25/18	J		
173. COMERICA INC DE	A	Dividend	J	T	Buy	10/29/18	J		
174. NUTRIEN LTD CAD DE	A	Dividend	J	T	Buy	10/29/18	J		
175. STMICROELECTRONICS N.V EUR DE	A	Dividend	J	T	Buy	10/29/18	J		
176. GENTEX CORP DE	A	Dividend	J	T	Buy	10/31/18	J		
177. TRAVELPORT WOLDWIDE LTD DE	A	Dividend	J	T	Buy	11/02/18	J		
178.					Sold	12/10/18	J	B	
179. CONDUENT INC DE	A	Dividend	J	T	Buy	11/08/18	J		
180. SIGNATURE BANK NEW YORK N Y DE	A	Dividend	J	T	Buy	11/08/18	J		
181. VODAFONE GROUP PLC SPON ADR DE	A	Dividend	J	T	Buy	11/13/18	J		
182. ELECTRONIC ARTS DE	A	Dividend	J	T	Buy	11/14/18	J		
183. TOTAL SYSTEM SERVICES INC DE	A	Dividend	J	T	Buy	11/30/18	J		
184. ALIGN TECHNOLOGY INC DE	A	Dividend	J	T	Buy	12/03/18	J		
185. MARATHON OIL CORP DE	A	Dividend	J	T	Buy	12/03/18	J		
186.					Sold	12/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Schroeder, HKennethJr

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

187. CISCO SYSTEMS INC DE	A	Dividend	J	T	Buy	12/04/18	J		
188. MOSAIC CO DE	A	Dividend	J	T	Buy	12/10/18	J		
189. CANADA GOOSE HLDGS INC CAD DE	A	Dividend	J	T	Buy	12/26/18	J		
190. SVB FINANCIAL GROUP DE	A	Dividend	J	T	Buy	12/26/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ HKennethJr Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544